

01-05-2000

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

NAOHIRO NETSU
YOSHITAKA AOKI
TETSU WATANABE
KAZUHIKO FUJIE
YASUAKI MAEDA
TAKUYA KAERIYAMA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Sony Corporation

Internal Address: _____

Street Address: 7-35, Kitashinagawa 6-chome
Shinagawa-ku, Tokyo 141, Japan

City: _____ State: _____ Zip: _____

Additional Name(s) & Address(es) attached ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date December 7, 8 and 9, 1999

4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Attorney Docket No. P99,1432

U.S. Serial No. 09/392,255 filed September 20, 1999

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document should be
mailed:

Hill & Simpson, P.C.

85th Floor Sears Tower
233 S. Wacker Drive
Chicago, IL 60606

6. Total number of applications and patents involved

17. Total Fee (37 CFR 3.41) \$ 40.00☒ Enclosed
☒ Authorized to be charged to deposit account any
Additional fees

8. Deposit Account Number:

08-2290

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a
true copy of the original document.

David R. Metzger

Name of Person Signing

Signature

December 14, 1999

Date

Total number of pages 2

Mail documents to be recorded and required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

PATENT

REEL: 010464 FRAME: 0739

A S S I G N M E N T

FOR a valuable consideration, the undersigned, **NAOHIRO NETSU**, residing in Chiba, Japan, **YOSHITAKA AOKI**, residing in Kanagawa, Japan, **TETSU WATANABE**, residing in Tokyo, Japan, **KAZUHIKO FUJIE**, **YASUAKI MAEDA**, and **TAKUYA KAERIYAMA**, all residing in Kanagawa, Japan, c/o Sony Corporation, 7-35, Kitashingawa 6-chome, Shingawa-ku, Tokyo 141, Japan, hereby sell, assign and transfer unto **SONY CORPORATION**, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141, Japan, a Japanese corporation, the whole right, title and interest in and to a certain invention or improvement in

"OPTICAL RECORDING MEDIUM AND DISC CARTRIDGE"

disclosed in an application for Letters Patent of the United States, prepared by the firm of Hill & Simpson, A Professional Corporation of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. **P99,1492**, and in our own records as Case No. **S00P0006US00**, and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all rights and privileges under the Letters Patent that may be granted therefor, including the right to claim the benefit of an earlier filing date for the same invention in a foreign country.

We hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said Sony Corporation, 7-35 Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141, Japan.

For the same consideration, we hereby agree that we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

DATE: <u>December 7, 1999</u>	<u>Naohiro Netsu</u> Naohiro Netsu
DATE: <u>December 7, 1999</u>	<u>Yoshitaka Aoki</u> Yoshitaka Aoki
DATE: <u>December 7, 1999</u>	<u>Tetsu Watanabe</u> Tetsu Watanabe
DATE: <u>December 7, 1999</u>	<u>Kazuhiko Fujiie</u> Kazuhiko Fujiie
DATE: <u>December 07, 1999</u>	<u>Yasuaki Maeda</u> Yasuaki Maeda
DATE: <u>December 08, 1999</u>	<u>Takuya Kaeriyama</u> Takuya Kaeriyama

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