$\mathcal{O}$ 



101264360 FORM PTO-1619A Expires 06/30/99 OMB 0651-0027 U.S. Department of Commerce Patent and Trademark Office PATENT RECORDATION FORM COVER SHEET PATENTS ONLY missioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) TO: The Co Conveyance Type Stabon Type ✓ Assignment **Security Agreement** New Resubmission (Non-Recordation) License Change of Name Document ID# Correction of PTO Error Other Merger Reel # Frame # U.S. Government (For Use ONLY by U.S. Government Agencies) **Corrective Document** Reel # Frame # Secret File Departmental File Mark if additional names of conveying parties attached Execution Date Month Day Year Conveying Party(ies) Name (line 1) Penn Venilation, Inc. 12 30 99 Name (line 2) Execution Date Month Day Year Second Party Name (line 1) Name (line 2) Mark if additional names of receiving parties attached Receiving Party If document to be recorded Name (line 1) Lau Industries, Inc. is an assignment and the receiving party is not domiciled in the United Name (line 2) States, an appointment of a domestic representative is attached. Address (line 1) C/O Tompkins Industries, Inc. (Designation must be a separate document from Assignment.) Address (line 2) 4801 Springfield Street 45431 Юhio Address (line 3) Dayton Domestic Representative Name and Address Enter for the first Receiving Party only. Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) FOR OFFICE USE ONLY 02/09/2000 DMGUYEN 00000177 4411453 360.00\DP 01 FC:581 Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer. Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEMD REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

REEL: 010531 FRAME: 0374

FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and Addre	Area Code and Telephone Number	er (212) 735-2203
Name Diane J. Kasselmar	1	
Address (line 1) Skadden, Arps, Slate	e, Meagher & Flom LLP	
Address (line 2) Four Times Square		
Address (line 3) New York, New York	rk 10036-6522	
Address (line 4)		
Pages Enter the total number including any attachm	of pages of the attached conveyance do	cument # 6
Patent Application Number	the Patent Number (DO NOT ENTER BOTH number (S) Pa 4411453 4253796 4475534 E PATENT Application, enter the date the patent application of the patent	Itent Number(s)       4977884     5810557       4987882     4586486       5292353
Number of Properties  Enter t	he total number of properties involved.	# 9
Method of Payment: Deposit Account (Enter for payment by deposit account o	Enclosed Deposit Account  if additional fees can be charged to the account.)  Deposit Account Number:  Authorization to charge additional fees	#[19-2385
Statement and Signature		

To the best of my knowledge and belief, the foregoing information is true and correct and any

attached copy is a true copy of the original document. Charges to deposit account are authorized, as

Diane J. Kasselman

Name of Person Signing

Signature

indicated herein.

January\**8**, 2000

Date

REEL: 010531 FRAME: 0375

## PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT is made and effective as of this 30<sup>th</sup> day of December, 1999, from Penn Ventilation, Inc., a Delaware corporation ("Penn").

WHEREAS, Falcon Building Products, Inc., a Delaware corporation ("Parent"), Hart & Cooley, Inc., a Delaware corporation ("Hart & Cooley"), Warrior Glass & Aluminum Co., Inc., a Tennessee corporation ("Warrior"), Penn and Penn Ventilation, Ltd./Penn Ventilation, Ltee, a Quebec corporation ("Penn Canada" and together with Hart & Cooley, Warrior and Penn the "Sellers"), H&C Acquisition Corp., a Delaware corporation ("Purchaser"), and Tomkins Industries, Inc., an Ohio corporation ("Tomkins") have entered into an Asset Purchase and Sale Agreement, dated as of December 30, 1999 (the "Agreement"), pursuant to which the Sellers have agreed to execute and deliver to Purchaser at Closing, assignments of all Intellectual Property (as defined in the Agreement), including, without limitation, all Intellectual Property listed in Schedule 3.15.

WHEREAS, Purchaser has assigned certain of its rights and obligations under the Agreement to Lau Industries, Inc., a Delaware corporation and an affiliate of Purchaser ("Lau"); provided, that such assignment shall not impair, modify or otherwise affect the liabilities of Purchaser in respect of such rights and obligations under the Agreement.

NOW, THEREFORE, for and in consideration of the transactions contemplated by the Agreement, Penn does hereby assign unto Lau, pursuant to, subject to and in accordance with the terms and provisions of the Agreement, and subject to the Assumed Liabilities, all of its right, title, and interest in and to:

- (i) the patents, patent registrations, and patent applications set forth in Schedule A attached hereto (the "Patents");
- (ii) all reissues, divisions, reexaminations, continuations, continuations-in-part, or extensions of the foregoing Patents;
- (iii) all causes of actions, claims and demands or other rights for, or arising from, any infringement, including the right to sue for any past, present or future infringement of the Patents and related claims, including the right to sue for injunctive relief and to collect damages; and

303036.03-New York S4A

(iv) all rights corresponding thereto throughout the world.

From time to time after the date hereof, at the request of the other party hereto (which for such purposes, shall include any successor to such party or to substantially all its assets), and at the expense of the party so requesting, each of the parties hereto shall execute and deliver to such requesting party such documents and take such other action as such requesting party may reasonably request in order to consummate more effectively the transactions contemplated hereby.

Recourse under this Patent Assignment is limited by, and subject to, the terms and conditions of the Agreement.

2

303036.03-New York S4A

IN WITNESS WHEREOF, the undersigned has caused this Patent Assignment to be duly executed by its authorized officer as of the day and year first above written.

PENN VENTILATION, INC.

Name:

Title:

3

303036.03-New York S4A

STATE OF	)
	) ss.:
COUNTY OF	)

I, a notary public, in and for the county and state aforesaid, do hereby certify that <u>Gustaffine</u> personally known to me to be the <u>free flooder</u> of Penn Ventilation, Inc., appeared before me this day in person and acknowledged that (s)he signed the above and foregoing instrument pursuant to authority granted to him/her by said corporation for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial seal this 36 day of Accorden 1999.

Notary Public

Gatul & Omer

My commission expires:

Notary Public, State of New York
No. 01086012161
Counted in New York County
Commission Expires August 24, 2000

4

## Schedule of Patents Owned by Penn Ventilation, Inc.

COUNTRY	TITLE OF PATENT	PATENT NO. (APPLN. NO.)	ISSUE DATE (FILING DATE) mdy	RECORD OWNER
US	FAN OF BLOWER ASSEMBLY	4,411,453 (06/190,081)	10/25/83 (09/23//80)	PENN VENTILATION COMPANIES, INC.
US	FAN OR BLOWER ASSEMBLY	4,253,796 (05/940,121)	03/03/81 (09/06/78)	PENN VENTILATION COMPANIES, INC.
US	VENTILATING SYSTEM FOR KITCHEN STOVE	4,475,534 (05/965,094)	10/09/84 (11/30/78)	PENN VENTILATOR, INC.
US	FAIL-SAFE BLOWER DRIVE FOR ROOF MOUNTED KITCHEN HOOD GREASE EXHAUST	4,977,884 (07/475,246)	12/18/90 (02/05/90)	PENN VENTILATOR, INC.
CA		2026411 (2026411)	03/29/94 (09/27/90)	RENCO SYSTEMS INC.
US	ROOF MOUNTED KITCHEN HOOD GREASE EXHAUST	4,987,882 (07/475,223)	01/29/91 (02/05/90)	PENN VENTILATOR, INC.
CA		2026423	03/29/94 (09/27/90)	RENCO SYSTEMS INC.
US	AIR SCRUBBER	5,292,353 (07/725,591)	03/08/94 (07/03/91)	PENN VENTILATOR, INC.
US	FAN WHEEL FOR AN INLINE CENTRIFUGAL FAN	5,810,557 (08/792,796)	09/22/98 (01/03/97)	THE PENN VENTILATION COMPANIES, INC.
CA		(2260998)	Published appln on 01/29/98  Filed: 07/10/97	PENN VENTILATION COMPANIES, INC.
MALAY SIA		(08/792,796)	(07/16/97)	Unable to confirm this country

5

303036.03-New York \$4A

COUNTRY	TITLE OF PATENT	PATENT NO. (APPLN. NO.)	ISSUE DATE (FILING DATE) mdy	RECORD OWNER
PCT		(97US12254)	(07/10/97)	AAF MCQUAY INC.
AU		(9737996)	(02/10/98)	AAF MCQUAY INC.
US	MULTILEVEL AIR DISTRIBUTION PANEL FOR AIR VENTILATION HOOD	4,586,486 (06/628,290)	05/06/86 (07/06/84)	NATIONAL AIR SYSTEMS, INC.
CA		1240874 (473,894)	08/23/88 (02/08/88)	NATIONAL AIR SYSTEMS INC.

303036.03-New York S4A 6

**RECORDED: 01/18/2000**