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02-14-2000

US Department of Commerce  
Patent & Trademark Office



To the Honorable Commissioner of Patent

101246293

original documents or copy thereof

10525 U.S. PTO  
09/492966  
01/27/00

1. Name of conveying party(ies):

Wei Zhong Li  
Yanfeng Yang

2. Name and address of receiving party(ies)

Name: **Oplink Communications, Inc.**  
Street Address: **3475 N. First Street**  
City: **San Jose** State: **CA** ZIP: **95134**

Additional name(s) of conveying  
party(ies) attached ☐ Yes ☒ No

Additional name(s) & address(es) attached?  
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: January 24, 2000  
January 24, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 24, 2000; and January 24, 2000.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Additional numbers attached? ☐ Yes ☒ No

09/492966

5. Name and address of party to whom  
correspondence concerning document  
should be mailed:

Name: **Joseph A. Sawyer, Jr.**  
Internal  
Address: **Sawyer and Associates**  
Mailing  
Address: **P.O. Box 51418**  
**Palo Alto, California 94304**

6. Total Number of applications and patents  
involved: **One**

7. Total fee (37 CFR 3.41).....\$ **40.00**  
☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit Account Number: 02-2120  
(Attach copy of this page)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

1/26/00  
Date

Janyce R. Mitchell, Reg. No. 40,095

02/11/2000 TT011 00000228 022120 09492966

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Total number of pages including cover sheet, attachments, and document: 2

**ASSIGNMENT**

WHEREAS, I, Wei Zhong Li of San Jose, in the County of Santa Clara and State of California; and I, Yanfeng Yang, of Sunnyvale, in the County of Santa Clara and State of California (ASSIGNEES), have invented:

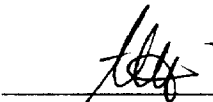
**METHOD AND SYSTEM FOR SPLITTING OR COMBINING AN OPTICAL SIGNAL**

as described and claimed in the application executed by me of even date herewith, preparatory to obtaining a Letters Patent of the United States therefor; and


WHEREAS, Oplink Communications, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, and having its principal place of business at 3475 N. First Street, San Jose, California 95134, is desirous of acquiring the entire right, title, and interest in and to the said invention, application, and any Letters Patent which may be granted therefor or thereupon, together with any and all divisions, continuations, reissues and extensions thereof:

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of One Dollar (\$1.00) to me in hand paid, and other good and valuable considerations, the receipt of which is hereby acknowledged, ASSIGNEES, have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto Oplink Communications, Inc., its successors and assigns, the entire right, title, and interest in and to the invention, application executed of even date herewith, and any Letters Patent which may be granted therefor or thereon, together with any and all divisions, continuations, reissues and extensions thereof, and all my foreign rights to the said invention, and all my rights under the International Convention in respect to the said invention and application, and authorize the said Oplink Communications, Inc., to apply for Letters Patent or equivalents in foreign countries, claiming the filing date of the U. S. application under the provisions of the International Convention. ASSIGNEES hereby agree to communicate to Oplink Communications, Inc., or its representatives any facts known to me respecting the invention, to testify in any legal proceeding relating thereto, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and in addition to execute any and all documents that may be required in order that Oplink Communications, Inc., may make application for Letters Patent or equivalents on the invention in foreign countries in its own name.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue any Letters Patent which may be granted for or on the invention and assigns, in accordance with this assignment.

Signature of Inventor:   
Wei Zhong Li

Date: 1/24/00

Signature of Inventor:   
Yanfeng Yang

Date: Jan 24 / 2K