

Box Assignments

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

RECORDATION FORM COVER SHEET -- PATENT
(Form PTO-1595)

Sir:

Please record the attached original documents or copy thereof:

1. The name of the conveying parties are JOE W. GRAY, COLIN COLLINS, SOO-IN HWANG, TONY GODFREY and DAVID KOWBEL.
2. The name of the party receiving the interest is THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a corporation of the State of California, having a principal place of business at 1111 Franklin Street, Twelfth Floor, Oakland, California 94607-5200.
3. The nature of the attached document is ASSIGNMENT, which was executed on April 11, 1997 (by Colin Collins, Soo-in Hwang and David Kowbel), on April 14, 1997 (by Joe W. Gray), and on April 17, 1997 (by Tony Godfrey).

4. In connection with:

U.S. Patent No. 5,892,010, issued April 6, 1999 (Application S/N 08/680,395
Filed July 15, 1996), entitled GENES FROM THE 20Q13 AMPLICON AND THEIR USES.
Inventors: Joe Gray, Colin Collins, Soo-in Hwang, Tony Godfrey, David Kowbel and Johanna Rommens.

5. The name and address to whom all correspondence concerning this matter should be mailed is:

Tom Hunter, Reg. No. 38,498
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.
4 Embarcadero Center, Suite 1100
San Francisco, California 94111-4106
Telephone: (415) 248-5500
Telefacsimile: (415) 362-5418

6. A total of one patent is involved in this request.
7. The Commissioner is hereby authorized to charge the recordal fee of \$40.00 and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 13-1030.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MAJESTIC, PARSONS, SIEBERT & HSUE P.C.



Dated: March 1, 2000.

Atty. Docket: 2500.124US0

Tom Hunter, Reg. No. 38,498

The total number of pages including cover sheet, attachments, and document is 5.

023070-068900

ASSIGNMENT

U.C. Case No. 96-185-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S).

- | | |
|------------------------|-------------------------|
| 1. <u>Joe W. Gray</u> | 2. <u>Colin Collins</u> |
| 3. <u>Soo-in Hwang</u> | 4. <u>Tony Godfrey</u> |
| 5. <u>David Kowbel</u> | 6. _____ |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 300 Lakeside Drive, 22nd Fl., Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

GENES FROM THE 20q13 AMPLICON AND THEIR USES

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (b) X U.S. application serial no. 08/680,395, filed on July 15, 1996
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property:

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment:

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Assistant Commissioner for Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

____ day of _____, 19____
11 day of April, 1997
11 day of April, 1997
____ day of _____, 19____
11 day of April, 1997

Joe W. Gray

Colin Collins

Soo-In Hwang

Tony Rodfrey

David Kowbel

(JC Revised: 4/27/93)

PRINTAF3VT001M0373285.1

AND the ASSIGNOR(S) requests the Assistant Commissioner for Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

14 day of April, 1997

____ day of _____, 19____

____ day of _____, 19____

17 day of April, 1997

____ day of _____, 19____

Signature of Inventor(s)

Joe W. Gray

Colin Collins

Soo-in Hwang

Tony Godfrey

David Kowbel

(UC Revised: 4/27/98)

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