

01-24-2000

Department of Commerce
Patent and Trademark Office
Patent Docket No. 06502.0143To the Honorable Commissioner of Patents and Trademarks
Please record the attached original documents or copy thereon.

101253452

ASSIGNMENTS

1. Name of conveying parties:

SUN MICROSYSTEMS, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

| | |
|--|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |

☐ Other:

Execution Date: August 2, 1999

2. Name and address of receiving parties:

JAN 7 2000

Name: BAAN DEVELOPMENT, B.V.

Address: Baron Van Nagellstraat 89
3770 AC Barneveld, the NETHERLANDS

Additional name(s) & Address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number(s):

09/106,119

B. Patent Number(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey A. Berkowitz, Esquire

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT
& DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington

State: D.C. Zip: 20005-3315

6. Total number of applications and registrations involved:
one

7. Total fee (37 CFR 3.41): \$40

☒ Enclosed (Please charge deficiency to deposit account)
☐ Authorized to be charged to deposit account

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey A. Berkowitz

Registration No. 36,743

Total number of pages including cover sheet, attachments and documents: two

January 7, 2000

Signature

Date

01/11/2000 JSH/00177 00000101 09106.117

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| FORM PTO-1595 1-31-92 | | <div style="display: flex; align-items: center; justify-content: center;"> <div style="text-align: center;"> <div style="border: 1px solid black; border-radius: 50%; padding: 5px; margin: 0 auto; width: 100px;"> 09-1-1999 </div> </div> <div style="margin-left: 10px;"> <div style="border: 1px solid black; border-radius: 50%; padding: 5px; width: 100px; height: 100px; display: flex; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg);"> OIPE JC60 SEP 07 1999 PATENT OFFICE </div> </div> </div> </div> | | S. Department of Commerce Patent and Trademark Office Attorney Docket No. 06502.0143 | | | | | |
| To the Honorable Commission Please record the attached document | | 101143062 ATTN. BOX ASSIGNMENTS | | | | | | | |
| 1. Name of conveying party: Timothy R. LEARMONT <i>MRD 9-7-99</i> | | 2. Name and address of receiving parties: Name: SUN MICROSYSTEMS, INC. - 50% Address: 901 San Antonio Road, MS PAL01-521 Palo Alto, California 94303 AND Name: BAAN DEVELOPMENT, B.V. - 50% Address: Baron Van Nagellstraat 89 3770 AC Barneveld, the NETHERLANDS | | | | | | | |
| Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | Additional name(s) & Address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | | | |
| 3. Nature of conveyance: <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"><input checked="" type="checkbox"/> Assignment</td> <td style="width: 50%;"><input type="checkbox"/> Merger</td> </tr> <tr> <td><input type="checkbox"/> Security Agreement</td> <td><input type="checkbox"/> Change of Name</td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Other:</td> </tr> </table> | | <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger | <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name | <input type="checkbox"/> Other: | | Execution Date: August 2, 1999 | |
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger | | | | | | | | |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name | | | | | | | | |
| <input type="checkbox"/> Other: | | | | | | | | | |
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| A. Patent Application Number(s): 09/106,119 | B. Patent Number(s): | | | | | | | | |
| Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | | | | | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Jeffrey A. Berkowitz, Esquire Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. Street Address: 1300 I Street, N.W. City: Washington, D.C. State: Zip: 20005-3315 | | 6. Total number of applications and registrations involved: one 7. Total fee (37 CFR 3.41): \$40 <input checked="" type="checkbox"/> Enclosed (Please charge deficiency to deposit account) <input type="checkbox"/> Authorized to be charged to deposit account | | | | | | | |
| 8. Deposit Account No.: 06-0916 | | 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 30%;"> Jeffrey A. Berkowitz Registration No. 36,743 </div> <div style="width: 30%; text-align: center;"> Signature </div> <div style="width: 30%; text-align: right;"> September 7, 1999 Date </div> </div> | | | | | | | |
| Total number of pages including cover sheet, attachments and documents: two | | | | | | | | | |

09/10/1999 DNGUYEN 00000180 09106119

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PATENT
REEL: 010494 FRAME: 0589

ASSIGNMENT

WHEREAS, **SUN MICROSYSTEMS, INC.**, hereinafter referred to as "ASSIGNOR", a corporation of **DELAWARE** whose post office address is **901 San Antonio Road, MS PAL01-521, Palo Alto, California 94303**, is the sole and exclusive owner, by assignment, of the United States patent application entitled "**A FINE-GRAINED CONSISTENCY MECHANISM FOR OPTIMISTIC CONCURRENCY CONTROL USING LOCK GROUPS**," Serial No. 09/106,119, filed June 29, 1998,

and

WHEREAS, **BAAN DEVELOPMENT, B.V.**, hereinafter referred to as "ASSIGNEE", with its principal place of business at **Baron Van Nagellstraat 89, 3770 AC Barneveld, the Netherlands**, is desirous of acquiring an equal, undivided one-half interest in said United States patent application and the United States Letters Patents to be issued upon this application and the inventions covered thereby;

NOW THEREFORE, be it known that, for good and valuable consideration, the receipt of which from Assignee is hereby acknowledged, Assignor, has sold, assigned, transferred, and set over, and does hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, an equal, undivided one-half interest in these inventions, the United States patent application, all divisions and continuations thereof, all United States Letters Patents which may be granted thereon, and all reissues and extensions thereof, all applications for Letters Patent which may hereafter be filed jointly by Assignor and Assignee for the same inventions in any foreign country and all Letters Patent which may be granted on such applications in any foreign country, and all extensions, renewals, and reissues thereof;

AND, ASSIGNOR HEREBY further covenants and agrees that it will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to it respecting these inventions and the United States patent application, and testify in any legal proceeding and execute and deliver all papers that may be necessary or desirable to perfect the Assignee's one-half interest in these inventions, this United States patent application;

AND, ASSIGNOR AND ASSIGNEE agree to cooperate in obtaining jointly-owned patent protection for these inventions in the United States and foreign countries and in enforcing patents claiming the inventions in the United States.

IN TESTIMONY WHEREOF, **SUN MICROSYSTEMS, INC.** and **BAAN DEVELOPMENT, B.V.** have caused these presents to be signed by their duly authorized representatives.

SUN MICROSYSTEMS, INC.

Signature:



Name:

Kenneth Olsen

Title:

Vice President, Intellectual Property

Date:

BAAN DEVELOPMENT, B.V.



GORDON P. KUSHNER

CHIEF TECHNOLOGY COUNSEL

AUGUST 2, 1999

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

PATENT
REEL: 010494 FRAME: 0590

ASSIGNMENT

WHEREAS, **SUN MICROSYSTEMS, INC.**, hereinafter referred to as "ASSIGNOR", a corporation of **DELAWARE** whose post office address is **901 San Antonio Road, MS PAL01-521, Palo Alto, California 94303**, is the sole and exclusive owner, by assignment, of the United States patent application entitled "**A FINE-GRAINED CONSISTENCY MECHANISM FOR OPTIMISTIC CONCURRENCY CONTROL USING LOCK GROUPS**," Serial No. 09/106,119, filed June 29, 1998,

and

WHEREAS, **BAAN DEVELOPMENT, B.V.**, hereinafter referred to as "ASSIGNEE", with its principal place of business at **Baron Van Nagellstraat 89, 3770 AC Barneveld, the Netherlands**, is desirous of acquiring an equal, undivided one-half interest in said United States patent application and the United States Letters Patents to be issued upon this application and the inventions covered thereby;

NOW THEREFORE, be it known that, for good and valuable consideration, the receipt of which from Assignee is hereby acknowledged, Assignor, has sold, assigned, transferred, and set over, and does hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, an equal, undivided one-half interest in these inventions, the United States patent application, all divisions and continuations thereof, all United States Letters Patents which may be granted thereon, and all reissues and extensions thereof, all applications for Letters Patent which may hereafter be filed jointly by Assignor and Assignee for the same inventions in any foreign country and all Letters Patent which may be granted on such applications in any foreign country, and all extensions, renewals, and reissues thereof;

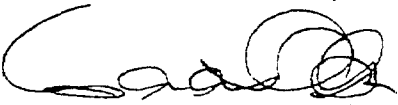
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SUN MICROSYSTEMS, INC.

BAAN DEVELOPMENT, B.V.




Signature:

Name: Kenneth Olsen

GORDON P. KUSTER

Title: Vice President, Intellectual Property

CHIEF TECHNOLOGY COUNSEL

Date:

AUGUST 2, 1999

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

RECORDED: 01/07/2000

PATENT
REEL: 010494 FRAME: 0591