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101264489

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Nicholas J. Sisti  
Charles S. Swindell  
Madhavi C. ChanderAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: NaPro BioTherapeutics, Inc.

Internal Address: Unit A

Street Address: 6304 Spine Road

City: Boulder, State: CO ZIP: 80301

Additional name(s) & address(es) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 9/29/99, 12/1/99 &amp; 9/8/99

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

08/616,466

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy J. Martin, P.C.

Internal Address: Suite 200

Street Address: 9250 W. 5th Avenue

City: Lakewood, State: CO ZIP: 80226

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

02/09/2000 TT0N11 00000223 08616466

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40.00 OP

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark H. Weygandt

Name of Person Signing

Signature

6

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents &amp; Trademarks, Box Assignments

Washington, D.C. 20231

PATENT

REEL: 010533 FRAME: 0775

**CONTINUATION OF RECORDATION FORM COVER SHEET  
PATENTS ONLY**

Serial No.: 08/616,466

**ITEM #2 CONTINUED**

2. Name and address of receiving party(ies):

Name: Bryn Mawr College  
Street Address: 101 North Merion Avenue  
City: Bryn Mawr  
State: Pennsylvania  
Zip Code: 19010-2899

## ASSIGNMENT

**WHEREAS**, We, Nicholas J. Sisti ("Sisti") of 5 Shirley Street, Pepperell, Massachusetts 01463, Charles S. Swindell ("Swindell") of 613 Schiller Avenue, Merion, Pennsylvania 19066 and Madhavi C. Chander ("Chander") of 5342 Desert Mountain Court, Boulder, Colorado 80301 have invented and own a certain new and useful **INTERMEDIATE FOR USE IN DOCETAXEL SYNTHESIS AND PRODUCTION METHOD THEREFOR** for which application for Letters Patent of the United States was filed on March 19, 1996 and designated as Application Serial No. 08/616,466;

**WHEREAS**, NaPro BioTherapeutics, Inc. ("NaPro") a corporation existing under the laws of the State of Delaware, having a place of business at 6304 Spine Road, Unit A, Boulder, Colorado 80301 and Bryn Mawr College ("Bryn Mawr") a non-profit organization, 101 North Merion Avenue, Bryn Mawr, Pennsylvania 19010 is desirous of acquiring the entire right, title and interest therein and thereto;

**NOW, THEREFORE**, be it known that for and in consideration of the sum of Ten Dollars (\$10.00) and certain other good and valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we the said Sisti, Swindell and Chander by these presents do sell, assign and transfer unto the said NaPro and Bryn Mawr, their successors, legal representatives and assigns, their entire right, title and interest in and to Application Serial No. 08/616,466 and for all foreign countries and continuations, continuations in-part, divisionals and reissues, and extensions to be obtained therefore. This Assignment shall include the right to sue for past infringements thereof. We further agree to cooperate with NaPro and Bryn Mawr hereunder in sustaining such Letters

Patent, but at the expense of NaPro and Bryn Mawr. After execution of this assignment, ownership of the patent rights shall be as tenants in common in the following proportions:

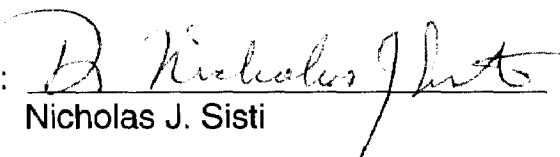
NaPro BioTherapeutics, Inc.	50%
Bryn Mawr College	50%

We further hereby assign and agree to assign to NaPro and Bryn Mawr the entire right, title and interest, domestic and foreign, which we may have in discoveries, improvements and inventions made, conceived or developed by us in connection with the development of said **INTERMEDIATE FOR USE IN DOCETAXEL SYNTHESIS AND PRODUCTION METHOD THEREFOR** and do further agree to execute all applications for patent, assignments and other appropriate documents and to perform all things necessary to make this Agreement effective and to perfect all right, title and interest in and to said discoveries or improvements.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment to NaPro and Bryn Mawr, its successors, legal representatives and assigns of the entire right, title and interest therein.

**IN WITNESS WHEREOF**, We have hereunto set our hand and affixed our seal on the date set forth hereinafter.

Date: Sept 29, 1999

By:   
Nicholas J. Sisti

STATE OF NEW YORK )  
 ) ss.  
COUNTY OF NEW YORK )

Before me, a Notary Public in and for the said County and State, personally appeared Nicholas J. Sisti who is known to me to be the person whose name is subscribed to the

foregoing instrument, and who acknowledged to me that he executed the same for the purposes and considerations therein expressed.

(SEAL)

**MI-HUEN CHAN**  
Notary Public, State of New York  
No. 31-4929685  
Qualified in New York County  
Commission Expires May 2, 2000

Mi Huen Chan  
Notary Public

6 Bay Tree Circle  
Address

Middletown, NJ 07748  
City, State and Zip Code

My Commission Expires: 5/2/2000

Date: 12/1/99

By: Charles S. Swindell  
Charles S. Swindell

STATE OF PENNSYLVANIA )  
 ) ss.  
COUNTY OF MONTGOMERY )

Before me, a Notary Public in and for the said County and State, personally appeared Charles S. Swindell who is known to me to be the person whose name is subscribed to the foregoing instrument, and who acknowledged to me that he executed the same for the purposes and considerations therein expressed.

(SEAL)

Janice R. Moore  
Notary Public

248 Iona Ave  
Address  
Narberth, PA 19072  
City, State and Zip Code

Notarial Seal  
Janice R. Moore, Notary Public  
Lower Merion Twp., Montgomery County  
My Commission Expires Dec. 17, 2001  
Member, Pennsylvania Association of Notaries

My Commission Expires: Dec. 17, 2001


Date: 99 SEP 08

By:   
Madhavi C. Chander

STATE OF Colorado )  
 ) ss.  
COUNTY OF Boulder )

Before me, a Notary Public in and for the said County and State, personally appeared Madhavi C. Chander who is known to me to be the person whose name is subscribed to the foregoing instrument, and who acknowledged to me that she executed the same for the purposes and considerations therein expressed.

(SEAL.)

  
Notary Public

5971 Scotswood Cr.  
Address

Boulder, Co 80301  
City, State and Zip Code

My Commission Expires: 4/01/2000