

01-24-2000

12.27.99



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Tab settings

To the Honorable Commissioner of Patent

attached original documents or copy thereof.

1. Name of conveying party(ies):
Virginia Polytechnic Institute and State University

2. Name and address of receiving party(ies):

Name: **Virginia Tech Intellectual Properties, Inc.**

Internal Address:

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Street Address: **1872 Pratt Drive, Suite 1625**

City: **Blacksburg** State: **VA** ZIP: **24060**

Execution Date: **December 16, 1999**

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: N/A

A. Patent Application No.(s)

B. Patent No.(s)

09/458,289

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **J. Micheal Martinez de Andino**

Internal Address: **McGuire, Woods, Battle & Boothe, LLP**

Street Address: **One James Center**

901 East Cary Street

City: **Richmond** State: **VA** ZIP: **23219**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

13-0437

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip D. Lane, Registration No. 41,140

December 27, 1999

Name of Person Signing

Signature

3 Date

Total number of pages including cover sheet, attachments, and document:

PATENT

REEL: 010496 FRAME: 0417

ASSIGNMENT

WHEREAS, Virginia Polytechnic Institute and State University, (hereinafter referred to as ASSIGNOR), an institute of higher education organized under the laws of the Commonwealth of Virginia, having an office and principal place of business at Blacksburg, Virginia 24060, is the owner of United States Letters Patent filed on 12/10/99, having U.S. Serial Number 09/458,289, in the names of Harry C. Dorn and Steven A. Stevenson, for "ENDOHEDRAL METALOFULLERENES AND METHOD FOR MAKING THE SAME" and of the invention therein described, and

WHEREAS, Virginia Tech Intellectual Properties, Inc. (hereinafter referred to as ASSIGNEE), a non-stock corporation organized under the laws of the Commonwealth of Virginia, having an office and principal place of business at 1872 Pratt Drive, Suite 1625, Blacksburg, Virginia 24060, is desirous of acquiring the entire right, title and interest in, to and under said application and said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt and sufficiency of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE, its lawful successors and assigns, the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, continuation-in-part, reexamination, renewal, substitute, extension or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted; and authorize and request the Commissioner of Patents of the United States and any official of any foreign country whose duty it is to issue patents or legal equivalents thereto, to issue same for this invention to ASSIGNEE, its lawful successors and assigns.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

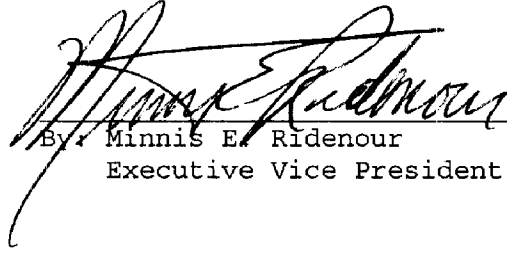
ASSIGNOR further covenants the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

PATENT

REEL: 010496 FRAME: 0418

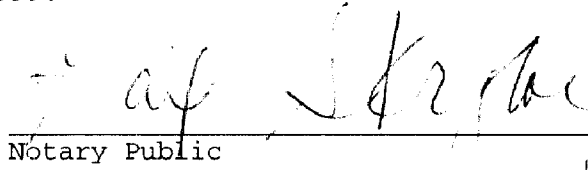
IN WITNESS WHEREOF, ASSIGNOR has caused the hand of its proper officer to be subscribed hereto this 16 day of December, 1999.

VIRGINIA POLYTECHNIC INSTITUTE
AND STATE UNIVERSITY


By: Minnie E. Ridenour
Executive Vice President

Commonwealth of Virginia)
County of) ss:

Subscribed and sworn to before me this 16th day of December, 1999.


Notary Public

My Commission Expires: 11/30/03