

02-03-2000



101256848

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

515 U.S. PTO
09/487637

01/19/00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)
Document ID#

Correction of PTO Error
Reel # Frame #

Corrective Document
Reel # Frame #

Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

	Month	Execution Date Day	Year
Name (line 1) <input type="text" value="SPEIGELMAN, Jeffrey J."/> Name (line 2) <input type="text"/>	11	12	1999
Second Party Name (line 1) <input type="text" value="ALVAREZ, JR., Daniel"/> Name (line 2) <input type="text"/>	11	12	1999
Third Party Name (line 1) <input type="text" value="SHOGREN, Peter K."/> Name (line 2) <input type="text"/>	11	12	1999
Fourth Party Name (line 1) <input type="text" value="COOK, Joshua T."/> Name (line 2) <input type="text"/>	11	12	1999

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)
Name (line 2)

Address (line 2)
Address (line 3)

City State/Country Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

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Correspondent Name and Address

Telephone Number

Name (line 1)

Address (line 1)

Address (line 2)

Address (line 3)

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Pages Enter the total number of pages of the attached conveyance document including any attachments.

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Filed Herewith

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

PCT PCT PCT

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

Authorization to charge additional fees:

Yes

No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

JAMES W. MCCLAIN

JAN. 19, 2000

Name of Person Signing

Signature

Date

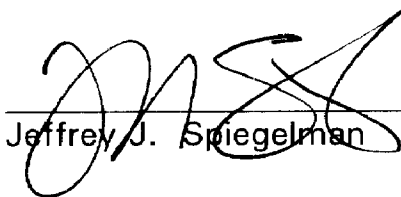
ASSIGNMENT

TO WHOM IT MAY CONCERN:

For the sum of One Dollar and other valuable consideration to us in hand paid, receipt of which is hereby acknowledged, be it known that we, Jeffrey J. Spiegelman, a citizen of the United States, having a place of residence at 5440 Bellevue Avenue, La Jolla, California 92037, Daniel Alvarez, Jr., a citizen of the United States, having a place of residence at 13242 Thunderhead Street, San Diego, California 92129, Peter K. Shogren, a citizen of the United States, having a place of residence at 8608 Hudson Drive, San Diego, California 92119, and Joshua T. Cook, a citizen of the United States, having a place of residence at 4751 Bermuda Avenue, San Diego, California 92107, have sold, assigned and transferred and by these presents do sell, assign, transfer and set over unto Aeronex, Inc., a California corporation, having a place of business at 6975 Flanders Drive, San Diego, CA 92121 its successors, legal representatives, or assigns, the whole right, title and interest in and to a certain invention relating to SELF-REGENERATIVE PROCESS FOR CONTAMINANT REMOVAL FROM LIQUID AND SUPERCRITICAL CO₂ FLUID STREAMS by us devised and the application for United States Patent therefor executed by us on even date herewith preparatory to filing the same in the United States Patent and Trademark Office, and all original and reissue patents granted thereof, and all divisions, and continuations thereof, including the subject-matter of any and all claims which may be obtained in every such patent, and all foreign rights to said invention, and covenant that we have full right to do so, and agree that we will communicate to said corporation or its representatives all facts known to us respecting said invention, whenever requested, and testify in any legal proceedings, sign all lawful papers, make all rightful oaths and generally do everything possible to aid said corporation, its successors, assigns, and nominees, to obtain and enforce proper patent protection for said invention in all countries.

The Commissioner of Patents and Trademarks is requested to issue the Letters Patent which may be granted for said invention or any part thereof unto the said corporation in keeping with this Assignment.

Done at _____, _____ this _____ day of _____, 19____.


Jeffrey J. Spiegelman

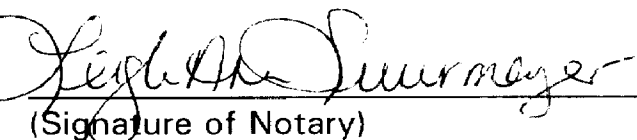
State of California)
County of San Diego) ss.

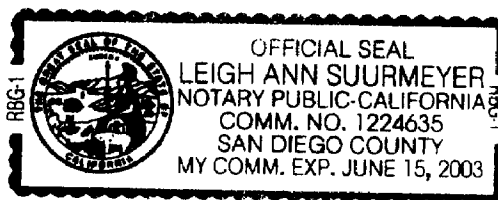
On November 12, 1999 before me, the undersigned Notary Public in and for said County and State, personally appeared Jeffrey J. Spiegelman personally known to me

or

proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies) and that by his her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.


(Signature of Notary)



Done at _____, _____ this _____ day of _____, 19____.

Daniel Alvarez Jr.
Daniel Alvarez Jr.

State of California }
County of San Diego } ss.

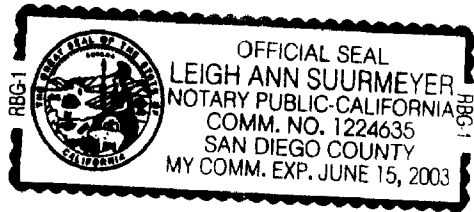
On November 12, 1999 before me, the undersigned Notary Public in and for said County and State, personally appeared Daniel Alvarez Jr. personally known to me

or

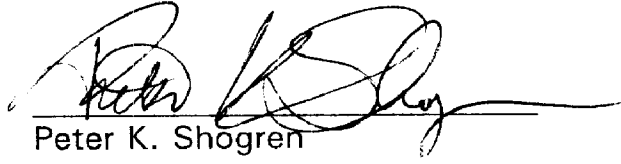
X proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies) and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.

Leigh Ann Suurmeyer
(Signature of Notary)



Done at _____, _____ this _____ day of _____, 19____.


Peter K. Shogren


State of California)
County of San Diego) ss.

On November 12, 1999 before me, the undersigned Notary Public in and for said County and State, personally appeared Peter K. Shogren personally known to me

or

X proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies) and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.


(Signature of Notary)



Done at _____, _____ this _____ day of _____, 19____.

Joshua T. Cook
Joshua T. Cook

State of California)
County of San Diego) ss.

On November 12, 1999 before me, the undersigned Notary Public in and for said County and State, personally appeared Joshua T. Cook personally known to me

or

X proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies) and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.

Leigh Ann Suurmeyer
(Signature of Notary)

