

01-28-2000

01-12-00

Form-PTO-1595

1-31-92



RECORDATION

Atty. Docket: 766.26

To the Commissioner of I

101252506

Attached original documents or copy thereof.

1. Name of conveying party(ies):
Yutaka Kanda, Rieko Tanaka, Mitsunobu Hara,
Jun Eishima, Shiro Akinaga and Tadashi Ashizawa

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

2. Name and address of receiving party(ies):

Name: Kyowa Hakko Kogyo Co., Ltd.Foreign Address: 6-1 Ohtemachi, 1-chomeChiyoda-ku, Tokyo, Japan

Domestic Address: _____

City: _____ State _____ ZIP _____

Additional name(s) & address(es) attached? ☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: January 4, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 4, 2000

A. Patent Application Number: to be assigned

B. Title of Invention: 2-PIPERIDONE COMPOUNDS

Filing Date: Currently herewith

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper & Scinto30 Rockefeller PlazaNew York, New York 10112-3801Telephone No.: (212) 218-2100Facsimile No.: (212) 218-2200

6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): . . \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.*Lawrence S. Perry

Name of Person Signing

01/27/2000 DNGUYEN 00000154 09481542

Signature

January 11, 2000

Date

Total number of pages including cover sheet, attachments, and documents: 3

01 FC:581

40.00 OP

PATENT
REEL: 010501 FRAME: 0944

2. Name and address of receiving party(ies):

Name: Eli Lilly & Co.

Foreign Address: Lilly Corporate Center

Domestic Address:

City: Indianapolis

State: Indiana

ZIP: 46285

Additional name(s) & address(es) attached?

☐ Yes ☒ No

NY_MAIN 53207 v 1

ASSIGNMENT

FOR VALUE RECEIVED, WE, Yutaka KANDA of Tokyo, Japan, and Rieko TANAKA, Mitsunobu HARA, Jun EISHIMA, Shiro AKINAGA and Tadashi ASHIZAWA of Shizuoka, Japan

~~XXXXXX~~

residing, respectively, at

See attached sheet.

hereby sell, assign, transfer and convey unto

- 1) KYOWA HAKKO KOGYO CO., LTD. and
- 2) ELI LILLY & CO.

a corporation of

Japan and U.S.A., respectively

having a place of business at 1) 6-1, Ohtemachi 1-chome, Chiyoda-ku, Tokyo, Japan, and

- 2) Lilly Corporate Center, Indianapolis, Indiana 46285, U.S.A., respectively

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to

2-PIPERIDONE COMPOUNDS

and described in an application for Letters Patent of the United States

by us on January 4, 2000

and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue a Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

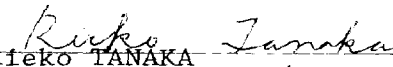
AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal this 4th day of January, 2000

Witness:

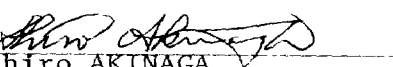
Assignors:


Yutaka KANDA L.S.


Rieko TANAKA L.S.


Mitsunobu HARA L.S.


Jun EISHIMA L.S.


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(Joint Inventors) (2/13/92)
A0000035.DOC

Inventors' Addresses

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4. Jun EISHIMA : ditto
5. Shiro AKINAGA : ditto
6. Tadashi ASHIZAWA : ditto