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To the Honorable Commissioner of Patents &amp;

Christopher Shane Claussen Benjamin Charles ZumBrunnen  
Matthew Dale McClain

Lin Xu

Additional name(s) of conveying party(ies) attached?

Yes No

3. Nature of conveyance:

☒ Assignment

Merger

Security Agreement

Change of Name

Other

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City: Armonk

State: NY

ZIP: 10504

Execution Date: 11/11/99, 11/11/99, 11/11/99, 11/14/99

Additional name(s) &amp; address(es) attached?

Yes

No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: 11/11/99, 11/11/99, 11/14/99

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

Yes

No

09/442698

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: IBM Corporation

Internal Address: Intellectual Property Law

Internal Zip 4054

Street Address: 11400 Burnet Road

City: Austin

State: Texas

ZIP: 78758

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

09-0447 (IBM Corporation)

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006372.00278:472362.01

**PATENT**  
**REEL: 010438 FRAME: 0179**

**ASSIGNMENT**

Whereas, we,

- (1) Christopher Shane Claussen of Austin, County of Travis, State of Texas;
- (2) Matthew Dale McClain of Austin, County of Travis, State of Texas; and
- (3) Lin Xu of Austin, County of Travis, State of Texas;
- (4) Benjamin Charles ZumBrunnen of Farmington Hills, County of Oakland, State of Michigan

have invented certain improvements in **CASE-INSENSITIVE CUSTOM TAG RECOGNITION AND HANDLING** and executed a United States patent application therefore on (1) \_\_\_\_\_, 1999; (2) \_\_\_\_\_, 1999; (3) \_\_\_\_\_, 1999; and (4) November 14, 1999.

Whereas, **INTERNATIONAL BUSINESS MACHINES CORPORATION**, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

- (1) Signed at \_\_\_\_\_, Texas on \_\_\_\_\_

\_\_\_\_\_  
Christopher Shane Claussen

- (2) Signed at \_\_\_\_\_, Texas on \_\_\_\_\_

\_\_\_\_\_  
Matthew Dale McClain

(3) Signed at \_\_\_\_\_, Texas on \_\_\_\_\_.

\_\_\_\_\_  
Lin Xu

(4) Signed at Southfield, MI, Texas on November 14, 1999.

*Benjamin Charles ZumBrunnen*  
Benjamin Charles ZumBrunnen

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006372.00278:472360.01

## ASSIGNMENT

Whereas, we,

- (1) Christopher Shane Claussen of Austin, County of Travis, State of Texas;
- (2) Matthew Dale McClain of Austin, County of Travis, State of Texas; and
- (3) Lin Xu of Austin, County of Travis, State of Texas;
- (4) Benjamin Charles ZumBrunnen of Farmington Hills, County of Oakland, State of Michigan.

have invented certain improvements in **CASE-INSENSITIVE CUSTOM TAG RECOGNITION AND HANDLING** and executed a United States patent application therefore on (1) Nov 11, 1999; (2) Nov 11, 1999; (3) Nov 11, 1999; and (4) \_\_\_\_\_, 1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

- (1) Signed at Austin, Texas on 11/11/99.



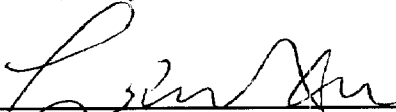
Christopher Shane Claussen

- (2) Signed at Austin, Texas on 11/11/99.



Matthew Dale McClain

(3) Signed at Austin, Texas on 11/11/99.

  
Lin Xu

(4) Signed at \_\_\_\_\_, Texas on \_\_\_\_\_.

\_\_\_\_\_  
Benjamin Charles ZumBrunnen