

**Page 1 of 2**Docket No. RD-26,025FORM PTO 1585  
1-31-82**RECORDATION FORM COVER SHEET  
PATENTS ONLY**U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

**1. Name of conveying party(ies):**Alok Mani Srivastava  
Holly Ann Comanzo  
Laurence -NMN- Bigio  
William Winder BeersAdditional name(s) of conveying party(ies) attached? ☒ Yes ☐ No**2. Name and address of receiving party(ies):**Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: One River RoadCity: Schenectady State: NY ZIP: 12345Additional name(s) & address(es) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: Mar 22, 2000 Mar 22, 2000  
Mar 14, 2000 Mar 21, 2000**4. Application number(s) or patent number(s):**If this document is being filed together with a new application, the execution date of the application is Mar 22, 2000 Mar 22, 2000 Mar 14, 2000 Mar 21, 2000**A. Patent Application No.(s)**09/466,009**B. Patent No.(s)**Additional numbers attached? ☐ Yes ☒ No**5. Name and address of party to whom correspondence concerning document should be mailed:**Name: General Electric CompanyInternal Address: CRD Patent Docket Rm. 4A59Bldg. K-1, SalamoneP.O. Box 8Street Address: One Research CircleCity: Schenectady State: NY ZIP: 12301**6. Total number of applications and patents involved:**1**7. Total fee (37 CFR 3.41.....)** \$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

**8. Deposit account number:**07-0868

DO NOT USE THIS SPACE

**9. Statement and signature.***To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Tyler Maddy  
Name of Person SigningTyler Maddy  
Signature3/23/00Total number of pages including cover sheet, attachments and document 6

OMB No. 0651-0011 (exp 4/94)

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**Washington, D.C. 20231**

**Page 2 of 2**Docket No. RD-26,025FORM PTO 1595  
1-31-92**RECORDATION FORM COVER SHEET  
PATENTS ONLY**U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Thomas Frederick Soules  
Emoke -NMN- Abasari

## 2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: One River RoadCity: Schenectady State: NY ZIP: 12345Additional name(s) & address(es) attached? ☐ Yes ☐ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: Mar 20, 2000 Mar 3, 2000

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application  
i Mar 20, 2000 Mar 3, 2000

A. Patent Application No.(s)

09/466,009

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric CompanyInternal Address: CRD Patent Docket Rm. 4A59Bldg. K-1, SalamoneP.O. Box 8Street Address: One Research CircleCity: Schenectady State: NY ZIP: 123016. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41.....)\$40.00☐ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

07-0868

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Tyler Maddy

Name of Person Signing

Signature

3/23/00Total number of pages including cover sheet, attachments and document ☒ 6

OMB No. 0651-0011 (exp 4/94)

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Box Assignments  
Washington, D.C. 20231**

**ASSIGNMENT**

Docket Number

RD-26,025

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Alok Mani Srivastava

of Niskayuna, New York

Holly Ann Comanzo

of Schenectady, New York

Laurence (NMN) Bigio

of University Heights, Ohio

William Winder Beers

of Chesterland, Ohio

Thomas Frederick Soules

of Richmond Heights, Ohio

Emoke -NMN- Abasari

of Budapest, Hungary

of

of

of

of

of

of

of

of

of

of

sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

ELECTRODE MATERIAL FOR FLUORESCENT LAMPS

invented by me (us), as described in the application for United States patent

☐ executed concurrently herewith, or

☒ U.S. Serial No.: 09/466,009, filed 12/17/99

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

March 22, 2000  
Date

Alok Mani Srivastava  
Alok Mani Srivastava

State of NEW YORK ) SS:

County of SCHENECTADY )

This 22<sup>nd</sup> day of March, 2000, before me personally came the

above-named Alok Mani Srivastava to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

**SUSAN ZAMPIER**

Notary Public in the State of New York

Qualified in Saratoga Co.

No. 012A5089047

My Commission Expires Nov. 11, 2006

Seal

(Notary Public)

**ASSIGNMENT**

Docket Number

RD-26,025

March 22, 2000

Date

Holly Ann Comanzo  
Holly Ann ComanzoState of NEW YORK ) SS:County of SCHENECTADY )This 22<sup>nd</sup> day of March, 2000, before me personally camethe above-named Holly Ann Comanzo to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.**SUSAN ZAMPIER**Notary Public in the State of New York  
Qualified in Saratoga Co.  
No. 012A5089047  
My Commission Expires Nov. 11, 2000

Seal

Susan M. Zamper  
(Notary Public)March 14, 2000

Date

Laurence (NMN) Bigio  
Laurence (NMN) BigioState of OHIO ) SS:County of CUYAHOGA )This 14<sup>th</sup> day of MARCH, 2000, before me personally camethe above-named Laurence (NMN) Bigio to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.**KARIN L. SHEARER**  
Notary Public, State of Ohio  
Recorded in Cuyahoga Cty.  
My Comm. Expires 03-26-2000

Seal

Karin L. Shearer  
(Notary Public)March 21, 2000

Date

William Winder Beers  
William Winder BeersState of OHIO ) SS:County of CUYAHOGA )This 21<sup>st</sup> day of MARCH, 2000, before me personally camethe above-named William Winder Beers to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.**KARIN L. SHEARER**  
Notary Public, State of Ohio  
Recorded in Cuyahoga Cty.  
My Comm. Expires 03-26-2000

Seal

Karin L. Shearer  
(Notary Public)

## ASSIGNMENT

Docket Number

RD-26,025

March 20, 2000  
DateThomas Frederick Soules  
Thomas Frederick SoulesState of OHIO) SS:County of Cuyahoga)This 20<sup>TH</sup> day of MARCH, 2000, before me personally camethe above-named Thomas Frederick Soules to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.KARIN L. SHEARER  
Notary Public, State of Ohio  
Recorded in Cuyahoga City.  
Comm. Expires 03-25-2000Karin L. Shearer  
(Notary Public)

Seal

Date

State of \_\_\_\_\_) SS:

County of \_\_\_\_\_)

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came

the above-named \_\_\_\_\_ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Date

State of \_\_\_\_\_) SS:

County of \_\_\_\_\_)

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came

the above-named \_\_\_\_\_ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

**ASSIGNMENT**Docket Number  
RD-26,02503/03/00

Date

Emöke Abasári

Emöke Abasári

Signed at Budapest, Hungary, this 3 day of MARCH, 2000.

Witnessed by:

Juliana Gyormati  
Witness Signature & Date 03.03.2000.  
Juliana Gyormati  
Printed Name of WitnessHorvath Andras 03.03.00  
Witness Signature & Date  
HORVATH ANDRAS  
Printed Name of Witness