Practitioner's Docket No. <u>U 012459-5</u>





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Assignments Commissioner of Patents and Trademarks Washington, D.C. 20231

NOTE: "Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 C.F.R. § 3.27.

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.) I hereby certify that, on the date shown below, this correspondence is being: **MAILING** \boxtimes deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. 37 C.F.R. § 1.8(a) 37 C.F.R. § 1.10* \boxtimes with sufficient postage as first class mail. as "Express Mail Post Office to Addressee" Mailing Label No. (mandatory) TRANSMISSION transmitted by facsimile to the Patent and Trademark Office. Date: December 21, 1999 (type or print name o) Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label *WARNING: placed thereon prior to mailing. 37 C.F.R. § 1.10(b). "Since the filing of correspondence under \S 1.10 without the Express Mai $oldsymbol{\widehat{\otimes}}$ ailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests to waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442. (Assignment (Document) Coer Sheet-page 1 of 6) 16-6

PATENT REEL: 010507 FRAME: 0630

IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S) FOR ASSIGNMENT (DOCUMENT) RECORDAL

(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

	NOTE:	See 37 C.F.R. §3.21.				
	NOTE:	§ 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).				
1.	This as	ssignment is for the following patent application or issued patent:				
	NOTE:	Do NOT enter both application AND patent number.				
Provisional ap		cation: SN: 09/417,783 filed on October 14, 1999 filed on October 14,				
		Date Reel Frame				
	NOTE:	If an assignment of a patent application filed under \S 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under \S 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended. 37 C.F.R. \S 3.21.				
		(also complete the following, if applicable)				
[]	and als	o for the applications and/or patents shown on the attached list of FURTHER APPLICATION(S) and/or PATENT(S) BEING ASSIGNED				
	Number of pages added					
	NOTE:	"Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet." Notice of June 24, 1992 (1140 O.G. 63-72 at 67).				

TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS AND TOTAL FEE

NOTE: All requests to record documents must be accompanied by the appropriate fee; a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). 37 C.F.R. §3.41.

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<u>.</u> .	A.	The total number of applications and/or patents identified in this cover sheet is
	B.	The total fee is (37 C.F.R. § 1.21(h)):
		x \$40.00 = \$ 40.00
		Total number of applications and/or patents
	C.	Payment of fee is made by:
		[X] the attached check for \$ 40.00
		[] Please charge Account
		the sum of \$ A duplicate of this cover sheet is attached.
		·
	Please	charge Account 12-0425 for any fee deficiency or credit to account any overpayment.
		NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))
	NOTE	"The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 6th ed.
The party(ies) conveying interest is (are):		arty(ies) conveying interest is (are):
	Name Name	1: Espen SORNES 2:
	Name	3:
		NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST (37 C.F.R. § 3.31 (a)(2))
l.	The ri	ghts are being conveyed to:
•	1110	Name: FACTOR TOOLS AS
		Address: Prof. O. Hanssens vei 11
		N-4003 Stavanger, NORWAY
		DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37 C.F.R. § 3.31 (a)(3))
j.	The ac	ecompanying document intends to accomplish:
		[X] an assignment.
		a security agreement.
		[] a merger. [] a license.
		a change of name.
		a change of hame. a change of address.
		other:
		[] Smer.
		(A said was sent (Do summer A) Courte Shoot space 2 of 6) 16 6

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NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))

6.		Ple	ease address correspondence	70:
			Name:	Clifford J. Mass
			Address:	c/o Ladas & Parry
				26 West 61st Street
				New York, New York 10023
			Telephone No.:	(212) 708-1890
				MENT (DOCUMENT) EXECUTED 37 C.F.R. § 3.31(a)(7))
7.	A.	[]	The attached assignment (d	ocument) was executed on November 25, 1999.
				Date
OF	₹		here is more than one conveying pa ignment document for each conveyi	rty who executed on different dates, indicate the date of execution of the ng party.
	В.	٢٦	The attached assignment wa	as executed by
		LJ	2	Name
			on	
			Date	
		[]	The attached assignment wa	as executed by
		LJ	2	Name
			on	
			Date	
		[]	The attached assignment wa	as executed by
		LJ	1110 0000000000000000000000000000000000	Name
			on	
			Date	
		[]	The attached assignment wa	Name
			on	
			Date	
		[]	The attached assignment wa	Name
			on Date	

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6.

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

	NOTE:	"The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.
8.	[X	ached document: is in the English language. is not in the English language and an English translation signed by the individual making the translation is attached.
		ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED
	NOTE:	See 37 C.F.R. § 3.24.
9.	[X]	ted herewith is: the original document. a true copy of the original document, which I certify to be a true copy.
	NOTE:	"If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.
	NOTE:	"Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." M.P.E.P., 6th ed., § 302.01.
		ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS
		(check item, if applicable)
10. [] Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.		
ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME		
		(check item, if applicable)
11.	the	cause the purpose of the attached documents is to record a change of name of the assignee, particulars of the previously recorded assignments for each application and/or patent are own.

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CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. [] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

STATEMENT (37 C.F.R. § 3.31(a)(9)) **AND SIGNATURE** (37 C.F.R. § 3.31(a)(10))

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.

Date: December 21, 1999 Name of party submitting document submitting document Signature of party the party submitting the document applicant's ofto SIGNATURE OF PRACTITIONER Reg. No.: 30,086 C/L/FFORD J. MASS (type or print name of practitioner) Tel. No.: (212) 708-1890 P.O. Address Customer No.: c/o Ladas & Parry 26 West 61st Street New York, N.Y. 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

14. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

Total number of pages submitted

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Practitioner's Docket No. <u>U 012459-5</u>		PATENT
	For: For:	[] U.S. and/or [] Foreign Rights [x] U.S. Application or
	For: For:	[] U.S. Provisional Application[] U.S. Patent[] PCT Application
	By:	
ASSIGNM	ENT OF	FINVENTION
In consideration of the payment by ASSIG receipt of which is hereby acknowledged, and		ASSIGNOR of the sum of One Dollar (\$1.00), the good and valuable consideration,
ASSIGNOR:		
nventor(s) or person(s) or entity(ies) who own the invention		
	Espen	SORNES
	(type or	print name(s) of ASSIGNOR(S))
		temmyra 56, N-4300
	Address	
	Sandr	es, NORWAY
	Norwe	
	Nationa	lity
		om invention was previously assigned O, add the following)
Recorded on	Reel	

hereby sells, assigns and transfers to

ASSIGNEE:

Factor Tools AS

(type or print name(s) of ASSIGNEE(S))

Prof. O. Hanssens vei 11

Address
N-4003 Stavanger, NORWAY

Norwegian

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

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PATENT
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[x] []	the entire right, title and interest an undivided percent (%) interest
for the Unite	ed States and its territorial possessions
	(check the following box, if foreign rights are also to be assigned)
[]	and in all foreign countries, including all rights to claim priority,
	and all improvements which are disclosed in the invention entitled: NG TERMINAL
Name of inv	entor(s) Espen SORNES
	(check and complete (a), (b), (c), (d), (e), (f) or (g))
and which is	found in (37 C.F.R. § 3.21)
(a) []	U.S. patent application executed on even date herewith
(b) []	U.S. patent application executed onU.S. provisional application naming the above inventor(s) for the above-entitled invention.
(c) []	
	[] Express mail label no.: Mailed:
[]	To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) [x]	number when they become known. U.S. application no. 09/417,783 filed on October 14, 1999
(e) [] (f) []	International application no. PCT / filed on U.S. patent no issued
(1) []	[] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
	(also check (g), if foreign application(s) is also being assigned)
(g) []	and any legal equivalent thereof in a foreign country, including the right to claim priority
	to, all Letters Patent to be obtained for said invention by the above application or any division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination
	OR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be tred into which would conflict with this assignment.
ASSIGN	OR further covenants that ASSIGNEE will, upon its request, be provided promptly with all
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1!

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pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

RECORDED: 12/27/1999

WAK	wing: The date of signing must be the above.	e same as the date of execution of the application, if them (a) was checked
Date: <u>(x)</u>	25.11.1999	(x) Espon Sernes
		Signature of ASSIGNOR(S)
Date:		
Date:		
Date:		
	(if ASSIGNOR is a legal	entity, complete the following information)
		(type or print the name of the above person authorized to sign on behalf of ASSIGNOR)
		Title
NOTE:	No witnessing, notarization or legalization prima facie evidence of execution. 35 USC	is necessary. If the assignment is notarized or legalized, then it will only b 261. Use next page if notarization is desired.
	[] Notarization or	r Legalization Page Added.

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