Atty Ref/Docket No.:

RECORD

12-13-1999

EPARTMENT OF COMMERCE Patent and Trademark Office

101221137

To the Honorable Commissioner of Patents and Trademarks: Please re-1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Norbert P. Kusters, Catharine van Ingen and Luis Felipe Cabrera Name: Microsoft Corporation Additional name(s) of conveying party(ies) attached? []Yes [X]No Street Address: One Microsoft Way 3. Nature of conveyance: |X| Assignment | | Merger Redmond State: WA ZIP: 98052 [| Security Agreement [] Change of Name Other ___ Additional name(s) & address(es) attached? []Yes [X]No Execution Date: October 15, 1999 and October 18, 1999 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: October 15, 1999 and October 18, 1999 A. Patent Application No.(s) B. Patent No.(s) V9/44957 Additional numbers attached? []Yes [X]No 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41):\$ 40.00 Name: Rodney L. Lacy Address: Schwegman, Lundberg, Woessner & Kluth, P.A. [X] Enclosed [] Authorized to be charged to deposit account P.O. Box 2938 Minneapolis, MN 55402 8. Please charge any additional fees or credit any overpayments to our Deposit account number: ____19-0743_ DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Rodney L. Lacy/Reg. No. 41,136 Name of Person Signing Total number of pages including cover sheet: 4 OMB No. 0651-0011 (exp. 4/94) Do not detach this portion Mail documents to be recorded with required cover sheet information to Commissioner of Patents and Trademarks **Box Assignments** Washington, D.C. 20231 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for revening the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Latent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project

> **PATENT** REEL: 010427 FRAME: 0684

(0651-0011), Washington, D.C. 20503.

ASSIGNMENT

WHEREAS, we, Norbert P. Kusters, Catharine van Ingen and Luis Felipe Cabrera (hereinafter referred to as ASSIGNORS), having post office addresses of 19310 NE 129th Way, Woodinville, WA 98072, 3031 Fulton Street, Berkeley, CA 94705 and 2009 Killarney Way SE, Bellevue, WA 98004 respectively, are the joint inventors of an invention entitled "STORAGE MANAGEMENT SYSTEM HAVING COMMON VOLUME MANAGER", as described and claimed in the specification forming part of an application for United States letters patent executed herewith;

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, WA 98052-6399, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virture of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

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IN TESTIMONY WHEREOF, I have hereunto set my hand this 15th day of Uck STATE OF __ On this 15 day of UCK., 1999 before me personally appeared Norbert P. Kusters to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth. Melinda Diesen [SEAL] WHEREOF, I have hereunto set my hand this 15th day of CCt., 1999. STATE OF On this 15 day of 00t, 1999 before me personally appeared Catharine van Ingen to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and Melinda Kresen Notary Public purposes therein set forth. [SEAL]

PATENT

REEL: 010427 FRAME: 0686

ASSIGNMENT Applicant: Invention:

Norbert P. Kusters et al.

STORAGE MANAGEMENT SYSTEM HAVING COMMON VOLUME MANAGER

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IN TESTIMONY WHEREOF, I have hereunto set my hand thus

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un Ond

STATE OF

COUNTY OF _

On this Aday of ______, 1999 before me personally appeared <u>Luis Felipe Cabrera</u> to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and

purposes therein set forth,

RECORDED: 11/29/1999

[SEAL]

Mulinda Dieser Notary Public

REEL: 010427 FRAME: 0687