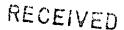
02-10-2000



101263753 AN 10 AH 9: 26 NYDEGGER & ASSOCIATES

PATENT

OPR/FINANCE NEIL K. NYDEGGER

ATTORNEYS AT LAW

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348 OLIVE STREET email@nydegger.com SAN DIEGO, CALIFORNIA 92103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: | Dennis M. Vigil et al. |) |
|-----------------------|--|---------------------------|
| Serial No.: | 09/232,392 |)) Art Unit) 3734 |
| Filed: | January 15, 1999 |) |
| For: | DEVICE AND METHOD FOR TREATING A WALL OF A BLOOD VESSEL |) |
| Examiner: | Unknown |) |
| Attorney Docket: | 10745.126 |)) |

TRANSMITTAL OF ASSIGNMENT

Box Assignments Commissioner of Patents and Trademarks Washington, D. C. 20231

Sir:

Please record the enclosed original patent Assignment in the United States Patent and Trademark Office.

Name of conveying parties: (continued) 1.

> Dennis M. Vigil 10387 Agar Court San Diego, California 92126

02/09/2000 DHGUYEN 00000244 09232392 01 FC:581

> **PATENT REEL: 010533 FRAME: 0228**

11

| Commissioner of Patents and Trademarks Page 2 | | | | |
|---|---|--|--|--|
| | Robert E. Reiss 9675 La Jolla Farms Road La Jolla, California 92037 | | | |
| | Peter Barath 3 Hampton Drive Oak Brook, Illinois 60523 | | | |
| 2. | Name and address of receiving party: | | | |
| | InterVentional Technologies, Inc. 3574 Ruffin Road San Diego, California 92123 | | | |
| 3. | Nature of conveyance: Assignment | | | |
| | Execution Date: December 10, 1999 | | | |
| 4. | Application number(s) or patent number(s): | | | |
| | Patent Application No. 09/232,392 | | | |
| 5. | Name and address of party to whom correspondence concerning document should be mailed: | | | |
| | NEIL K. NYDEGGER NYDEGGER & ASSOCIATES 348 Olive Street San Diego, California 92103 Telephone: (619) 688-1300 | | | |
| 3 . | Total number of applications and patents involved:1 | | | |
| 7. | Total fee (37 CFR 3.41): \$40.00 | | | |
| | X Enclosed Check No. 12313 | | | |
| | Authorized to be charged to deposit account | | | |

Deposit account number: 14-1519

8.

PATENT REEL: 010533 FRAME: 0229

9. Statement and signature.

The undersigned declares that, to his best knowledge and belief, the information contained in this Transmittal of Assignment is correct, and that any attached copy of the Assignment is a true copy of the original document.

DATED this 6th day of January, 2000.

Respectfully submitted,

NEL K. NYDEGGER UL Attorney for Applicant Registration No. 30,202

NYDEGGER & ASSOCIATES 348 Olive Street San Diego, California 92103 Telephone: (619) 688-1300

assignpto12-27-99:clm Docket: 10745.126

r REEL: 010533 FRAME: 0230

PATENT

ASSIGNMENT

We, Dennis M. Vigil, residing at 10387 Agar Court, San Diego, California 92126,

Robert E. Reiss, residing at 9675 La Jolla Farms Road, La Jolla, California 92037, and

Peter Barath, residing at 3 Hampton Drive, Oak Brook, Illinois 60523, have invented a

method and apparatus entitled DEVICE AND METHOD FOR TREATING A WALL OF A

BLOOD VESSEL, hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent

application executed concurrently herewith by us and now identified as File No.

10745.126 of the law firm of Nydegger & Associates, 4350 La Jolla Village Drive, Suite

950, San Diego, California 92122, and filed in the United States Patent and Trademark

Office as Serial No. 09/ 232,392 on January 15, 1999 . (We hereby authorize

the attorneys of Nydegger & Associates to insert said serial number and filing date when

known.)

The Assignee, InterVentional Technologies, Inc., a corporation of the State of

California, having a principal place of business at 3574 Ruffin Road, San Diego,

California 92123, desires to secure the entire right, title and interest in said invention.

For good and valuable consideration paid to us by the Assignee, the receipt and

sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE

ASSIGNEE:

-1-

PATENT

REEL: 010533 FRAME: 0231

The entire right, title and interest in said invention in the above-identified United States patent application and in all divisions and continuations of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said assignee, its successors, assigns and legal representatives.

We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon our heirs and legal representatives.

-2-

Jenny Zu Vizil Dennis M. Vigil

ACKNOWLEDGMENT

| county of <u>Sun Diego</u>) ss. | |
|--|--|
| On <u>Janvary 13</u> , 19 <u>99</u> , before me, Notary Public, personally appeared <u>Dennis Vig</u> | Lee Him Ferrest il personally known |
| to me (or proved to me on the basis of satisfactory ev name (s) is/are subscribed to the within instrument he/she/they executed the same in his/her/their authhis/ber/their signature(s) on the instrument the person(s) | ridence) to be the person(a) whose it and acknowledged to me that norized capacity(jes), and that by |
| the person(s) acted, executed the instrument. | , |
| WITNESS my hand and official seal. | |
| Lucan Forest | (Seal) |

LEE ANN FORREST Commission # 1166788 Notary Public - California San Diego County My Comm. Expires Dec 26, 2001

(Signatures continued on page 4)

STATE OF CALIFORNIA

-3-

Robert E. Reiss

ACKNOWLEDGMENT

STATE OF CALIFORNIA) ss. COUNTY OF <u>Swilling</u>)

On January 13, 1999 before me, Lee 14nn Fornest, Notary Public, personally appeared Pobert F. Roiss, personally known

WITNESS my hand and official seal.

Lucian Forrest

(Seal)



(Signatures continued on page 5)

Peter Barath

ACKNOWLEDGMENT

| STATE OF CALIFO | RNIA) | |
|----------------------|-------|----|
| COUNTY OF <u>San</u> | Diego | SS |

On /2-/D , 19⁴/₂, Notary Public, personally appeared _ _____, 1997, before me, Lection torrest, Notary Public known to me (or proved to me on the basis of satisfactory evidence) to be the person(a) whose name (s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Ledun Forrest

(Seal)

LEE ANN FORRES Commission # 11// mag Notary Public - Cal San Diego County My Comm. Expires Dec 26, 200

assign1-08-99:clm Docket: 10745.126

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TOTAL P.03

PATENT

RECORDED: 01/10/2000