

12-13-1999

B/O FORM PTO 1595 (1/31/92)

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

J0690 U.S. PTO
09/450434

1. Name of Conveying Party(ies):

Samuel H. SLISHMAN

☐ Additional names of conveying parties attached

2. Name and Address of Receiving Party(ies):

Name:

The University of New Mexico

Internal Address:

Street Address:

Patent Administration Office

Hokona Hall, Zuni Wing, Rm. 357

City, State, Zip:

Albuquerque, New Mexico 87131

☐ Additional name(s) and address(es) attached.

3. Nature of Conveyance:

☒ Assignment☐ Change of Name☐ Other:

Execution Date: April 8, 1999

4. (a) Patent Application Number(s):

If this document is being filed together with a new application, the execution date of the application is:

4. (b) Patent Numbers:

09/450434

☐ Additional Numbers Attached.

5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name:

Ajay A. Jagtiani

Address:

JAGTIANI & ASSOCIATES
10379-B Democracy Lane
Fairfax, Virginia 22030

6. Total Number of Applications and Patents Involved: 1

7. Total Fee:

\$40.00

(37 C.F.R. § 3.41)

☒

Enclosed.

☐

Authorized to be charged to deposit account.

8.

Deposit Account Number:

10-0233

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Ajay A. Jagtiani, Registration Number 35,205

November 19, 1999

Total number of pages comprising cover sheet: 1

12/10/1999 TTOM11 00000165 09450434

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40.00 DP

PATENT
REEL: 010424 FRAME: 0564

ASSIGNMENT

SINGLE ASSIGNMENT from Samuel H. Slishman, whose address is set forth on the signature page hereof (the "Inventor"), to the University of New Mexico, an educational institution of the State of New Mexico, whose address is c/o Patent Administration Office, Hokona Hall, Zuni Wing, Rm. 357, Albuquerque, New Mexico 87131 (the "University").

WHEREAS, the Inventor, who was at all pertinent times an employee of the University, has made a certain invention entitled "Traction Splint" for which the University (or its designee) may, at its discretion, in the future seek patent protection in the United States and in other foreign countries;

WHEREAS, the University (or its designee) will conduct a market analysis of the commercial potential of the above referenced invention and based on the outcome of the said market analysis, may seek patent protection for the above referenced invention at the University's or its designee's expense;

WHEREAS, the University is legally entitled to and in consideration of the marketing efforts and possible payment of patent costs desires to obtain a formal assignment from the Inventor of his/her entire right, title, and interest in and to the above invention and related intellectual property rights as described below as well as to all patents, patent applications, divisions, reissues, continuations, substitutions, renewals, extensions thereof, and all improvements hereafter made or invented by Inventor at any time during his/her employment by University and including the right to bring lawsuits for past infringement of any patents that may be issued thereon, in the United States and all foreign countries;

NOW, THEREFORE, the Inventor does hereby sell, assign, and transfer unto the University all his/her right, title, and interest in and to: (a) the above invention, (b) any technical information, know-how, trade secret, process, procedure, composition, device, method, formula, protocol, technique, software, design, drawing or data related to the above invention which is not covered by any subsequently filed patent application but which is necessary at any time for practicing the above invention, (c) any and all patents, patent applications, divisions, reissues, continuations, continuations-in-part, substitutions, renewals, extensions issuing on the above invention, (d) all improvements hereafter made or invented by Inventor at any time during his/her employment by University with respect to the above referenced invention (all of the foregoing (a), (b), (c), and (d) being collectively referred to hereafter as "Invention"), and (e) the right to bring lawsuits for past infringement of Invention, in the United States and in any and all foreign countries.

The parties further acknowledge and agree that all royalties received by the University, or its designee, resulting from commercialization of the Invention, after deduction for certain expenses in accordance with the University's policies, shall be divided in accordance with the University's policies and practices forty percent (40%) to the Inventor, forty percent (40%) to the Science & Technology Corporation @ UNM, and twenty percent (20%) to the University to be disbursed in accordance with the University's policies.

Further, the Inventor agrees that he/she will communicate to the University any facts known to him/her respecting said Invention, will testify in any legal proceedings, will sign all lawful papers, will execute all assignment documents, will cause any and all of said patent(s) to be issued to the University, and generally will do everything necessary or desirable to aid the University, its officers, agents, employees, directors, attorneys, successors, assigns, and those in active concert and/or participating with them to obtain

and enforce property protection in regard to the Invention in the United States and in all foreign countries.

The Inventor hereby authorizes and requests the Patent Office officials to issue all patent(s), when granted, to the University for the use and enjoyment of the University, its officers, agents, employees, directors, attorneys, successors, assigns and those in active concert and/or participating with them.

The Inventor represents and agrees that no assignment, sale agreement or encumbrance has been or will be made or entered into by him/her which would conflict with this assignment.

The undersigned Inventor hereby acknowledges by his/her signature hereto that he/she has read the foregoing and understands its content and that he/she has had the opportunity to consult legal counsel of his/her choosing concerning his/her rights and obligations hereunder prior to his/her signature hereto.

4/8/99
Date

Samuel H. Slishman
Assignor: Samuel H. Slishman
Address: 1200 Sigma Chi NE
Albuquerque, NM 87106

STATE OF NEW MEXICO)
)
COUNTY OF BERNALILLO) ss.

The foregoing instrument was subscribed and acknowledged before me, this
8th day of April, 1999 by Samuel Slishman

Annabelle D. Quieter
Notary Public

My Commission Expires:
10/31/99

Seal: