

IN THE UNITED

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**OFFICE** 

In re patent application of

John W. Sussmeier, et al

) Attorney Docket No.: E-839

Serial No.:

) Date: December 28, 1999

Filed: Concurrently herewith

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Title:

SYSTEM AND METHOD FOR DOCUMENT INPUT CONTROL

#### **RECORDATION OF ASSIGNMENT - NEW PATENT APPLICATION**

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please record the attached original document(s) or copy(ies) in the records of the U.S. Patent and Trademark Office.

1. Name of conveying party: 2. Name of receiving party: Kevin J. O'Dea Pitney Bowes Inc. John R. Masotta World Headquarters John W. Sussmeier One Elmcroft Road Stamford, CT 06926-0700 3. Nature of Conveyance: Assignment Execution Date: October 22 and 27, 1999 Property Conveyed: 09 1473533
This document is being filed together with a new patent application. The execution date of the 4. Property Conveyed: application is October 22 and 27, 1999. 5. Name and address of party 6. Total Number of Applications: to whom correspondence concerning this document 7. Total Recordal Fee: \$40.00 should be mailed: 8. Charge the \$40.00 Fee to Christopher J. Capelli Deposit Account No. 16-1885. Pitney Bowes Inc. 35 Waterview Drive P.O. Box 3000 Shelton, CT 06484-8000

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and an attached copy is a true copy of the original document.

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Christopher J. Capelli

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December 28, 1999

Total number of pages including this cover sheet: 4

PATENT REEL: 010484 FRAME: 0979

## **ASSIGNMENT**

WHEREAS, we, Kevin J. O'Dea, John R. Masotta and John W. Sussmeier have invented certain new and useful improvements in a SYSTEM AND METHOD FOR DOCUMENT INPUT CONTROL identified as File Number E-839 in the Intellectual Property and Technology Law Department files of the hereinafter-mentioned assignee, and said Kevin J. O'Dea has executed an application for United States Patent based thereon on the 27th day of October, 1999; and said John R. Masotta has executed an application for United States Patent based thereon on the 22 day of OCTOBER, 1999; and said John W. Sussmeier has executed an application for United States Patent based thereon on the 2 day of October, 1999;

AND WHEREAS, Pitney Bowes Inc., a corporation organized and existing under the laws of the State of Delaware and having its place of business at 1 Elmcroft Road, Stamford, Connecticut, U.S.A., is desirous of acquiring certain rights thereunder.

NOW, THEREFORE, for one dollar and other good and valuable consideration. receipt of all of which is hereby acknowledged, we have agreed to and hereby sell, assign and transfer unto said corporation the entire right, title and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to said invention, said application and any and all patents (including extensions thereof, and all the rights under the International Convention for the Protection of Industrial Property including the right to claim for any foreign patent application the priority date of the corresponding U.S. patent application) of any country, which have been or may be granted on said invention or any part thereof, or on said application or any divisional, continuing, renewal, substitute, reissue or other patent application based in whole or in part thereon, or based upon said invention;

TO BE HELD AND ENJOYED by said corporation, its successors and assigns, to the full ends of the respective terms of which said patents or any of them have been or may be granted, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

AND we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States application or any of them, or upon said invention or any part thereof, to said corporation:

AND we hereby agree for ourselves and for our heirs, executors and administrators. to execute without further consideration any further lawful documents and any further assurances, and any divisional, continuing, renewal, substitute, reissue, or other applications for patents of any country, that may be deemed necessary by said corporation fully to secure to said corporation, its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

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**PATENT** PREEL: 010484 FRAME: 0980 AND we do hereby covenant for ourselves and our legal representatives and agree with said corporation, its successors and assigns, that we have granted no right or license to make, use or sell said invention to anyone except said corporation, that, prior to the execution of this deed, our right, title and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals:

Kevin J. O'Bea Date

10/22/99	Date			
John R. Masotta Date	Date			
John W. Sussmeier Date	Date			
John W. Sussmeier Date	Date			
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# **ACKNOWLEDGMENTS**

State of Connecticut)

County of Fairfield

On this <u>27</u><sup>th</sup> day of <u>October</u>, 1999, personally appeared before me the above-named Kevin J. O'Dea to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

**NOTARY PUBLIC** 

CHRISTIAN A. BECK
My Comminction Expires
March 51, 2000

- 2 -

State of Connecticut )
) ss.

County of Fairfield )

On this 22 day of \_\_\_\_\_\_, 1999, personally appeared before me the abovenamed John R. Masotta to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

**NOTARY PUBLIC** 

CHRISTIAN A. BECK

My Commission Expires
March 31, 2000

State of Connecticut)

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County of Fairfield )

On this 22<sup>m</sup> day of <u>Cotober</u>, 1999, personally appeared before me the abovenamed John W. Sussmeier to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

NOTARY PUBLIC

CHRISTIAN A. BECK My Commission Expires March 31, 2000

## **ASSIGNMENT**

WHEREAS, we, Kevin J. O'Dea, John R. Masotta and John W. Sussmeier have invented certain new and useful improvements in a SYSTEM AND METHOD FOR DOCUMENT INPUT CONTROL identified as File Number E-839 in the Intellectual Property and Technology Law Department files of the hereinafter-mentioned assignee, and said Kevin J. O'Dea has executed an application for United States Patent based thereon on the 27<sup>14</sup> day of October, 1999; and said John R. Masotta has executed an application for United States Patent based thereon on the 22 day of other, 1999; and said John W. Sussmeier has executed an application for United States Patent based thereon on the day of October, 1999;

AND WHEREAS, Pitney Bowes Inc., a corporation organized and existing under the laws of the State of Delaware and having its place of business at 1 Elmcroft Road, Stamford, Connecticut, U.S.A., is desirous of acquiring certain rights thereunder.

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and hereby sell, assign and transfer unto said corporation the entire right, title and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to said invention, said application and any and all patents (including extensions thereof, and all the rights under the International Convention for the Protection of Industrial Property including the right to claim for any foreign patent application the priority date of the corresponding U.S. patent application) of any country, which have been or may be granted on said invention or any part thereof, or on said application or any divisional, continuing, renewal, substitute, reissue or other patent application based in whole or in part thereon, or based upon said invention;

TO BE HELD AND ENJOYED by said corporation, its successors and assigns, to the full ends of the respective terms of which said patents or any of them have been or may be granted, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

AND we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States application or any of them, or upon said invention or any part thereof, to said corporation;

AND we hereby agree for ourselves and for our heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any divisional, continuing, renewal, substitute, reissue, or other applications for patents of any country, that may be deemed necessary by said corporation fully to secure to said corporation, its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

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AND we do hereby covenant for ourselves and our legal representatives and agree with said corporation, its successors and assigns, that we have granted no right or license to make, use or sell said invention to anyone except said corporation, that, prior to the execution of this deed, our right, title and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals:

## <u>ACKNOWLEDGMENTS</u>

State of Connecticut )

County of Fairfield

On this <u>27</u><sup>th</sup> day of <u>October</u>, 1999, personally appeared before me the abovenamed Kevin J. O'Dea to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

NOTARY PUBLIC

CHRISTIAN A. BECK
My Commission Expires
March 51, 2000

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State of Connecticut)	)	()	
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County of Fairfield )	)	8	

On this 22 day of 0, 1999, personally appeared before me the abovenamed John R. Masotta to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

CHRISTIAN A. BECK My Commission Expires March 31, 2000

State of Connecticut)

**RECORDED: 12/28/1999** 

County of Fairfield

On this 22<sup>md</sup> day of October, 1999, personally appeared before me the abovenamed John W. Sussmeier to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

CHRISTIAN A. BECK My Commission Expires March 31, 2000

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