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3 SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101260831

PCT Reg'd 14 JAN 2000

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Frank SAMS-DODD; Jorn ARNT

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: Dec. 21 & 22, 1999

2. Name and address of receiving party(ies):

Name: H. LUNDBECK A/S

Internal Address:

Street Address: 9, Ottiliavej

City: Valby-Copenhagen Country: Denmark
Zip: DK-2500

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/424,822 (PCT/DK98/00220)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address:

Our Ref.: 5432/0F464-US0

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a copy of the original document.

S. Peter Ludwig
Name of Person Signing

Signature

January 14, 2000
Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 010529 FRAME: 0444

ASSIGNMENT

We, **Frank SAMS-DODD**, a citizen of Denmark, residing at C/Les Agudes, 26, E-08553 Seva, Barcelona, Spain; and **Jorn ARNT**, a citizen of Denmark, residing at Svend Gongs Vej 11A, DK-2680 Solrod Strand, Denmark (hereinbelow called the "Assignors"), have made a certain invention in:

TREATMENT OF SCHIZOPHRENIA AND PSYCHOSIS

for which an international application designating the U.S. was filed on 28 May 1998 under International Application No. PCT/DK98/00220; and

WHEREAS, **H. LUNDBECK A/S**, a corporation organized and existing under and by the laws of Denmark, having a place of business at 9, Ottiliavej, DK-2500 Valby-Copenhagen, Denmark, below called the "Assignee", is desirous of securing the entire right, title and interest in the United States in and to the said invention, application and Letters Patent, when granted, and in and to any divisions, divisionals, continuations, improvements, reissues or extensions that may be made or granted thereon;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar (\$1.00) to us in hand paid by the said Assignee, and other good and valuable consideration, the receipt of all of which is hereby acknowledged, we, the said Assignors have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto the said Assignee, its successors and assigns, all of our right, title, risks, obligations, and interest in the United States in and to the said invention, application and Letters Patent, when granted, and in and to any divisions, continuations, improvements, reissues or extensions that may be made or granted on any of them;

TO HAVE AND TO HOLD the same to the full end of the term or terms for which said Letters Patent may be granted, as fully and completely as the same might be held by us had this sale and assignment not been made.

For the consideration aforesaid, we hereby covenant and agree to and with the said Assignees its successors and assigns, that whenever its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with said application or invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of said Letters Patent is lawful and desirable, we will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of valid Letters Patent for said invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure the said Assignee, its successors, and assigns, the title to and full benefit of all rights hereby assigned, without charge to said Assignees or its successors or assigns, but at its or their expense;

AND the Commissioner of Patents and Trademarks is requested to issue the said Letters Patent, when granted, in accordance with this sale and assignment.

Dated: _____

Frank SAMS-DODD, Inventor

Dated: Dec 22, 1999



Jörn ARNT, Inventor

For the consideration aforesaid, we hereby covenant and agree to and with the said Assignees its successors and assigns, that whenever its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with said application or invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of said Letters Patent is lawful and desirable, we will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of valid Letters Patent for said invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure the said Assignee, its successors, and assigns, the title to and full benefit of all rights hereby assigned, without charge to said Assignees or its successors or assigns, but at its or their expense;

AND the Commissioner of Patents and Trademarks is requested to issue the said Letters Patent, when granted, in accordance with this sale and assignment.

Dated: December 21, 1999

Frank Sams-Dodd
Frank SAMS-DODD, Inventor

Dated: _____

Jorn ARNT, Inventor