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2 SHEET

U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
SERKH, Alexander

17/18/99

2. Name and address of receiving party(ies):

Name: **The Gates Corporation**

Internal Address: **Mail Stop 31-4-1-A3**

JCS11 U.S. PTO
09/442653
11/18/99

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Street Address: **900 S. Broadway**

City: **Denver** State: **CO** ZIP: **80209**

Execution Date: **November 15, 1999**

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09442653

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Jeffrey Thurnau**

Internal Address: **Mail Stop 31-4-1-A3**

Street Address: **The Gates Corporation**

900 S. Broadway

City: **Denver** State: **CO** ZIP: **80209**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account ✓

8. Deposit account number:

07-0475

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey Thurnau, Reg. No. 42,183

Name of Person Signing

Jeffrey Thurnau
Signature

11/18/99
Date

Total number of pages including cover sheet, attachments, and document: **3**

3

FC:581

PATENT

ASSIGNMENT

In consideration of the sum of Twenty-Five (\$25.00) Dollars and other good and valuable consideration paid to the undersigned (hereinafter designated as "undersigned"),

Name of Inventor: Alexander Serkh
316 Falling Brook Drive
Troy, MI 48098-4696

the undersigned agrees to assign, and hereby does assign, transfer, and set over unto:

Name of Assignee	THE GATES CORPORATION
Address of principal place of business	900 South Broadway Denver, CO 80209
State of Incorporation	a corporation of Delaware

(hereinafter designated as the "ASSIGNEE") the entire right, title, and interest for the United States and all foreign countries (including protection in foreign countries via the Patent Cooperation Treaty), in the invention, and all applications for patent including provisionals, non-provisionals, counterparts, and PCT applications and any Letters Patent which may be granted therefor, the application (hereinafter designated as "application") being known by the Title: "Continuously Variable Transmission Pulley".

Attorney Docket No. P99-043A
which is Ⓢ attached or Ⓢ referenced in:

Ⓢ U.S. Non-Provisional Application Serial No.: _____ Filing Date: 16/11/99
(Day/Month/Year)

Executed On: _____
(Day/Month/Year)

Ⓢ PCT Application Serial No.: _____ Filing Date: _____
(Day/Month/Year)

Ⓢ and which claims priority from one or more United States provisional patent applications, the earliest of which is:

Provisional Application No.: _____ **Filing Date:** _____
(Day/Month/Year)

(Ⓢ See Annex for additional Provisional Application Nos. and Filing Dates)

1. The undersigned agrees to execute all papers necessary in connection with the application and any counterpart application(s) in the United States and foreign countries, including but not limited to continuing prosecution applications (CPAs), continuations, continuations-in-part, divisions, reexaminations and reissues or extensions thereof, and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

2. The undersigned agrees to execute all papers necessary in connection with any interference which may be declared or litigation concerning this application and any counterpart application(s), or in connection with any opposition or nullity proceeding, and to cooperate with the ASSIGNEE in every way possible in obtaining evidence and in conducting such interference, litigation, opposition or nullity proceeding.

