

MFD 1.4.00

01-19-2000

FORM PTO-1595

(Rev. 6-93)

OMB No. 0851-0011 (exp. 4/94)

Tab settings     ▼

REI



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101246654

To the Honorable Commissioner of Pa

original documents or copy thereof.

1. Name of conveying party(ies):

Kumar Thakur

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Lumigen, Inc.

Internal Address:

Street Address: 24485 W Ten Mile Rd

City: Southfield State: MI ZIP: 48034

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

4. Application number(s) or patent number(s):

09/477589

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard S. Handley

Internal Address:

Street Address: 24485 W Ten Mile Rd

City: Southfield State: MI ZIP: 48034

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

12-2368

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard S. Handley  
Name of Person Signing

*Richard Handley*  
Signature

Jan 4, 2000  
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

01/18/2000 DNGUYEN 00000226 128368 09477589

01 FC:581

40.00 CH

(Form PTO-1595-Recordation Form Cover Sheet [16-5]-page 1 of 3)

PATENT  
REEL: 010486 FRAME: 0715

3586 U.S. PTO  
09/477589  
01/04/00

## ASSIGNMENT

WHEREAS, I, Kumar Thakur residing at 24613 Highland Ct., Apt. 306, Farmington Hills, Michigan 48335, (Assignor) have invented certain new and useful improvements in WATER SOLUBLE TRI-SUBSTITUTED 1,2-DIOXETANE COMPOUNDS HAVING INCREASED STORAGE STABILITY, SYNTHETIC PROCESSES AND INTERMEDIATES for which an application for United States Letters Patent was signed by me on even date herewith; and

WHEREAS, LUMIGEN, INC., a corporation of the State of Michigan, whose post office address is 24485 W. Ten Mile Road, Southfield, Michigan 48034, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, the receipt whereof is hereby acknowledged, and other valuable considerations, I the Assignor, have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to said invention in the United States and its territorial possessions and in all foreign countries, including rights of priority under the Paris Convention (1883), and the entire right title and interest in and to any Letters Patent that may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof.

I hereby authorize and request the Patent and Trademark Office officials in the United States and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said Assignee as the Assignee of my entire right, title and interest in and to the same for the sole use and benefit of the said Assignee, its successors and assigns.

FURTHER, I agree that I will communicate to said Assignee or its representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful

papers, execute all divisional, continuation, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said Assignee, make all rightful oaths and generally do everything possible to aid said Assignee, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

IN TESTIMONY WHEREOF, I have hereunto set our hands this 4th day of January, 2000.

Signed in the Presence of:

Richard Handley

Kumar Thakur  
Kumar Thakur

STATE OF MICHIGAN :  
COUNTY OF Oakland : ss.

On this 4th day of January, 2000, personally appeared before me the above named Kumar Thakur to me known and known to me to be the person described in and who executed the foregoing instrument and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

SEAL

Ardeyce K. Chambers  
Notary Public

My Commission Expires: \_\_\_\_\_  
ARDEYCE K. CHAMBERS  
NOTARY PUBLIC  
STATE OF MICHIGAN  
COMMISSION EXPIRES 01/31/2003  
RESIDES: Oakland COUNTY, MI