

01-28-2000

NEW
12/27/99



To the Honor
Please record the attached original document(s) or copy thereof.

101252378

ademarks:

1. Name of conveying party(ies):

Robert K. Rowe
William A. Miller
Nanxiang Ge
Mark R. Robinson

2. Name and address of receiving party(ies):

Name: Rio Grande Medical Technologies, Inc.

Internal Address:

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

Street Address: 800 Bradbury S.E., Suite 217

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

City: Albuquerque State: New Mexico ZIP: 87106

Additional name(s) & addresses attached?
☐ Yes ☒ No

Execution Date: December 17, 1999; December 14, 1999;
December 3, 1999; and December 17, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/415,594

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: CROMPTON, SEAGER & TUFTE, LLC

Address: 331 Second Avenue South, Suite 895
Minneapolis, MN 55401

6. Total number of applications and patents
involved: 1

7. Total fee (37 CFR 3.41). \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit
account

8. Deposit Account Number: 50-0413

(Attach duplicate copy of this page if
paying by deposit account)

01/27/2000 DMGUYEN 00000112 09415594

DO NOT USE THIS SPACE

01 FC:581

40.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy
is a true copy of the original document.

David M. Crompton

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet, attachments and document: 4

ASSIGNMENT

WHEREAS, Robert K. Rowe residing at 229 Ashley Lane, Corrales, NM 87048; William A. Miller residing at 39 Camino del Valle, Santa Fe, NM 87501; Nanxiang Ge residing at 2 Tulip Drive, Newtown, PA 18940; and Mark R. Robinson, residing at 1415 Calle de Ranchero NE, Albuquerque, NM 87106 have made certain new and useful inventions and improvements for which they have executed an application for Letters Patent of the United States, which is entitled APPARATUS AND METHOD FOR IDENTIFICATION OF INDIVIDUALS BY NEAR-INFRARED SPECTRUM, filed on October 8, 1999, as U.S. Serial No. 09/415,594;

AND WHEREAS, Rio Grande Medical Technologies, Inc., a corporation organized and existing under and by virtue of the laws of the State of New Mexico, and having a business address of 800 Bradbury S.E., Suite 217, Albuquerque, New Mexico 87106 is desirous of acquiring the entire right, title and interest in and to said inventions, improvements, application, and Letters Patent to be obtained therefor;

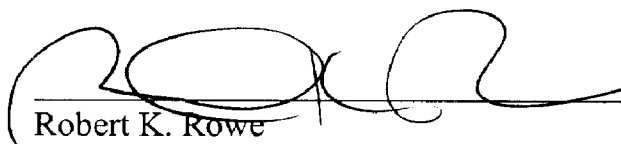
NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Rio Grande Medical Technologies, Inc., its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid inventions and improvements to said Rio Grande Medical Technologies, Inc., its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any other documents, and will communicate to said Rio Grande Medical Technologies, Inc., its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to more effectually secure to and vest in Rio Grande Medical Technologies, Inc., its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we, Robert K. Rowe, William A. Miller, Nanxiang Ge, and Mark R. Robinson covenant and agree with said Rio Grande Medical Technologies, Inc., its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

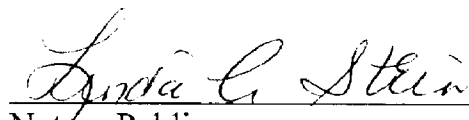
The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

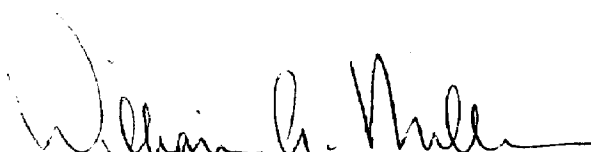
IN TESTIMONY WHEREOF, I have hereunto set my hands this 7 day of December, 1999.


Robert K. Rowe

STATE OF New Mexico)
COUNTY OF Bernalillo) ss.

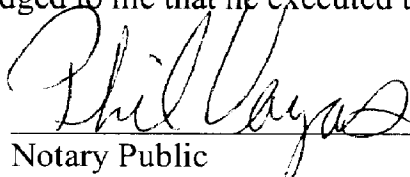
On this 17 day of December, 1999, before me personally appeared Robert K. Rowe to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.


Notary Public
expiration - 1-19-02


William A. Miller

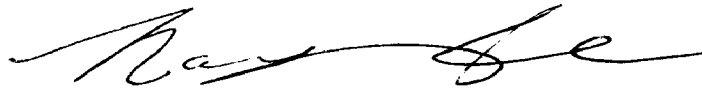
STATE OF New Mexico)
COUNTY OF SANTA FE) ss.

On this 14th day of December, 1999, before me personally appeared William A. Miller to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.


Notary Public



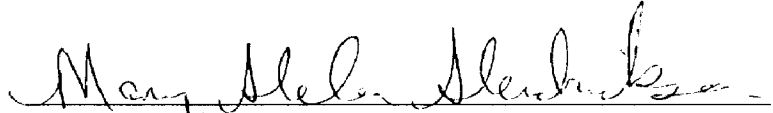
OFFICIAL SEAL
PHIL VARGAS
NOTARY PUBLIC-STATE OF NEW MEXICO
My Comm. Expires 5/15/02



Nanxiang Ge

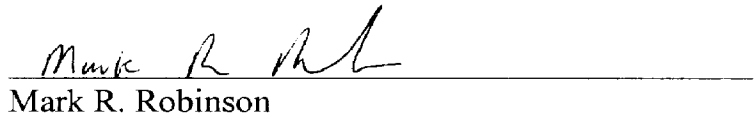
STATE OF New Jersey)
) ss.
COUNTY OF Merger)

On this 3 day of December, 1999, before me personally appeared Nanxiang Ge to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.



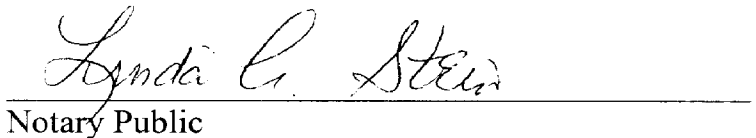
Notary Public

MARY HELEN HENDRICKSON
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Feb. 4, 2003


Mark R. Robinson

STATE OF New Mexico)
) ss.
COUNTY OF Bernalillo)

On this 17 day of December, 1999, before me personally appeared Mark R. Robinson to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.


Notary Public

expiration - 1-19-02