

MD

12-13-99

Attorney's Docket No- HEL-00

12-28-1999

PATENT

Box Patent Application
Assistant Commissioner for Pa
Washington, D.C. 20231



101231883

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION
(37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The X patent application _____ provisional patent application Serial No. 09/238,839 filed on January 27, 1999, which the attached assignment (document) refers, is identified as follows:

a. Type of application

- X Application of patent (37 C.F.R. 1.51(a)(1))
_____ Provisional application (37 C.F.R. 1.51(a)(2))
_____ International application (37 C.F.R. 1.431)

b. Date of execution: November 16, 1999

NOTE: Leave this blank if assignment is for a provisional application.

c. Name of each inventor:

1: Allan B. Fox

2:

3:

d. Title of Invention: CHAIR FOR USE BY AN ADULT OR A CHILD

NOTE: 37 C.F.R. § 3.21 states: If an assignment of a patent application filed under § 1.53(b)(1) or § 1.62 is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date December 8, 1999 in an envelope for First Class Mail, with proper postage and addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

12/27/1999 INVENTOR 00000191 09220039

01 FC:381

40.00 EP

Mark F. Smith

(Cover Sheet For Assignment Accompanying New Patent Application (page 1 of 4))

PATENT

REEL: 010454 FRAME: 0196

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Allan B. Fox

Name 2: _____

Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: Hrothgar Enterprises, LLC

Address: 2800 Shafar Boulevard, Apt. 3
Dayton, Ohio 45419

Telephone No.: (937) 436-8340

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED

(37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

☒ an assignment.

☐ a security agreement.

☐ a license.

☐ a merger.

NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED

(37 CFR 3.31(a)(8))

5. Please address correspondence to:

Name: Mark F. Smith

Address: SMITH, GUTTAG & BOLIN Ltd
10921 Reed Hartman Highway
Suite 316
Cincinnati, Ohio 45242

Telephone No.: (513)937-8537

(Cover Sheet For Assignment Accompanying New Patent Application (page 2 of 4))

PATENT
REEL: 010454 FRAME: 0197

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on November 16, 1999

Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individuals making the translation.

The attached document:

X is in the English language.

_____ is not in the English language. A verified English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6x33 cm. (8 1/2 x 14 inches) with a 2.5cm. (one-inch) margin on all sides. - 37 CFR 3.24.

7. Submitted herewith is:

X the original document.

_____ a true copy of the original document.

NOTE: "If the original (assignment) document is two-sided or the wrong size, the practitioner can comply with the requirement (set out in 37 C.F.R. 3.24) by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992".

(Cover Sheet For Assignment Accompanying New Patent Application (page 3 of 4))

PATENT
REEL: 010454 FRAME: 0198

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET
AND THE FEE (37 CFR 3.31 (a)(6))**

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

☒ Attached is a check for \$40.00.

**STATEMENT (37 CFR 3.31(a)(9))
AND SIGNATURE (37 CFR 3.31 (a)(10))**

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date:

Dec. 2, 1999

Mark F. Smith
Mark F. Smith

(Complete the following, if the party submitting the document is, applicant's attorney)

Reg. No. 32,437

Mark F. Smith
Mark F. Smith
SMITH, GUTTAG & BOLIN Ltd
10921 Reed Hartman Highway, Suite 316
Cincinnati, Ohio 45242
(513)936-8537 Phone/Fax

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s) and documents are: 5

(Cover Sheet For Assignment Accompanying New Patent Application (page 4 of 4))

**PATENT
REEL: 010454 FRAME: 0199**

ASSIGNMENT

WHEREAS, I,

Allan B. Fox of 2800 Shafor Boulevard, Apt. 3, Dayton, Montgomery, Ohio 45419
Name Address City County State Zip

has invented certain new and useful inventions and improvements in **CHAIR FOR USE BY AN ADULT OR A CHILD**, identified as **HEL-001PAT (Docket Number)**, and described in United States Patent Application, Serial No. 09/238,839, filed on January 27, 1999 for letters patent of the United States executed by the undersigned, being the owner of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, **Hrothgar Enterprises, LLC.**, a company of the State of Ohio with a place of business at 2800 Shafor Boulevard, Apt. 3, Dayton, Ohio 45419 (Assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said Assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said Assignee or its successors and assigns. The undersigned further agrees, without any further payment or compensation by said Assignee or its successors and assigns, to communicate to said Assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said Assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has hereunto set his hand and seal on the date set after his signature.

(L.S.) Allan B. Fox
Allan B. Fox

Nov. 16 1999
Date 11-16-1999

RECORDED: 12/13/1999

PATENT
REEL: 010454 FRAME: 0200