

01-06-2000



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U.S. PATENT AND TRADEMARK OFFICE

DEC 690 U.S. PTO

09/461006



12/15/99

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Case Docket No. 991406

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Assistant Commissioner for Patents.
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Takeshi NISHIUCHI and Fumiaki KIKUI

Additional name(s) of conveying party(ies) attached? NO

2. Name and address of receiving party(ies):

Name: **SUMITOMO SPECIAL METALS CO., LTD.**

Street Address: 4-7-19, Kitahama, Chuo-ku, Osaka-shi,
Osaka, Japan

Additional name(s) & address(es) attached? NO

3. Nature of conveyance: **Assignment**

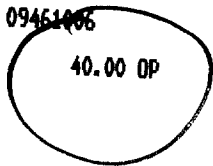
Execution Date: **November 17, 1999**

4. Title: **RARE EARTH METAL-BASED PERMANENT MAGNET, AND PROCESS
FOR PRODUCING THE SAME**

09/461006

01/03/2000 SCARMICH 00000031 09461006

03 FC:581



5. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 17, 1999

A. Patent Application No.(s) B. Patent No.(s)

Additional numbers attached? NO

6. Name and address of party to whom correspondence concerning document should be mailed:

Name: Armstrong, Westerman, Hattori,
McLeland & Naughton
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006

7. Total number of applications and patents involved: 1

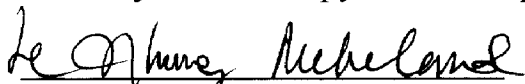
8. Total fee (37 CFR 3.41). \$ **40.00**

XX Check enclosed

9. Deposit Account No.: 01-2340

10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.



Date: December 15, 1999

Le-Nhung McLeland
Reg. No.: 31,541

Total number of pages including cover sheet: 3

LNM/yap

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

Sumitomo Special Metals Co., Ltd. of 4-7-19, Kitahama, Chuo-ku, Osaka-shi, Osaka Japan

(Insert ASSIGNEE's Name(s) Address(es))

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

(Title of Invention)

RARE EARTH METAL-BASED PERMANENT MAGNET, AND PROCESS FOR PRODUCING THE SAME

(*If the assignment is being filed after the filing of the application, this section must be completed)

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

*filed on _____, Serial No. _____ (Armstrong, Westerman, Hattori, McLeland & Naughton is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

Handwritten signatures and typed names/dates for Takeshi NISHIUCHI and Fumiaki KIKUI, dated November 17, 1999.

NO LEGALIZATION REQUIRED