

01-28-2000

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101252385

Attorney Ref. No. 98,284-J

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy them.

1. Name of conveying parties:

Raymond F. Horvath James W. Darrow

George D. Maynard

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Neurogen Corporation

Internal Address: \_\_\_\_\_

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date(s) December 7, 1999, December 13, 1999 and  
December 20, 1999Street Address: 35 Northeast Industrial Road  
City: Branford State: Connecticut Zip: 06405Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/408,613

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence concerning  
document should be mailed:

Name: Roger P. Zimmerman

Company Name: McDonnell, Boehnen, Hulbert &amp; Berghoff

Street Address: 300 South Wacker Drive, Suite 3200

City: Chicago State: IL ZIP: 60606

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: 13-2490

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Roger P. Zimmerman

Printed Name of Person Signing

Signature

Date: December 23, 1999

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

01/27/2000 DNGUYEN 00000066 09408613

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40.00 OP

PATENT

REEL: 010503 FRAME: 0459

**ASSIGNMENT**

Case No.: **98,284-J**

Serial No.: **09/408,613**

Inventors: **Raymond F. Horvath, James W. Darrow  
And George D. Maynard**

Date of Execution

of Application: **12/7/99; 12/13/99 and 12/20/99**

Filing Date: **September 30, 1999**

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

**NEUROGEN CORPORATION, a corporation of the State of Delaware**

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**Aminoalkyl Substituted 5,6,7,8-Tetrahydro-9H-Pyridino[2,3-b]indole and 5,6,7,8-Tetrahydro-9H-Pyrimidino[4,5-b]indole Derivatives: CRF1 Specific Ligands**

and identified as:

**Case No. 98,284-J**

in the offices of McDONNELL BOEHNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

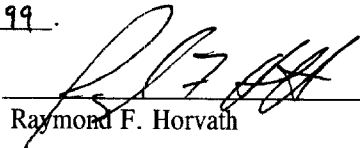
The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest

other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 7<sup>th</sup> day of December, 1999.

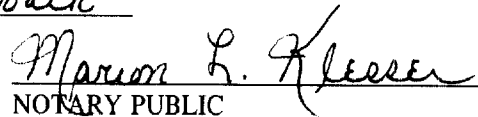
  
Raymond F. Horvath

State of Connecticut

County of New Haven

The foregoing instrument was acknowledged before me this 7<sup>th</sup> day of

December, 1999 by Raymond F. Horvath

  
NOTARY PUBLIC

**MARION L. KLESSER**  
Notary Public, State of Connecticut  
County of New Haven  
My Commission Expires 10/31/2004

WITNESS my hand and seal this 20<sup>th</sup> day of December, 1999.

  
James W. Darrow

State of Connecticut

County of New Haven

The foregoing instrument was acknowledged before me this 20<sup>th</sup> day of

December, 1999 by James W. Darrow

  
NOTARY PUBLIC

**MARION L. KLESSER**  
Notary Public, State of Connecticut  
County of New Haven  
My Commission Expires 10/31/2004

WITNESS my hand and seal this 13<sup>th</sup> day of December, 1999.

George D. Maynard  
George D. Maynard

State of Connecticut

County of New Haven

The foregoing instrument was acknowledged before me this 13 day of

December, 1999 by George D. Maynard

Marion L. Klessner  
NOTARY PUBLIC

**MARION L. KLESSER**  
Notary Public, State of Connecticut  
County of New Haven  
My Commission Expires 10/31/2004