

12-22-1999



FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

101230C40

U.S. Department of Commerce
Patent and Trademark Office
PATENT

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

12-08-99

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID #
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☒ Merger ☐ Other-Collateral Assignment

U.S. Government
(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Rockford Valve Company

Name (line 2) Delaware corporation

Second Party

Name (line 1) Watts Regulator Co.

Name (line 2) Massachusetts corporation

Execution Date
Month Day Year
01 12 1994

Execution Date
Month Day Year
01 12 1994

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Watts Regulator Co.

Name (line 2)

Address (line 1) 815 Chestnut Street

Address (line 2)

Address (line 3) N. Andover
City

MA
State/Country

01845
Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

12/21/1999 TTOM11 00000248 4822000

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 010444 FRAME: 0623

Correspondent Name and Address

Area Code and Telephone Number

Name Miriam J. Rovner
Address (line 1) Senior Legal Assistant
Address (line 2) Goodwin, Procter & Hoar LLP
Address (line 3) Exchange Place, 53 State Street
Address (line 4) Boston, MA 02109-2881

(617) 570-1292

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

See attached Schedule

Patent Number(s)

See attached Schedule

4,822,000
4,976,403
5,056,758

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

3

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 120.00

Method of Payment:

Enclosed



Deposit Account



Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

07-1700

Authorization to charge additional fees:

Yes



No



Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kimberly B. Herman
Name and Person Signing

Kimberly B. Herman
Signature

12/8/99
Date

The Commonwealth of Massachusetts

MICHAEL JOSEPH CONNOLLY

Secretary of State

ONE ASHBURTON PLACE

BOSTON, MASS. 02108

FEDERAL IDENTIFICATION

NO. 76-0377753

FEDERAL IDENTIFICATION

NO. 04-2108284

ARTICLES OF CONSOLIDATION* MERGER*
PURSUANT TO GENERAL LAWS, CHAPTER 156B, SECTION 79

The fee for filing this certificate is prescribed by General Laws, Chapter 156B, Section 114.
Make checks payable to the Commonwealth of Massachusetts.

CONSOLIDATION* MERGER* OF

Rockford Valve Company

and

Watts Regulator Co.

the constituent corporations

into

Watts Regulator Co.

one of the constituent corporations* organized under the laws of Massachusetts
as specified in the agreement referred to in Paragraph 1 below.

The undersigned officers of each of the constituent corporations certify under the penalties of perjury as follows:

1. An agreement of consolidation* merger* has been duly adopted in compliance with the requirements of subsections (b) and (c) of General Laws, Chapter 156B, Section 79, and will be kept as provided by subsection (c) thereof. The surviving* corporation will furnish a copy of said agreement to any of its stockholders, or to any person who was a stockholder of any constituent corporation, upon written request and without charge.

2. The effective date of the consolidation* merger* determined pursuant to the agreement referred to in paragraph 1 shall be the date of filing of these Articles of Merger.

3. (For a merger)

** The following amendments to the articles of organization of the SURVIVING corporation have been affected pursuant to the agreement of merger referred to in paragraph 1:

(NONE)

(X) (Delete the inapplicable words.)

*Delete the inapplicable words.

**If there are no provisions state "NONE."

NOTE: If the space provided under article 3 is insufficient, additions shall be set forth on separate 8 1/2 x 11 inch sheets of paper, leaving a left hand margin of at least 1 inch for binding. Additions to more than one article may be continued on a single sheet so long as each article requiring each such addition is clearly indicated.

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REEL: 010444 FRAME: 0020

(b) The total number of shares and the par value, if any, of each class of stock which the resulting corporation is authorized is as follows:

CLASS OF STOCK	WITHOUT PAR VALUE	WITH PAR VALUE		
	NUMBER OF SHARES	NUMBER OF SHARES	PAR VALUE	AMOUNT
Preferred				\$
Common				

** (c) If more than one class is authorized, a description of each of the different classes of stock with, if any, the preferences, voting powers, qualifications, special or relative rights or privileges as to each class thereof and any series now established.

** (d) Other lawful provisions, if any, for the conduct and regulation of the business and affairs of the corporation, for its voluntary dissolution, for restrictions upon the transfer of shares of stock of any class, or for limiting, defining, or regulating the powers of the corporation, or of its directors or stockholders, or of any class of stockholders:

4. ~~(This paragraph may be deleted if the resulting surviving corporation is organized under the laws of some other state or jurisdiction.)~~

The following information shall not for any purpose be treated as a permanent part of the articles of organization of the ~~resulting~~^{surviving} corporation:

(a) The post office address of the initial principal office of the ~~resulting~~^{surviving} corporation in Massachusetts is: 815 Chestnut Street
North Andover, MA 01845-6098

(b) The name, residence and post office address of each of the initial directors and President, Treasurer and Clerk of the ~~resulting~~^{surviving} corporation is as follows:

	Name	Residence	Post Office Address
President	Timothy P. Horne	94 Porter Rd.	Andover, MA 01810
Treasurer	Timothy P. Horne	See Above	
Clerk	Kenneth J. McAvoy	2 Hearthstone Place,	Andover, MA 01810
Directors	Timothy P. Horne	See Above	

(c) The date initially adopted on which the fiscal year of the ~~resulting~~^{surviving} corporation ends is: June 30

(d) The date initially fixed in the by-laws for the Annual Meeting of stockholders of the ~~resulting~~^{surviving} corporation is: Third Mondy of August

*Delete the inapplicable words.

**If there are no provisions state "NONE."

NOTE: If the space provided under article 3 is insufficient, additions shall be set forth on separate 8 1/2 x 11 inch sheets of paper, leaving a left hand margin of at least 1 inch for binding. Additions to more than one article may be continued on a single sheet so long as each article requiring each such addition is clearly indicated.

5. (This paragraph 5 may be deleted if the resulting* surviving* corporation is organized under the laws of Massachusetts)

The resulting* surviving* corporation hereby agrees that it may be sued in the Commonwealth of Massachusetts for any prior obligation of any constituent foreign corporation qualified under General Laws, Chapter 181, and any obligations hereafter incurred by the resulting* surviving* corporation, including the obligation created by General Laws, Chapter 156B, Section 85, so long as any liability remains outstanding against the corporation in the Commonwealth of Massachusetts, and it hereby irrevocably appoints the Secretary of the Commonwealth as its agent to accept service of process in any action for the enforcement of any such obligation, including taxes, in the same manner as provided in Chapter 181.

*Delete the inapplicable words.

FOR MASSACHUSETTS CORPORATIONS

The undersigned President* Vice President* and Clerk* Assistant Clerk* of Watts Regulator Co. a corporation organized under the laws of Massachusetts further state under the penalties of perjury that the agreement of ~~consolidation~~ merger* referred to in paragraph 1 has been duly executed on behalf of such corporation and duly approved in the manner required by General Laws, Chapter 156B, Section 79.

Timothy J. Horne

President* Vice President*

Kenneth J. McAvoy

Clerk* Assistant Clerk*

FOR CORPORATIONS ORGANIZED OTHER THAN IN MASSACHUSETTS

The undersigned Vice President and Secretary of Rockford Valve Company a corporation organized under the laws of Delaware further state under the penalties of perjury that the agreement of ~~consolidation~~ merger* referred to in paragraph 1, has been duly adopted by such corporation in the manner required by the laws of Delaware.

Kenneth J. McAvoy, Secretary and Vice President

*Delete the inapplicable words.

†Specify the officer having powers and duties corresponding to those of the President or Vice President of a Massachusetts corporation organized under General Laws, Chapter 156B.

††Specify the officer having power and duties corresponding to the Clerk or Assistant Clerk of such a Massachusetts corporation.

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THE COMMONWEALTH OF MASSACHUSETTS

ARTICLES OF CONSOLIDATION/MERGER

(General Laws, Chapter 156B, Section 79)

RECEIVED

1994 JAN 12 AM 11:35

CORPORATION DIVISION

I hereby approve the within articles of consolidation/merger and, the filing fee in the amount of \$ 250.00 having been paid, said articles are deemed to have been filed with me this 12th day of JANUARY, 19 94.

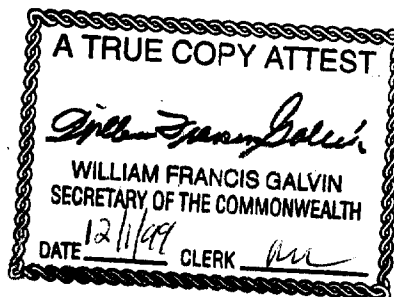
Effective Date

1/12/94

Michael Joseph Connolly

MICHAEL JOSEPH CONNOLLY

Secretary of State



TO BE FILLED IN BY CORPORATION
Photo Copy of Articles of Merger To Be Sent

TO:

..... Alison E. Callahan, Legal Assistant

..... Goodwin, Procter & Hoar

..... Exchange Place, Boston, MA 02109-2881

Telephone (617) 570-1636

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