

12-15-1999

Atty Docket No. 06510/104US1

Form PTO-1595 (Modified)



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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RECORDATION COVER SHEET  
PATENTS ONLY

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*4/29/99*

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Sylvaine Cases  
Robert V. Farese, Jr.  
Sandra K. Erickson  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):  
Name: The Regents of the University of California  
Internal Address: 12<sup>th</sup> Floor  
Street Address: 1111 Franklin Street  
City: Oakland State: CA ZIP: 94607-5200  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other:  
Execution Date: September 22, 1999, September 22, 1999 & September 16, 1999.

4. Application numbers(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent Application No.(s)  
09/328,857, Filed June 8, 1999

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Bret Field  
Street Address: BOZICEVIC, FIELD, & FRANCIS LLP  
285 Hamilton Avenue, Suite 200  
Palo Alto, California 94301  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41): \$40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit Account No. 50-0815  
The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-0815 with regards to this correspondence.

12/14/1999 TTON11 00000185 09328857

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Bret Field, Reg. No. 37,620  
Name of Person Signing

Signature

11.24.99  
Date

Total number of pages comprising cover sheet 5

**ASSIGNMENT OF APPLICATION**

Address to:  
Box Assignment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket	06510/104US1
First Named Inventor	Sylvaine Cases, et al.
Application Number	09/328,857
Filing Date	June 8, 1999
Title	<i>Novel Acyl COA:Cholesterol.....</i>
Group Art Unit	1614
Examiner Name	Unassigned

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Sylvaine Cases
2. Robert V. Farese, Jr.
3. Sandra K. Erickson
4. Sabine Novak

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

*NOVEL ACYL COA:CHOLESTEROL ACYLTRANSFERASE (ACAT-2)*

and which is found in

- (a) U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) U.S. patent application filed herewith and listing the above named persons as inventors
- (c) U.S. Application Serial No. 09/328,857, filed on June 8, 1999.
- (d) U.S. Patent No. , issued on

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant that no assignment sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;


ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

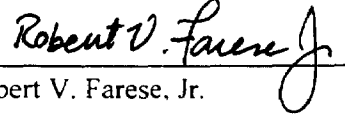
Signature of Inventor(s)

22 day of September, 1999



Sylvaine Cases

22 day of September, 1999



Robert V. Farese, Jr.

\_\_\_\_\_ day of \_\_\_\_\_, 1999

Sandra K. Erickson

\_\_\_\_\_ day of \_\_\_\_\_, 1999

Sabine Novak

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Attorney Docket	06510/104US1
First Named Inventor	Sylvaine Cases, et al.
Application Number	09/328,857
Filing Date	June 8, 1999
Title	<i>Novel Acyl COA:Cholesterol.....</i>
Group Art Unit	1614
Examiner Name	Unassigned

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*NOVEL ACYL COA:CHOLESTEROL ACYLTRANSFERASE (ACAT-2)*

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AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)


\_\_\_\_\_ day of \_\_\_\_\_, 1999

\_\_\_\_\_  
Sylvaine Cases

\_\_\_\_\_ day of \_\_\_\_\_, 1999

\_\_\_\_\_  
Robert V. Farese, Jr.

16 day of Sept, 1999

  
\_\_\_\_\_  
Sandra K. Erickson

\_\_\_\_\_ day of \_\_\_\_\_, 1999

\_\_\_\_\_  
Sabine Novak