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A/D 581.40

09/381687

Atty. Docket No.: 2173-115P

Page 1 of 1

01-12-2000

420 Rec'd PCT/PTO 2 3 SEP 1999

VER SHEET



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nts and Trademarks:  
nents or copy thereof.

1. Name of conveying party(ies):

HATADA, Yuji; IKAWA, Kaori; ITO, Susumu;  
IGARASHI, Kazuaki; HAGIHARA, Hiroshi;  
HAYASHI, Yasuhiro; ARAKI, Hiroyuki and  
OZAKI, Katsuya

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: September 3, 1999

2. Name and address of receiving party(ies)

Name: Kao Corporation

Internal Address:

Street Address: 14-10, Nihonbashikayabacho 1-chome,  
Chuo-ku

City: Tokyo State: ZIP:

Country: Japan Postal Code: 103-8210

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 3, 1999

A. Patent Application No(s).

B. Patent No(s).

09/381687

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH & BIRCH, LLP

Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

6. Total No. of applications/patents involved: One (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 02-2448

(Attach triplicate copy of this page  
if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph A. Kolasch, #22,463  
Name of Person Signing/Reg. No.

Signature

September 23, 1999  
Date

Total number of pages including cover sheet, attachments, and document: Three (3)

09/27/1999 UCLAYBRO 00000017 09381687  
02 FC:581

documents to be recorded with required cover sheet information to:  
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PATENT  
REEL: 010477 FRAME: 0201

ATTORNEY DOCKET NO.

2173-115P

## ASSIGNMENT

Application No. New

Filed 9/23/99

Insert Name(s)  
of Inventor(s)

WHEREAS, Yuji HATADA, Kaori IKAWA, Susumu ITO, Kazuaki IGARASHI,  
Hiroshi HAGIHARA, Yasuhiro HAYASHI, Hiroyuki ARAKI and Katsuya OZAKI;  
all of them with the address of c/o Kao Corporation, Research  
Laboratories, 2606, Akabane, Ichikai-machi, Haga-gun, Tochigi  
321-3497 Japan

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in

Insert Title  
of Invention

"MUTANT  $\alpha$ -AMYLASES"

for which an application for Letters Patent of the United States of America has been executed by the undersigned

Insert Date  
of Signing of  
Application

on September 3, 1999; and

Insert Name  
of Assignee

WHEREAS, Kao Corporation

Insert Address  
of Assignee

of 14-10, Nihonbashikayabacho 1-chome, Chuo-ku, Tokyo 103-8210 Japan

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of  
acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that  
may be granted therefor in the United States of America and

CHECK BOX  
IF APPROPRIATE

☐ in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand  
paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned  
has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said  
Assignee the full and exclusive right to the said invention in the United States of America, its territories,  
dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s)  
which may be granted therefor in the United States of America, its territories, dependencies and possessions,  
and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for  
which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date <u>September 3, 1999</u>	Name of Inventor <u>Yuji Hatada</u> (signature) Yuji HATADA
Date <u>September 3, 1999</u>	Name of Inventor <u>Kaori Ikawa</u> (signature) Kaori IKAWA
Date <u>September 3, 1999</u>	Name of Inventor <u>Susumu Ito</u> (signature) Susumu ITO
Date <u>September 3, 1999</u>	Name of Inventor <u>Kazuaki Igarashi</u> (signature) Kazuaki IGARASHI
Date <u>September 3, 1999</u>	Name of Inventor <u>Hiroshi Hagihara</u> (signature) Hiroshi HAGIHARA
Date <u>September 3, 1999</u>	Name of Inventor <u>Yasuhiro Hayashi</u> (signature) Yasuhiro HAYASHI
Date <u>September 3, 1999</u>	Name of Inventor <u>Hiroyuki Araki</u> (signature) Hiroyuki ARAKI
Date <u>September 3, 1999</u>	Name of Inventor <u>Katsuya Ozaki</u> (signature) Katsuya OZAKI
Date _____	Name of Inventor _____ (signature)
Date _____	Name of Inventor _____ (signature)