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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

` SHEET

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CASE 20232

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| To the Honorable Commissioner of Patents and original documents or copy thereof. | Tr  | ademarks:           | Please       | record the attached       |
|--|-----|---------------------|--------------|---------------------------|
| 1. Name of conveying party(ies):   | 2.  | Name and            | address      | of receiving party(ies):  |
|  |     | Name:               | ROCHE P      | RODUCTS LIMITED           |
| 1) WILLIAM HARRIS  |     | wante.              | ROCHE I      | NODOCID BEHLIED           |
| <ul><li>2) CHRISTOPHER HUW HILL</li><li>3) IAN EDWARD DAVIS SMITH</li></ul>      |     | Internal            | Address      | : A BRITISH COMPANY       |
| Additional name(s) of conveying  |     |                     |              |                           |
| party(ies) attached? Yes _x No   |     |                     |              |                           |
| 3. Nature of conveyance:   |     |                     |              |                           |
| <b>X</b> Assignment Merger   |     | Street Ad           | ddress:_     | BROADWATER ROAD,          |
| <pre>X Assignment Merger Security Agreement Change of Name</pre>                 |     |                     |              | WELWYN GARDEN CITY        |
| Other  |     | Country:            | HERTFO       | RDSHIRE, UNITED KINGDOM   |
| Execution Date: OCTOBER 12, 1999   |     | Additiona<br>Yes No |              | s) & address(es) attached |
| 4. Application number(s) or patent number(s)                                     | :   |                     |              |                           |
| If this document is being filed together the application is:                     | wit | h a new a           | pplicati     | on, the execution date of |
| A. Patent Application No.(s)   | В.  | Patent 1            | No.(s)       |                           |
| USSN 09/422,451 FILED: 0   | CTO | BER 21, 1           | 999          |                           |
| Additional numbers at  | tac | hed?                | Yes <u>x</u> | No                        |
|  |     |                     |              |                           |

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> PATENT REEL: 010480 FRAME: 0965

| ō. | Name and address of party to whom correspondence concerning document should be mailed: | 6.       | Total number of applications and patents involved: ONE                |
|----|--|----------|---|
|    | Name: GEORGE W. JOHNSTON   |          |   |
|    |  |          |   |
|    | Internal Address:  | 7.       | Total fee (37 CFR 3.41)\$ 40.00                                       |
|    | HOFFMANN-LA ROCHE INC.   |          | <u>X</u> Authorized to be charged to deposit account                  |
|    | PATENT LAW DEPARTMENT  |          | <u>X</u> Authorized any deficiencies to be charged to deposit account |
|    | Street address: 340 KINGSLAND STREET   | 8.       | Deposit account number:   |
|    |  |          | 08-2525   |
|    | City: NUTLEY State: NJ Zip: 07110  |          |   |
|    | DO NOT USE   | E TH     | IS SPACE  |
| 9. | To the best of my knowledge and belief,  | the      | foregoing information is true and correct                             |
|    | and any attached copy is a true copy of  | the      | original document.  |
|    | LEWIS J. KREISLER  Name of Person Signing  Sig   | X<br>nat | DECEMBER 8, 1999  Ure  Date   |
|    | CONTACT: Lou Ann Donatoni TELEPHONE: (973) 235-4389 FAX: (973) 235-2363                |          |   |

Total number of pages including cover sheet, attachments and document: 3

PATENT REEL: 010480 FRAME: 0966

## <u>Assignment</u>

WHEREAS, I/WE

William Harris, Christopher Huw Hill, Ian Edward David Smith

the ASSIGNOR(S), have invented certain new and useful improvements in

## BICYCLIC NITROGEN HETEROCYCLES

which are described and claimed in application for United States Letters Patent in respect of which a Declaration and Power of Attorney was executed on 12 October 1999

which application is based upon the following foreign application(s):

in Great Britain on October 23, 1998 under No. 9823277.0 and August 24, 1999 under No. 9920044.6

NOW, THEREFOR, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations to me (us) in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I/we have sold, assigned and set over, and by these presents do hereby sell, assign and set over unto ROCHE PRODUCTS LIMITED, a British Company, of Broadwater Road, Welwyn Garden City, Hertfordshire, United Kingdom, the Assignee, and the said Assignee's legal representatives, successors and assigns, the entire right, title and interest, in and to the said invention within the United States of America and its territories and possessions, and in and to said application and the Letters Patent of the United States of America that may be granted therefor, together with the right to extend the protection thereof to the various territories and possessions now owned or which may be acquired hereafter by the United States of America; and I/we do hereby request and authorize the Commissioner of Patents to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this assignment.

UPON SAID CONSIDERATION, I/we do hereby covenant and agree with the said Assignee that I/we will not execute any writing or do any act whatsoever conflicting with these presents, and that I/we will at any time upon request without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued, or extended Letters Patent of the United States of America on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, this Assignment has been executed by the Assignor(s) on: 12 October 1999

William Harris Christopher Huw Hill

Ton Solward David Sn, 74

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