

12-22-1999

Attorney Docket No. 10078US01 & 10078US03

FORM PTO-1595

1/31/92



RM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

101230430

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof.

1. Name(s) of conveying party(ies):

Richard Goode
Jont Allen

12-3-99

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: 11/26/99 and 10/28/99

2. Name(s) and address(es) of receiving party(ies):

ETYMOTIC RESEARCH, INC.
61 Martin Lane
Elk Grove Village, IL 60007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 08/955,271 AND 5,524,056

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/955,271

B. Patent No.(s)

5,524,056

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kirk Vander Leest
McAndrews, Held & Malloy
500 West Madison Street
34th Floor
Chicago, Illinois 60661

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☒ Enclosed
☒ Authorized to charge additional fees to deposit account

8. Deposit account number: 13-0017

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature and Registration Number:

34,036

Date: December 3, 1999

Name of Person Signing:

Kirk Vander Leest

PATENT
REEL: 010444 FRAME: 0392

For: U.S. and Foreign Rights

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Jont Allen
382 Forest Hill Way
Mountainside, New Jersey 07092

hereby sells, assigns and transfers to

ASSIGNEE:

Etymotic Research, Inc.
61 Martin Lane
Elk Grove Village, Illinois 60007

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

**HEARING AID HAVING PLURAL MICROPHONES AND
A MICROPHONE SWITCHING SYSTEM.**

Inventors: Mead Killion, Fred Waldhauer (Deceased) by Ruth Waldhauer, Johannes Wittkowski, Richard Goode and Jont Allen.

and which is found in (37 C.F.R. § 3.21)

- U.S. application no. 08/046,241 (which issued as U.S. Patent No. 5,524,056 issued June 4, 1996);
- U.S. application no. 08/955,271 filed on October 21, 1997 which is a continuation of serial no. 08/632,517 filed April 12, 1996, which is a continuation of application serial no. 08/046,241 filed April 13, 1995, now U.S. Patent No. 5,524,056; and

- any legal equivalent thereof in a foreign country, including the right to claim priority.

and, in and to, all Letters Patent to be obtained for said invention by the above applications or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue and re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

SIGNED at Flascham Park, in the County of Morris and State of New Jersey this 28th day of October, 1999.

Jont Allen
Jont Allen (ASSIGNOR)

The foregoing instrument was acknowledged before me this 28th day of October, 1999 by Cheryl A. Simms.

SEAL:

Cheryl A. Simms
Notary Public

CHERYL A. SIMMS
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 21, 2002

For: U.S. and Foreign Rights

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Richard Goode
121 Giffin Road
Los Altos, California 94022

hereby sells, assigns and transfers to

ASSIGNEE:

Etymotic Research, Inc.
61 Martin Lane
Elk Grove Village, Illinois 60007

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

**HEARING AID HAVING PLURAL MICROPHONES AND
A MICROPHONE SWITCHING SYSTEM.**

Inventors: Mead Killion, Fred Waldhauer (Deceased) by Ruth Waldhauer, Johannes Wittkowski, Richard Goode and Jont Allen.

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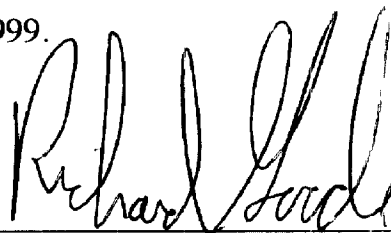
- and any legal equivalent thereof in a foreign country, including the right to claim priority.

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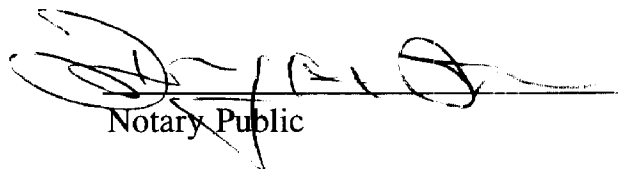
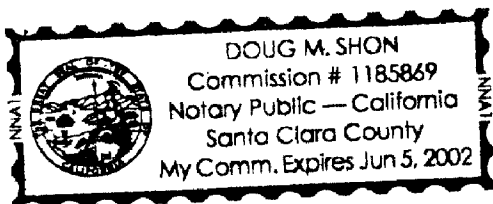
SIGNED at Los Altos, in the County of Santa Clara and State of CALIFORNIA this 26 day of NOVEMBER, 1999.



Richard Goode (ASSIGNOR)

The foregoing instrument was acknowledged before me this 26th day of November, 1999 by RICHARD GOODE.

SEAL:



Notary Public