

MED
12-7-99

Practitioner's Docket No. U 012469-2

PATENT

12-20-1999

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231



101224223

JCS11 U.S. PTO
09/454849
12/07/99

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION
(37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The patent application provisional patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:

- a. Type of application
 - Application of patent (37 C.F.R. 1.53(b))
 - Continued prosecution (nonprovisional) application (37 C.F.R. 1.53(d))
 - Provisional application (37 C.F.R. 1.51(c))
 - International application (37 C.F.R. 1.431)

b. Date of execution: October 25, 1999

NOTE: Leave this blank if assignment is for a provisional application.

c. Name of each inventor:

- 1: Roy W. SILVERMAN
- 2: Herman Gerhardus NEL
- 3: Andre Peter STEYNBERG

d. Title of invention: "A PROCESS FOR PRODUCING LIQUID AND, OPTIONALLY, GASEOUS PRODUCTS FROM GASEOUS REACTANTS"

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is **mandatory**.)
(Express Mail certification is **optional**.)

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application" and the documents referred to as attached therein are being deposited with the United States Postal Service on this date December 7, 1999, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL386266292US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

GERALDINE MARTI

(type or print name of person mailing paper)

Geraldine Marti

Signature of person mailing paper

12/21/1999 DWGUYEN 00000038 09454849

01 FC:581

40.00 DP

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

***WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet for Assignment Accompanying New Patent Application—page 1 of 5) 4-2.3

EL386266292US

PATENT
REEL: 010439 FRAME: 0692

NOTE: 37 C.F.R. § 3.21 states: "If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended."

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):
Name 1: Roy W. SILVERMAN
Name 2: _____
Name 3: _____

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 CFR 3.31(a)(2))**

3. The rights are being conveyed to:
Name: Sasol Technology (Proprietary) Limited
Address: 1 Sturdee Avenue, Rosebank, Johannesburg
Republic of South Africa
Telephone No.: _____

**DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED
(37 CFR 3.31 (a)(3))**

4. The accompanying document intends to accomplish:
 an assignment.
 a security agreement.
 a license.
 a merger.
 a change of name.
 a change of address.
 other.
- 09/454849

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:
Name: William R. Evans
Address: 26 West 61st Street
New York, NY 10023
Telephone No.: (212) 708-1930

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. A. The attached assignment (document) was executed on October 25, 1999.

NOTE: *If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.*

B. The attached assignment was executed by _____ Name
on _____ .
Date

The attached assignment was executed by _____ Name
on _____ .
Date

The attached assignment was executed by _____ Name
on _____ .
Date

The attached assignment was executed by _____ Name
on _____ .
Date

The attached assignment was executed by _____ Name
on _____ .
Date

The attached assignment was executed by _____ Name
on _____ .
Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: *"The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation." 37 CFR 3.26.*

7. The attached document:

is in the English language.

is not in the English language. An English translation signed by the individual making the translation and statement as to its accuracy is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

(Cover Sheet for Assignment Accompanying New Patent Application—page 3 of 5) 4-2.3

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

8. Submitted herewith is:

- the original document.
 a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET
AND THE FEE**

NOTE: "All requests to record documents must be accompanied by the appropriate fee. Except as provided in paragraph (b) of this section, a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). . . ." 37 CFR 3.41.

- 9.** A. This cover sheet identifies only one application.
B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.
 Attached is a check for \$40.00.
 Please charge Account _____ \$40.00.
 A duplicate of this cover sheet is attached.

**STATEMENT (37 CFR 3.31(a)(9))
AND SIGNATURE (37 CFR 3.31(a)(10))**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: December 7, 1999

Name of party submitting document

Signature of party submitting document

(Complete the following, if the party submitting the document is applicant's attorney)

Reg. No. 25,858



Signature of Practitioner

Tel. No.: (212) 708-1930

William R. Evans

(type or print name of practitioner)

c/o Ladas & Parry

Customer No.:

26 West 61st Street

P.O. Address

New York, NY 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, **including cover sheet, attachment(s), and document(s)** are:

7
Total number of pages submitted

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

1. ANDRÉ PETER STEYNBERG

2. HERMAN GERHARDUS NEL

3. ROY W. SILVERMAN

hereby sell, assign and transfer to

SASOL TECHNOLOGY (PROPRIETARY) LIMITED

of 1 Sturdee Avenue, Rosebank, Johannesburg, Republic of South Africa

(hereinafter called the Assignee) the entire right, title, and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled

"A PROCESS FOR PRODUCING LIQUID AND, OPTIONALLY, GASEOUS PRODUCTS FROM GASEOUS REACTANTS"

which application was

- (a) executed by the undersigned on the _____ day of _____ 19__
- (b) filed on the _____ day of _____ 19__, Serial No. _____
- (c) filed as International Application Serial No. PCT/US98/02070 on July 14th, 1998.

including any and all United States Letters Patents which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals or continuations thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property.

It is hereby authorized and requested that the Commissioner of Patents issue any and all of said Letters Patent, when granted, to said Assignee.

Further, it is agreed that, when requested, without charge to but at the expense of said Assignee, the undersigned will execute all divisional, continuing, substitute, renewal, and reissue patent applications; execute all rightfully other papers; and generally do everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection.

Signed at CAMBRIDGE, MA USA

this 25 day of OCTOBER 1999.

INVENTOR(S):

1. _____

2. _____

3. Roy W. Silverman

WITNESSES:

[Signature]

[Signature]
