02-09-2000 **COVER SHEET** FORM PTO-1595 (modified) NAZO Rec'd PCT/PTO To the Honorable Assistant Se rademarks: Please record the attached original document(s) 101263465 or copy(ies) thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Tsuneatsu MORI; Maowu GUO; and Etsuko MORI Name: 1) Tsuneatsu MORI, 2) Takahide MORI, and 3) Techno Seven Co., Ltd. Additional name(s) of conveying party(ies) attached? No Street Address: 1) 17-2-501, Minami-Magome 5-chome, Ota-ku; 2) 6-30 Shimogamo-Izumikawa-3. Nature of conveyance: cho, Sakyo-ku; and 3) 18-10, Shinkawa 2-chome, Chuo-ku X Assignment _ Security Agreement City: 1) Tokyo-to; 2) Kyoto-shi, Kyoto-fu; and 3) Other Tokyo-to Country: All of Japan cution Date: November 19, 1999 Additional name(s) & address(es) attached? No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: November 19, 1999 A. Patent Application No.(s) B. Patent No.(s) Additional numbers attached? No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: One Name: WENDEROTH, LIND & PONACK, L.L.P. 7. Total fee (37 CFR 3.41). \$40.00 Attn: Matthew Jacob , Esq. X Enclosed (Check No. 35936 Street Address: 2033 K Street, N.W., Suite 800 Authorized to be charged to deposit account 8. Deposit account number: 23-0975 City: Washington, State: DC ZIP: 20006 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Matthew Jacob , Reg. No. 25,/154 December 2, 1999 Name of Person Signing OMB No. 0651-0011 (exp. 4/94) Total number of pages including cover sheet: Three FC:561 Do not detach this portion Pas Carrenge TO CHAIR FINE FOR Mail documents to be recorded with required cover sheet information: ACCOUNT FA. Commissioner and Assistant Secretary of Patents and Trademarks **Box Assignments** Washington, D.C. 20231 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C,

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譲渡証(Translation/日本語訳) 下記に署名した私/私達、

は、

ある発明を創出し、これについて合衆国特許出願は
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さらに、私/私達は、森庸厚、森崇英、テクノセブン株式会社 (以下譲受人と言う)が単数ないしは複数の当該発明(以下当 該発明という) に関わる特許権を、自己の名により、合衆国と その属領及び全ての外国に於いて出願し、特許を受けること; またこの譲渡証の意図と目的を誠実に実行することを求めら れた場合、下記に署名した私/私達が、当該譲受人、その後継 者、その被譲渡者、及び法定代理人の費用負担にて、一部継続 出願、継続出願、分割出願、差替え出願、再発行出願、特許期 間延長等を行い、合法的宣誓書、譲渡証、委任状等の書類を作 成し、あらゆる法的または準法的訴訟手続に於いて証言を行う こと; 当該発明とその経緯に関連して、下記に署名した私/私 達が知り得た全ての事実を、当該譲受人、後継者、被譲渡者、 及び法定代理人に連絡すること;そして当該譲受人、後継者、 被譲渡者、及び法定代理人が、当該発明の特許権の適切な保護、 維持、権利行使するために望ましいと考慮すること、また、当 該発明に関わる特許出願に際し、当該譲受人、後継者、被譲渡 考、及び法定代理人に対して法的権限を付与することが望まし と考慮することについて、可能な限り行うことを承諾する。

Assignment

For good and valuable consideration, the receipt of which is hereby acknowledged, I/WE, the undersigned,

Tsuneatsu MORI, Maowu GUO

and Etsuko MORI

who have created a certain invention for which an application	for
United States Letters Patent	

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	executed by ME/US on even date herewith.					
	executed by N	ME/US on	·			
	(respectively),					
	filed on				and assign	ied
	Serial No.					
X	filed as Interna					
PCT/J	P98/02438	filed on	June	2,	1998	
and entitl	ed:					

NATURAL ANTITUMOR OR ANTIVIRAL SUBSTANCES AND USE OF THE SAME

Do hereby sell, assign and transfer to Tsuneatsu MORI of 17-2-501, Minami-Magome 5-Chome, Ota-Ku, Tokyo-To, Japan, a Japanese Citizen, Takahide Mori of 6-30 Shimogamo-Izumikawa-Cho, Sakyo-Ku, Kyoto-Shi, Kyoto-Fu, Japan, a Japanese Citizen, and Techno Seven Co., Ltd. of 18-10, Shinkawa 2-Chome, Chuo-Ku, Tokyo-To, Japan, a corporation of Japan, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties:

Agree that Tsuneatsu MORI, Takahide Mori and Techno Seven Co., Ltd., hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions. and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes. reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings: communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper paterit protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, its successors, assigns, or legal representatives: and

そして、私/私達は、この書面により譲渡された権利や財産に 影響する、如何なる譲渡、授権、抵当権、ライセンス等その他 の協定も他の第三者との間で行っていないこと; 下記に署名 した私/私達によって、この書面に記載されている権利が所有 されていることを、当該譲受人、後継者、被譲渡者、及び法定 代理人に対して誓約するものである。

さらに、下記に署名した私/私達はこの譲渡書は英語の部分の表現によってのみ解釈されることに同意する。

上記を証明するため、私/私達は下記日付で署名する。

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

I/WE, the undersigned do further agree that this Assignment is to be construed solely according to the terms of the English language portions thereof.

IN TESTIMONY WHEREOF I/WE have hereunto set MY/OUR signature seal on the date indicated below.

唯一または第一発明者名		Full name of sole or first inventor
-		Tsuneatsu MORI
<€. ☆明者の署名	日付	Inventor's signature Date
		Tructen Mon November 19, 199
第二共同発明者(いる場合)		Full name of second joint inventor, if any
		Maowu GUO
第二共同発明者の署名	日付	Second Inventor's signature Date
		maowika November 19, 1999
第三共同発明者(いる場合)		Full name of third joint inventor, if any
		Etsuko MORI
第三共同発明者の署名	日付	Third Inventor's signature Date
		Etsuhr mori November 19, 1999
第四共同発明者(いる場合)		Full name of fourth joint inventor, if any
第四共同発明者の署名	日付	Fourth Inventor's signature Date
第五共同発明者(いる場合)		Full name of fifth joint inventor, if any
第五共同発明者の署名	日付	Fifth Inventor's signature Date
第六共同発明者 (いる場合)		Full name of sixth joint inventor, if any
第六共同発明者の署名	日付	Sixth Inventor's signature Date
第七共同発明者(いる場合)		Full name of seventh joint inventor, if any
第七共同発明者の署名	日付	Seventh Inventor's signature Date
第八共同発明者(いる場合)		Full name of eighth joint inventor, if any
共同発明者の署名	日付	Eighth Inventor's signature Date
六四光明日の著名	च । प्	Eighth Inventor's signature Date

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