

FORM PT0-1595 1-31-92

12.9.99

101244015

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Billy R. Bedford Name: Caterpillar Inc. Thomas E. Oertley Loreena S. Plouse Internal Address: Intellectual Property Department Additional Names attached: [] Yes [X] No Street Address: 100 N.E. Adams Street 3. Nature of Conveyance: City: Peoria State: Illinois [X] Assignment Zīp: 61629-6490 [] Security Agreement [] Other Execution Date(s): 11/24/1999, 11/24/1999, and 12/07/1999 Additional name(s) & addresses attached? [] Yes [X] No 4. Application number(s) or patent number(s): 09458177 If this document is being filed together with a new application, the execution date of the application is 11/24/1999, 11/24/1999, and 12/07/1999. A. Patent Application No.(s) B. Patent No.(s) Additional numbers attached? [] Yes [X] No Title of Invention: METHOD AND APPARATUS FOR RETAINING A TRACK CHAIN JOINT Attorney Docket No.: 98-738 5. Name and address of party to whom correspon-6. Total number of applications and patents dence concerning document should be mailed: involved [1] Name: Calvin E. Glastetter
CATERPILLAR INC. 7. Total fee (37 CFR §3.41) \$ 40.00 [] Enclosed Internal Address: Intellectual Property Dept. [X] Authorized to be charged to deposit account Street Address: 100 N. E. Adams Street 8. Deposit account number: 03-1129 City: Peoria _____ State: IL (If charged duplicate copy attached) Zip: 61629-6490 9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Calvin E. Glastetter 12/9/1999 Name of Person Signing Total number of pages comprising cover sheet, attachments, and document: [3] Mail document to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231

01/12/2000 DNGUYEN 00000229 031129 09458177

01 FC:581

40.00 CH

PATENT REEL: 010479 FRAME: 0684

ASSIGNMENT

WHEREAS, BILLY R. BEDFORD; THOMAS E. OERTLEY; and LOREENA S. PLOUSE, residing respectively at Peoria, Illinois; Dunlap, Illinois; and Morton, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

METHOD AND APPARATUS FOR RETAINING A TRACK CHAIN JOINT

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on

_	November 2	24,	1999			November	24,	1999	 and
	December 7	7 .	1999	;	and				

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

- 1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

PATENT REEL: 010479 FRAME: 0685 IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

Billy R. BEDFORD	24 Nov 1499 Date
IN WITNESS OF the execution hereof by BII our hands.	LY R. BEDFORD we have hereunto set
Lauf Torchia Witness (1) (Date)	Stephen C Jodd Witness (2) (Date)
Address: 901 Highland Ct	Address: 213 WESTSHONE DR
Metamora, Sl. 61548	Monton, IU, 6/550
THOMAS E. OERTLEY	24 Nov 1999 Date
IN WITNESS OF the execution hereof by THO	MAS E. OERTLEY we have hereunto set
witness (1) (Date)	Frank W. Howard 24 Nov 1949 Witness (2) (Date)
Address: 408 W Roven Rd	Address: 12718 CRESCENT DR
Loreena S Plouse	7 DFC 1999 Date
IN WITNESS OF the execution hereof by $\underline{\text{LOR}}$	EENA S. PLOUSE we have hereunto set
Witness (1) Number 1/Dec 1999 Witness (1) (Date)	Witness (Date)
Address: 715 S. Lee	Address: 6414 N. Dovonshile
Morton IL 6/550	Address: 6414 N. Dovonshice Peoria, IL 61615

RECORDED: 12/09/1999

PATENT REEL: 010479 FRAME: 0686