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Practitioner's Docket No. U 012369-6

CHAPTER II

IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/US98/02695 12 FEBRUARY 1998 21 FEBRUARY 1997
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED

A PROCESS FOR THE RECOVERY OF LACTIC ACID

TITLE OF INVENTION

Aharon Meir EYAL; David WITZKE; Rod FISHER

APPLICANT(S)

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

ATTENTION: EO/US

COVER SHEET FOR ASSIGNMENT
IN THE UNITED STATES ELECTED OFFICE (EO/US)
FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE
IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371

IDENTIFICATION OF APPLICATION

(37 CFR 3.21 and 37 CFR 3.31(a)(4))

11/24/1999 PVOLPE 00000089 09367618

1. The patent application to which the attached assignment (Document) refers, is identified as follows:

- Date of execution: November 5 and November 9, 1999
- Name of each inventor:
 - Aharon Meir EYAL
 - David WITZKE
 - Rod FISHER
- Title of invention: A PROCESS FOR THE RECOVERY OF LACTIC ACID

09/367618

NOTE: See 37 CFR 3.21.

NAME OF PARTY(IES) CONVEYING INTEREST

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is mandatory.)

(Express Mail certification is optional.)

I hereby certify that this Cover Sheet for Assignment (Document) Accompanying international Application Entering National Phase", along with any document referred to, is being deposited with the United States Postal Service on this date November 19, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL386266006US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Ibis Carrillo

(type or print name of person mailing paper)

Ibis Carrillo

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for international Application Entering National

PATENT
REEL: 010441 FRAME: 0456

2. The party(ies) conveying this interest is (are):

Name 1: David WITZKE

Name 2: Rod FISCHER

Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: Yissum Research Development Company
of the Hebrew University of Jerusalem

Address: 46 Jobotinsky Street,
P.O. Box 4279
Jerusalem, ISRAEL

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED

(37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a license.
- ☐ a merger.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: Joseph H. Handelman
Address: c/o Ladas & Parry
26 West 61st Street
New York, New York 10023
Telephone No.: (212) 708- 1880

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. A. ☐ The attached assignment (document) was executed on _____
Date

OR *If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.*

B. ☒ The attached assignment was executed by David WITZKE
Name
on 9 November 1999 .
Date

☒ The attached assignment was executed by Rod FISHER
Name
on 5 November 1999 .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation.. 37 CFR 3.26.

The attached document:

☒ is in the English language.

☐ is not in the English language. A verified English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

☒ the original document.

☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76 at 67.

NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

☒ Attached is a check for \$40.00.

☐ Please charge Account _____ \$40.00.

☐ A duplicate of this cover sheet is attached.

PATENT

REEL: 010441 FRAME: 0459

STATEMENT (37 CFR 3.31(a)(9)) AND SIGNATURE (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

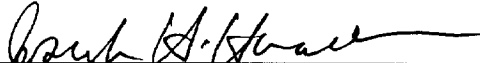
NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document" Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: _____

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)



SIGNATURE OF PRACTITIONER

Reg. No. 26179

Joseph H. Handelman

(type or print name of practitioner)

Tel. No.: (212) 708-1880

P.O. Address

Customer No.

c/o Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

8
Total number of pages submitted

Practitioner's Docket No. U 012369-6

PATENT

For: ☐ U.S. and/or ☐ Foreign Rights

For: ☒ U.S. Application or

☐ U.S. Provisional Application

For: ☐ U.S. Patent

For: [] PCT Application

By: **Multiple Inventors**

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

Aharon Meir EYAL
(type or print name of inventors)

32 Beitar Street 93380	Israeli
Address	Nationality
Jerusalem, ISRAEL	

David WITZKE

Apt. 401, 8580 Magnolia Trail, American

Rod FISHER

16820 South Shore Lane American

Eden Prairie, Minneapolis 55346

hereby sells, assigns and transfers to
ASSIGNEE

Yissum Research Development Company
of the Hebrew University of Jerusalem
(type or print name of ASSIGNEE)

Isreali

Nationality

46 Jabotinsky Street, P.O. Box 4279
Address

Jerusalem. ISRAEL

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☐ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:
A PROCESS FOR THE RECOVERY OF LACTIC ACID

Name of inventor(s) Aharon Meir EYAL; David WITZKE; Rod FISHER

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.
☐ Express mail label no.: _____
☐ Mailed: _____
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
(d) ☒ U.S. application no. 09/367,618
filed on August 17, 1999
(e) ☒ International application no. PCT/ US98 / 02695
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

<u>Aharon Meir EYAL</u> (type name of Inventor)	(X) _____ Signature of INVENTOR	this (x) _____ date of signing
<u>David WITZKE</u> (type name of Inventor)	(x) <u>[Signature]</u> Signature of INVENTOR	this (x) <u>9 NOV 99</u> date of signing
<u>Rod FISHER</u> (type name of Inventor)	(X) <u>[Signature]</u> Signature of INVENTOR	this (x) <u>5 NOV 99</u> date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing

[] Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors—page 3 of 3) 16-3.2