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## 12-13-1999

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|   | FORM COVER SHEET  U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office   |
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| 1-31-92   | NTS ONLY  |
| To the Honorable Commissioner of Patents and Trademarks         | Please record the attached original documents or copy thereto:  |
| 1. Name of Conveying Party(ies):                                | 2. Name and address of receiving party(ies):  |
| Mark A. Holthouse, Matthew T. Marx, John N. Nguyen              | Name: Speechworks International, Inc.   |
|   | Internal Address:   |
| Additional name(s) of conveying party(ies) attached? [ Yes      |   |
| 3. Nature of Conveyance:  |   |
|   | Street Address: 695 Atlantic Avenue   |
| Security Agreement Change of Name                               | Third Floor   |
| Other   | City: Boston State/Country MA ZIP: 0220   |
| Execution Date: 12/2/99; 12/1/99; 12/2/99                       | Additional name(s) & address(es) attached?  Yes   |
| 4. Application number(s) or patent number(s):                   |   |
| If the document is being filed together with a new application, | the execution date of the application is:   |
| A. Patent Application No(s).                                    | B. Patent No(s).  |
| 09/400,707 filed 9/21/99  |   |
|   |   |
| /10/1999 KZEWBZE 00000001 500385 09400707                       |   |
| FC:581 (40.00 CH) Additional numl                               | bers attached? Yes  |
| 5. Name and address of party to whom correspondence concerning  | ng 6. Total number of applications and patents involved: 1  |
| document should be mailed:                                      |   |
| Name: MCDERMOTT, WILL & EMERY                                   | 7. Total fee (37 CFR 3.41) \$40.00  |
| Internal Address:   | ☐ Enclosed  |
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| 9. Statement and signature.                                     |   |
| To the best of my knowledge and belief, the foregoing in        | formation is true and correct and any attached copy is a true copy  |
| of the original document.                                       |   |
| 1   |   |
| Christopher J. Palermo, Reg. No. 42,056                         | mfall The December 3, 1999  |
| Name and Registration No. of Person Signing                     | Signature Date  |
|   | Total number of pages comprising cover sheet:   |
| CMB No. 0851-0011 (exp. 4/94) CERTIFICATE OF M                  |   |
|   | spondence is being deposited with the United States Postal in envelope addressed to: Assistant Commissioner for 231 |
| on 12-3-99  | by Kim Ana PATENT   |
|   | REEL: 010426 FRAME: 0865  |

Docket No.: 47898-035

#### **ASSIGNMENT**

WHEREAS WE, MARK A. HOLTHOUSE, MATTHEW T. MARX, and JOHN N. NGUYEN, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled STATISTICAL COMPUTING AND REPORTING FOR INTERACTIVE SPEECH APPLICATIONS, executed by us on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on September 21, 1999, and identified by United States Serial No. 09/400,707;

AND WHEREAS, SPEECHWORKS INTERNATIONAL, INC., a corporation of the State of Delaware and having an address of 695 ATLANTIC AVENUE, THIRD FLOOR, BOSTON, MA 02201 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto SPEECHWORKS INTERNATIONAL, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said SPEECHWORKS

|  | representatives, successors or assigns, as the sole owner of nd to said patent and the invention covered thereby. |
|--|---|
| 12/2/99  | MARSHuse  |
| Date   | MARK A. HÓLTHOUSE   |
| Date $\frac{12}{2}$  | MATHEW T. MARX  |
| Date   | JOHN N. NGUYEN  |
| STATE OF   | _)<br>) SS:   |
| COUNTY OF  | _)  |
| appeared MARK A. HOLTHOUSE,  | f   |
| SEAL   | Notary Public   |
|  | My commission expires   |
| STATE OF   | _)<br>) SS:   |
| COUNTY OF  | _)  |
| On this day of, 19, before me personally appeared MATHEW T. MARX, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth. |   |
| SEAL   | Notary Public   |
|  | My commission expires   |

| Date 12/1/99  | MANHEW MARX           |  |
|---|-----------------------|--|
| Date  | JOHN N. NGUYEN        |  |
|   |                       |  |
| STATE OF  | _)<br>) SS:           |  |
| COUNTY OF   | )                     |  |
| On this   |                       |  |
| SEAL  | Notary Public         |  |
|   | My commission expires |  |
| STATE OF (() SS:  COUNTY OF Scaler ((ar)) SS:  On this day of (Cluber |                       |  |
| SEAL  | Notary Public         |  |
|   | My commission expires |  |

INTERNATIONAL, INC., its legal representatives, successors or assigns, as the sole owner of

the entire right, title and interest in and to said patent and the invention covered thereby.

### SIDLEY & AUSTIN

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WRITER'S E-MAIL ADDRESS jdonato@sidley.com

December 1, 1999

#### **VIA EXPRESS MAIL**

U.S. Patent and Trademark Office Assignment Division Box Assignments, CG-4 1213 Jefferson Davis Hwy., Suite 320 Washington, D.C. 20231

Re: Correction of Recordation Errors

Dear Sir:

Enclosed please find two Notices of Recordation which were found to contain errors. The errors that need to be corrected are described on the attached cover sheets.

Please record these corrections and return the documents to my attention. Thank you for your assistance. Should you need to contact me, please call me at my direct number listed above.

Sincerely,

James F. Donato Paralegal

JFD encl.

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service by Express Mail No. Em 077458438 US in an envelope addressed to U.S. Patent and Trademark Office, Assignment Division, Box Assignments, CG-4,1213 Jefferson Davis Hwy., Suite 320, Washington, D.C. 20231

on December 1, 1999

James F Donato

CHICAGO4 997535v1 November 30, 1999 (10:28am)

**RECORDED: 12/07/1999**