

MRD

1-18-00

R

01-20-2000



3ET

101247327

Our Ref.: I21-184

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Indu PARIKH  
Awadhesh K. MISHRA  
Robert DONGA  
Michael G. VACHON

2. Name and address of receiving party(ies):

Name: RTP Pharma Inc.  
Internal Address:  
Street Address: 4364 Alston Avenue, Suite 201

Additional name/s of conveying party/ies attached

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Assignment ☐ Change of Name  
☐ Other

Execution Date:

City: Durham  
State/Country: North Carolina  
Zip: 27713

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

(1) 09/443,863  
(2)  
(3)

B. Patent No(s).

(1)  
(2)  
(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arthur R. Crawford

Internal Address:

Street Address: Nixon & Vanderhye P.C.  
1100 North Glebe Road  
8<sup>th</sup> Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur R. Crawford

Name of Person Signing  
Reg. No. 25,327

Signature

January 18, 2000

Date

Total number of pages including original cover sheet, attachments, and document: [3]

01/19/2000 CCETIN 00000064 09443863

01 FC:581

40.00 OP

PATENT  
REEL: 010490 FRAME: 0647

395386

## ASSIGNMENT OF PATENT APPLICATION

**(Inventors)** **Indu PARIKH**  
**Awadhesh MISHRA**  
**Robert DONGA**  
**Michael G. VACHON**

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

**(Assignee)** **(RTP Pharma Inc.**  
**(Address)** **(of 4364 Alston Avenue, Suite 201, Durham, North Carolina 27713**  
**(hereinafter designated as the Assignee) the undersigned's entire right,**  
**title and interest for the United States, its territories, dependencies and**  
**possessions, and for the country of**  
**(Other** **(** in the invention, and all application for patent and any Letters  
**Countries)** Patent which may be granted therefore, known as  
**(Title)** **DISPERSIBLE PHOSPHOLIPID STABILIZED MICROPARTICLES**  
**(Case No. 121-184)**

for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed  
 (on or has already filed in  
 (U.S. appln. Serial No. **09/443,863**, filed on **November 19, 1999**  
 (The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.

The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said

application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Jan. 10, 2000  
Date  
Witnessed by: G.W. Pace  
G.W. PACE  
Name:

Indu Parikh  
Indu PARIKH  
10 Jan 00  
Date:

Jan 05, 2000  
Date  
Witnessed by: G.W. Pace  
G.W. PACE  
Name:

Awadhesh Mishra  
Awadhesh MISHRA  
5 Jan 00  
Date:

00/01/00  
Date  
Witnessed by: G.W. Pace  
G.W. PACE  
Name:

Robert Donga  
Robert DONGA  
6 Jan 00  
Date:

Jan 5 / 2000  
Date  
Witnessed by: G.W. Pace  
G.W. PACE  
Name:

Michael G. Vachon  
Michael G. VACHON  
5 Jan 00  
Date: