12-20-1999

Practitioner's Docket No. 30616-1(

101227740

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box: Assignments Assistant Commissioner for Patents Washington, D.C. 20231

NOTE:

"Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents." Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition and

§ 3 81(b) " 37 CFR § 3.27

ASSIGNMENT(DOCUMENT) COVER SHEET (37 CFR 3.31)

"A cover sheet may not refer to both patents and trademarks." 27 CFR 3.31(b) NOTE:

Attached please find an assignment (document) for recordal.

**CERTIFICATION UNDER 37 CFR 1.8(A) AND 1.10** 

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional)

I hereby certify that, on the date shown below, the correspondence is being:

MAIL

deposited with the United States Postal Service in an envelope addressed to the Assistant  $\boxtimes$ Commissioner for Patents, Washington, D.C. 20231

37 CFR (1.8(a)

37 CFR 1.10\*

**PATENT** 

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1999

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Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

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# IDENTIFICATION OF APPLICATIONS(S) AND/OR PATENTS(S) FOR ASSIGNMENT (DOCUMENT) RECORDAL

(37 CFR 3.21 and 37 CFR 3.31(a)(4)

See 37 CFR 3.21.

NOTE:

Note:	\$3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).						
1.	This assignment is for the following patent applications and/or issued patent:						
National Provision International Patent I	il application Serial No. 09/346,496 , filed on June 30, 1999 onal application Serial No. , filed on cional application PCT/US, international filing date No issued on						
	(Complete if applicable) which was previously assigned and recorded  Date; Frame						
	(also complete the following, if applicable) and also for the applications and/or patents shown on the attached list of FURTHER APPLICATIONS(S) and/or PATENT(S) BEING ASSIGNED						
	Number of pages added:						
NOTE:	Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet. Notice of June 24, 1992 (1140 O.G. 63-72 at 67.						
TOT	AL NUMBER OF APPLICATIONS AND/OR PATENTS AND TOTAL FEE (37 CFR 3.28 (a)(6))						
2.	A. The total number of applications and/or patents identified in this cover sheet is <b>one.</b> B. The total fee is (37 CFR 1.21(h)):  1						
	C. Payment of fee is made by:    The attached check for \$40.00						
	Please charge Account 13-4213 for any fee deficiency or credit to account any overpayment.						
	NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))						
NOTE:	"The term `party' as used in this rule (§ 3.31) means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65).						
3.	The party(ies) conveying interest is (are):						
	Name 1: HARLIN J. BRISCO Name 2: VICTOR DURAN Name 3: WILLIAM G. MITCHELL						

# NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST 37 CFR 3.31(a)(2))

4.	The rights are being conveyed to:						
Name: Address:		THE BETTER WAY COMPANY, L.L.C. P.O. Box 15721 Santa Fe, New Mexico 87506					
DES	CRIPTION OF	INTEREST CONVEYE	D OR TRANSAC	TION RECORDED (37 CF	R 3.31(a)(3)		
5.	a merg a chan	ignment er ge of name	: : ::	a change of address			
	NAMI		PARTY TO WHO MAILED (37 CFR	M CORRESPONDENCE 3.31(a)(5))			
6.	Please address correspondence to:  ANDREA L. MAYS, Reg. No. 43,721  Post Office Box 26927  Albuquerque, New Mexico 87125-6927  Telephone: (505) 998-1500; direct line: (505) 998-6132						
	DATE	: ASSIGNMENT (DOC	CUMENT) EXECU	<b>TED</b> (37 CFR 3.31(a)(7))			
7. <b>20, 199</b>	The attached a 9; (3) December		) was executed on	(1) November 22, 1999; (	(2) November		
LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED							
NOTE:	"The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26.						
8.	The attached document:  is in the English language  is not in the English language and a verified English translation signed by the individendation making the translation is attached.						
		ORIGINAL DOCUM	ENT OR TRUE CO	OPY SUBMITTED			
NOTE:	See 37 CFR 3.24.						
9.	Submitted herewith is:  the original document  a true copy of the original document, which I certify to be a true copy.						

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- NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can company with the requirement [set out in 37 CFR § 3.24] by providing a true copy of the or original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

  NOTE: "Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." MPEP, 6th ed. § 302.01.
  - ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS

(check item, if applicable)

Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignment(s) for each application and/or patent are shown.

#### ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME

(check item, if applicable)

Because the purpose of the attached document is to record a change of name of the assignee, the particulars of the previously recorded assignment(s) for each application and/or patent are shown.

#### CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

### **STATEMENT** (37 CFR 3.31(a)(9)) **AND SIGNATURE** (37 CFR 3.31(a)(10))

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 4.41] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where the corporation's name appears on the document." Notice of June 24, 1992, 1140 O G. 63-76, at 65.

Date: 12/3/99

Andr<del>e</del>a L. Mays

PEACOCK, MYERS & ADAMS, P.C.

Post Office Box 26927

Albuquerque, New Mexico 87125-6927

Direct line: (505) 998-6132

Reg. No. 43,721

Telephone: (505) 998-1500

Customer No. 005179

#### TOTAL NUMBER OF PAGES BEING SUBMITTED

The total number of pages being submitted, including cover sheet attachment(s), and documents are: 10.

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# **ASSIGNMENT OF INVENTION AND PATENT RIGHTS**

Inventor(s):

HARLIN J. BRISCO

**VICTOR DURAN** 

WILLIAM G. MITCHELL

Serial No.

09/346,496

Filing Date:

June 30, 1999

For valuable consideration received or to be received, and hereby acknowledged. Harlin J. Brisco of P.O. Box 786, Questa, New Mexico 87556; Victor Duran of 18 Calle Lisa, Santa Fe, New Mexico; and William G. Mitchell of P.O. Box 2506, Moriarty, New Mexico 87035 (hereinafter referred to as "Inventors"), hereby sell, assign and transfer unto The Better Way Company, L.L.C., of P.O. Box 15721, Santa Fe, New Mexico 87506 its successors and assigns (hereinafter referred to as "Assignee"), the entire interest for the United States of America and all foreign countries, including all rights of priority under the international conventions and treaties, in and to a certain invention or improvement known as FLOW VALVE, and described in an application filed in the United States Patent and Trademark Office on June 30, 1999, and given U.S. Patent Application Serial No. 09/346,496, which claims priority to U.S. provisional patent application serial number 60/100,334, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or on any provisional, utility, divisional, continuing, reissue, reexamination or other applications based in whole or in part thereon. And Inventors agree hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper in Assignee's sole discretion to secure to Assignee the grant of Letters Patent in the United States

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and/or in other countries as Assignee may determine in its sole discretion, with specifications and claims in such form as shall be approved by Assignee's counsel, and to vest and confirm in Assignee the legal and equitable title and full use and benefit of all such patents.

Inventors further agree with Assignee that this Assignment covers all processes, specifications, procedures, techniques, applications of the technology, and other knowledge and information now or hereafter known to Inventors which are not in the public domain and which relate to the above-mentioned invention or improvement, its manufacture, uses or embodiments, or other matters (the "Related Know-How"). Inventors agree to disclose or provide all Related Know-How to Assignee when discovered or upon request.

Inventors further covenant that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to Inventors and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Inventors' rights in the above-mentioned invention or improvement and Related Know-How and any patent application(s) and Letters Patent thereon have not been previously assigned, mortgaged or otherwise encumbered, and Inventors have full right, power and authority to assign all such rights to Assignee hereunder.

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As used herein, the terms "Inventors" and "Assignee" shall include such parties and their heirs, administrators, estates, successors and assigns of every kind.

Inventors hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and all foreign countries' equivalent officials to issue such Letters Patent as shall be granted on said application or applications based thereon to Assignee.

DATED this 22 day of Norman, 1999.

Harlin J. Brisco

STATE OF NEW MEXICO

ss.

COUNTY OF ( Lavis

1999, by Harlin J. Brisco.

My commission expires:

Notary Public



DATED this 20 day of 200ember, 1999.

Victor A. Duran

STATE OF NEW MEXICO

, ) ss.

COUNTY OF Santa Fe

1999, by Victor A. Duran.

My commission expires:

otary Public

NOT.

OFFICIAL SEAL LYDIA M. ROMERO TARY PUBLIC-STATE OF 12

My Somm, Expires 🚺 –

iam G Mitchall

STATE OF NEW MEXICO

COUNTY OF Santer 7= ) ss.

This instrument was acknowledged before me this 2 day of Decomber. 1999, by William G. Mitchell.

My commission expires:

12/5/2002

File: 30616-1001

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**RECORDED: 12/13/1999**