

12-17-1999

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

101225216

MRD  
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- ☒ Assignment ☐ Security Agreement
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**Conveying Party(ies)**☐ Mark if additional names of conveying parties attachedName (line 1) MR George StoneExecution Date  
Month Day Year  
7 26 99Name (line 2) Division of Extramural Inventions, NIH**Second Party**Name (line 1) Execution Date  
Month Day Year  
Name (line 2) **Receiving Party**☐ Mark if additional names of receiving parties attachedName (line 1) Name (line 2) Address (line 1) Thomas LavinAddress (line 2) 10 Canterbury LaneAddress (line 3) Watchung, NJ 07060

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Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Thomas LavinAddress (line 3) 10 Canterbury LaneAddress (line 4) Watchung, NJ 07060**FOR OFFICE USE ONLY**

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

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**PATENT****REEL: 010425 FRAME: 0988**

**Correspondent Name and Address**

Area Code and Telephone Number

908 756 4006

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

|||||

Thomas Lavin  
10 Canterbury Lane  
Watchung, NJ 07060

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

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6 pages  
6 Pages

**Application Number(s) or Patent Number(s)**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

~~5500207~~

5500207

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

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**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

42.00

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Thomas N Lavin  
Name of Person Signing

Thomas Lavin  
Signature

12/06/99  
Date

**From:** Awetahegne, Belen (OD) [AWETAHEB@odrockm1.od.nih.gov]  
**Sent:** Monday, July 26, 1999 1:54 PM  
**To:** Lavin, Thomas; Lavin, Thomas  
**Cc:** Stevenson, Linda; Stone, George  
**Subject:** Assignment of Rights Approval



Invention Title: "Selection Method for Pharmacologically Active Compounds"(88-093-5)  
US Patent Number 5,500,807  
Inventor(s): Thomas N. Lavin  
NIH Grant No: AM01329  
NIH EIR#: 0577501-97-0163

This is in response to your communication requesting a waiver of rights from the Government and assignment of title to the inventor.

In considering the request for a determination under 35 U.S.C. 202(d), as promulgated under 37 CFR 401.9, it has been determined that:

- \* the invention has been evaluated by the funding agency, and it has been concluded that the Government has insufficient interest in the invention to retain the patent rights;
- \* the inventor is free to retain and administer the patent rights to the invention in accordance with the requirements of 37 CFR 401.9; and
- \* the inventor is bound by the terms of the Inventor Certification executed earlier. Although not all inclusive, the Inventor agrees to:
  - grant a nonexclusive, irrevocable, royalty-free license to practice the invention for or on behalf of the United States throughout the world.
  - adhere to conditions that would apply to a small business firm (cf. 37CFR 401.14(a))
  - seek patent protection for the subject invention within one year of the date of this approval
  - provide the NIH with documentation of the patent application number, filing date and inclusion of the Federal Support Clause within the text of the filed patent.

Finally, as the assignee of this intellectual property derived with Government funds, the inventor is requested to ensure that any research material derived from the invention remains available to the non-profit research community. Fulfillment of this request is consistent the objectives of the Bayh-Dole Act and 37CFR, Section 401.

This determination will become effective commensurate with this notification.

Sincerely,

/S/

George Stone, Ph.D.  
Extramural Inventions and  
Technology Resources Branch  
Office of Policy for Extramural  
Research Administration  
National Institutes of Health

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