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Substitute Form PTO-1595 Attorney Docket No.: 05770-099001 Client's Ref. No.: ASC-438



Assistant Commissioner for Patents: Please record the attached origin	al document.	
Name of conveying parties:	2. Name and address of receiving party(ies):	
Bruce B. Gamble, Gregory L. Snitchler; Dariusz Antoni Bushko, Swarn S. Kalsi, Peter M. Winn and William T. Sand	AMERICAN SUPERCONDUCTOR CORPORATION Two Technology Drive	
Additional name(s) attached? ☐ Yes ☒ No	Westborough, Massachusetts	
3. Nature of conveyance: Execution dates:		
<ul> <li>☑ Assignment 1/4/00, 1/6/00, 1/5/00,</li> <li>☐ Merger 1/5/00, 1/6/00, 1/6/00.</li> <li>☐ Security Agreement</li> <li>☐ Change of Name</li> <li>☐ Other:</li> </ul>		
- "	Additional names/addresses attached? ☐ Yes ☒ No	
4. Application number(s) or patent number(s):		
If this document is being filed with a new application, the execution	date of the application is:	
A. Patent Application No(s).:	B: Patent No(s).:	
09/415,626		
Additional numbers attached? ☐ Yes 図 No		
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1	
FRANK R. OCCHIUTI	7. Total fee (37 CFR §3.41): \$40	
Fish & Richardson P.C.	☑ Enclosed	
225 Franklin Street Boston, MA 02110-2804	☐ Authorized to charge Deposit Account.	
BOSTON, WA 02 1 10-2004	8. Deposit Account No.: 06-1050	
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.	
DO NOT USE	THIS SPACE	
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.		
Frank R. Occhiuti  Reg. No. 35,306  Name of Person Signing  Frank R. Occhiuti  Signature	Ochur Tunay 7, 2000 Date	
Total number of pages including coversheet, attachments and document: 5		
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for

Patents, Washington, D.C. 20231.

January 7, 2000

Date of Deposit

Signature

Matthew G. Doherty

Typed Name of Person Signing Certificate

**PATENT** 

I.

**REEL: 010529 FRAME: 0201** 

## **ASSIGNMENT**

For valuable consideration, we, Bruce B. Gamble, of Wellesley, Massachusetts; Gregory L. Snitchler, of Shrewsbury, Massachusetts; Dariusz Antoni Bushko, of Hopkinton, Massachusetts; Swarn S. Kalsi, of Shrewsbury, Massachusetts; Peter M. Winn, of Framingham, Massachusetts; and William T. Sand, of Cumberland, Rhode Island hereby assign to American Superconductor Corporation, a Delaware corporation having a place of business at Two Technology Drive, Westborough, Massachusetts, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled SUPERCONDUCTING ROTATING MACHINES, filed October 12, 1999, and assigned U.S. Serial Number 09/415,626, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

	Therefore, I hereto bet my hand and bear at	
this $\frac{-ct^{-\frac{1}{2}t}}{-ct^{-\frac{1}{2}t}}$	day of January, XX	<b>.</b>
		L.S.
	Bruce B. Gamble	
STATE OF _	MASSACHUSETTS :	
	:SS.	
COUNTY OF	WORCESTER :	
	Before me this 4th day of Mruary, xxxx, personally appeared	
Bruce	B. Gamble known to me to be the person whose name is subscribed to the	
foregoing Ass	ignment, and acknowledged that he/she executed the same as his/her free act and deed for	the
0 0		1110
purposes there	Notary Public Lulaga	
[Notary's Sea	My Commission Expires: Opul 12, 2002	

IN WITNESS WHEREOF I hereto set my hand and seal at

PATENT REEL: 010529 FRAME: 0202

Westborough, Massachusetts

IN WITNESS WHEREOF, I hereto set my hand and seal atWestborough, Massachusetts
this Ob day of Janivary, px 2000
L.S
Gregory L. Snitchler
STATE OF MASSACHUSETTS:
SS.
COUNTY OF WORCESTER:
Before me this 6 th day of January 182, personally appeared
Gregory L. Snitchler known to me to be the person whose name is subscribed to the
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.
purposes therein contained.
Notary Public  My Commission Expires:   [Notary's Seal Here]
Notary Public
My Commission Expires: Copul 12, Jee
[Notary's Seal Here]
IN WITNESS WHEREOF, I hereto set my hand and seal atWestborough, Massachusetts,
this <u>five</u> day of <u>January</u> , <u>NB 2000</u> <u>Darwe Mori Bushin</u> L.S.  Dariusz Antoni Bushko
Danie Antoni Bushko L.S.
Dăriusz Antoni Bushko
State of <u>massachusetts</u> :
COUNTY OF WORCESTER :
Before me this
Dariusz Antoni Bushko known to me to be the person whose name is subscribed to the
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the
Notary Public  Sandra A. Lulaga  Notary Public
Notary Public
My Commission Euripean () April 12, 2007
Notary Public  My Commission Expires: April 12, 2007  [Notary's Seal Here]

PATENT REEL: 010529 FRAME: 0203

IN WITNESS WHEREOF, I hereto set my hand and seal at <u>Westborough</u> , <u>Massachusetts</u>	;
this tay of January , 18x 2000 L.	
Swary S. Khlir	ç
Swarn S. Kalsi	υ.
STATE OFMASSACHUSETTS :	
:ss.	
COUNTY OF WORCESTER :	
Before me this 5th day of fanishy, 10x, personally appeared	
Swarn S. Kalsi known to me to be the person whose name is subscribed to the	
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the	ıe
purposes therein contained.	
Liver de la Kilonia	
Notary Public	
Notary Public  My Commission Expires: Capril 12, 2002  [Notary's Seal Here]	
My Commission Expires:	
[Notary's Seal Here]	
Worthorough Massachusetts	
IN WITNESS WHEREOF, I hereto set my hand and seal atWestborough, Massachusetts	<b>—</b> '
this 6th day of tan 192000	
1/1/2/	S.
Peter M. Winn	
STATE OF MASSACHUSETTS:	
COLLYNY OF MORCESTER	
COUNTY OF WORCESTER :	
Before me this day or, xx, xx, personally appeared	
Peter M. Winn known to me to be the person whose name is subscribed to the	_
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.	C
purposes unerom comumos.	
Jundra C. Julaga	
Notary Public	
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.  Notary Public  My Commission Expires:   [Notary's Seal Here]	
[Notary's Seal Here]	

I

PATENT REEL: 010529 FRAME: 0204

IN WITNESS WHEREOF, I hereto set my hand and seal atWestborough, Massachusetts,
this 6 day of JANUARY, xx 2000  William T. Sand  L.S.
STATE OF MASSACHUSETTS:
COUNTY OF WORCESTER:  Before me this 6th day of Armay, ** personally appeared
<u>William T. Sand</u> known to me to be the person whose name is subscribed to the
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.  Notary Public
[Notary's Seal Here]  My Commission Expires: April 12, 2003
[Notary's Seal Here]

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RECORDED: |01/13/2000

PATENT REEL: 010529 FRAME: 0205