

12.30.99

Docket No.: 0233.02

FORM PTO-1535 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1994-97 LegalStar
P08/REV02

PFOC

01-24-2000

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101250800

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To the Honorable Commissioner of Patent

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael J. BUTLER, Thomas E. CONN, David M. LIU,
Norman A. PARRIS, Michal REIDY, Pravin L. SONI

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 12/3/99; 12/21/99 and 12/22/99

2. Name and address of receiving party(ies):

Name: CYGNUS, INC.

Internal Address: _____

Street Address: 400 Penobscot Drive

City: Redwood City State: CA ZIP: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/398,984

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Angela P. Horne

Internal Address: CYGNUS, INC.

Street Address: 400 Penobscot Drive

City: Redwood City State: CA ZIP: 94063

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number

03-4058

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Angela P. Horne

Angela P. Horne

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 1

PATENT

REEL: 010496 FRAME: 0123

ASSIGNMENT

JOINT

THIS ASSIGNMENT, by Michael J. BUTLER, Thomas E. CONN, David M. LIU, Norman A. PARRIS, Michael REIDY, and Pravin L. SONI (hereinafter referred to as the assignors), residing at San Francisco, California; Palo Alto, California; Los Altos, California; Belmont, California; Half Moon Bay, California; and Sunnyvale, California respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in DEVICE AND METHODS FOR THE APPLICATION OF MECHANICAL FORCE TO A GEL/SENSOR ASSEMBLY set forth in an application for Letters Patent of the United States, bearing Serial No. 09/398,984 and filed on September 17, 1999; and

WHEREAS, CYGNUS, INC., a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 400 Penobscot Drive, Redwood City, California 94063 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

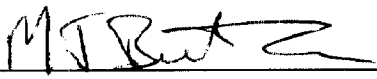
AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owners of the entire right, title and interest in

and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.


AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.


AND the said assignors also agree that the U.S. serial number may be entered above by the assignee or its agents upon designation of the serial number by the U.S. Patent and Trademark Office.


AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date 12-3-99 Name of Inventor 
Michael J. BUTLER

Date 12-22-99 Name of Inventor 
Thomas E. CONN

Date 12/3/99 Name of Inventor 
David M. LIU

Date 12/3/99 Name of Inventor 
Norman A. PARRIS

Date 12/3/99 Name of Inventor 
Michael REIDY

Date 12/21/99 Name of Inventor 
Pravin L. SONI

ACKNOWLEDGMENT

State of California

County of San Mateo

On December 3, 1999 before me, Barbara McBrayer, Notary Public,

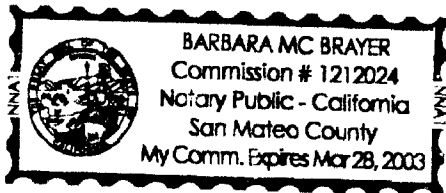
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Michael J. Butler, David M. Liu, Norman A. Parris and Michael Reidy,

Names(s) of Signer(s)

proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacities, and that by their signature on the instrument the persons or the entities upon behalf of which the persons acted, executed the instrument.

WITNESS my hand and official seal.




Signature of Notary Public

ACKNOWLEDGMENT

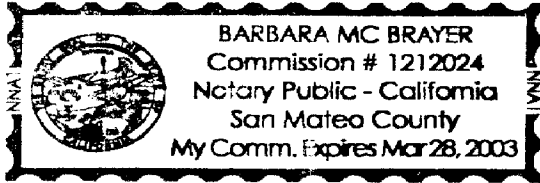
State of California

County of San Mateo

On December 21, 1999 before me, Barbara McBrayer, Notary Public,
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Pravin L. Soni, proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Barbara McBrayer
Signature of Notary Public

ACKNOWLEDGMENT

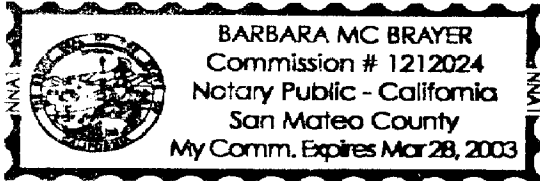
State of California

County of San Mateo

On December 22, 1999 before me, Barbara McBrayer, Notary Public,
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Thomas E. Conn, proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Barbara McBrayer
Signature of Notary Public