FORM PTO-1595 1-31-92

02-14-2000



U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 7883.0005-01

To the Honorable Commissioner of Patents: Please record the attached original documer

101266730

N. BOX ASSIGNMENTS

			= 1012667	739			
1.	Name of conveying party	(ies):		2.	Name and a	ddress of receiving pa	rty(ies):
	. Hall. Greg R. Furnish, Sin avid Y. Phelps, and Vincer		nish, Scott J. Wolf, Peter J.				
				Name:	Percardi	a, Inc.	
Additio	nal name(s) of conveying p	arty(ies) at	tached? □ Yes ⊠ No	Internal	Address:		
3.	Nature of conveyance:			Street A	ddress:	20 Trafalgar Square	, Suite 434
	Assignment	0	Merger	City:	Nashua		
	Security Agreement		Change of Name	State:	NH	Zip Code:	03063
	[Desc	cribe]		Addition	al nama(a) 8	Address(es) attached	2
Execut	12/16/99, 12/ ion Date: 1/20/00, and	•	5/99, 12/20/99, 12/20/99, pectively		. ,	■ No	:
4 .	Application number(s) or application: Patent Application Numb 09/368,868		PE C	λ	ogether with nt Number(s)		execution date of the
		F	Additional numbers attached] ? □ Y∈	es ■ No		
5.	Name and address of pa concerning document sho			6.	Total numbe	er of applications and r	egistrations involved
Name:				7.	Total fee (37	7 CFR 3.41): \$40	
Interna	FINNEGAN, H I Address: & DUNNER, L		ON, FARABOW, GARRETT	■		lease charge deficiend	
Street /	Address: 1300 Street,	N.W.			Authorized t	o be charged to depos	it account
City:	Washington, D.C	D.]			
State:		Zip:	20005-3315	8.	Deposit Acc	count No.: <u>06-0916</u>	
9.	Statement and signature						
	To the best of my knowle original document.	edge and be	elief, the foregoing informatio	n is true a	nd correct an	d any attached copy is	a true copy of the
	Susanne C. Ti	nker	- Susanne	CI	her	January 24, 2000	-
	Reg. No. 44,47	72	Signa	ature		Date	
	Tota	I number of	pages including cover shee	t, attachm	ents and doc	uments: Two (2)	
02/11/20	DO DWGUYEN 00000119 09368	868	`				

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WHEREAS We, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

DELIVERY METHODS FOR LEFT VENTRICULAR CONDUIT

for which We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on August 4, 1999 (Serial No. 09/368,868); and

WHEREAS, Percardia, Inc., a corporation of Delaware, whose post office address is 20 Trafalgar Square, Suite 434, Nashua, NH 03063 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

good and valuable consideration the receipt of which from assignee is hereby acknowledged. We, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors

and assigns, our entire right, title, and interest in and to this invention, provisional application Serial No.

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other

(if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United

States which may be granted thereon, and all reissues thereof, and application (if any), as well as all rights to claim priority on the basis may hereafter be filed for this invention in any foreign country and any foreign country, and all extensions, renewals, and reissues thereof Patents and Trademarks of the United States and any official applications as described above, to issue all Letters Patent for accordance with the terms of this Assignment;	of this application, and all applications for Letters Patent d all Letters Patent which may be granted on this inventreof; and I/We hereby authorize and request the Commiss I of any foreign country whose duty it is to issue pater	which tion in sioner rts on
AND, WE HEREBY covenant that We have the full right to one executed and will not execute any agreement in conflict with		have
AND, WE HEREBY further covenant and agree that We wits successors and assigns, any facts known to us respecting this papers when called upon to do so, execute and deliver any and all to this invention in said assignee, its successors or assigns, executall rightful oaths and generally do everything possible to aid assign patent protection for this invention in the United States and any for the execution of such papers shall be borne by the assignee, in	s invention, and testify in any legal proceeding, sign all lappers that may be necessary or desirable to perfect the ute all divisional, continuation, and reissue applications, nee, its successors and assigns, to obtain and enforce poreign country, it being understood that any expense income.	lawful ne title make proper
IN TESTIMONY WHEREOF, We have hereunto set our t	hands.	
State of Watury) ss.	Todd A. Hall 1111 Crestview Way, Goshen, KY 40026 July 12-16 Signature	99 Pate
Substituted and sworn to before me this 16th day of December Ma M. Havela Notary Public My community expression		
County of) ss. State of)	Greg R. Furnish 2614 Top Hill Road, Louisville, KY 40206	
	Signature D	ate
Subscribed and sworn to before me this day of, Notary Public	, 19	

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. • WASHINGTON, D.C.

SOLE/JOINT INVENTION (Worldwide Rights)

County of	55	Simon M. Furnish	
State of)	SS.	289 Haldeman Avenue, Louisville, KY 4020	O
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of) State of)	SS.	Scott J. Wolf 2501 Irving Avenue South, Minneapolis, MN	1 5540 5
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of) State of)	SS.	Peter J. Wilk 185 West End Avenue, New York, NY 1002	3
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of) State of)	ss.	David Y. Phelps 904 Shadylane, Louisville, KY 40223	
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of) State of)	SS.	Vincent Pompili 84 Bishop Drive, Chagrin Falls, OH 44022	
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	

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_		to convey the interest assigned by this Assignmen	t and We have
not executed and will not execute any agree			i, aria i volici
its successors and assigns, any facts know papers when called upon to do so, execute a to this invention in said assignee, its succes all rightful oaths and generally do everything patent protection for this invention in the Un to the execution of such papers shall be bore.	on to us respecting that deliver any and sors or assigns, exemples of aid assigned States and any the by the assigned		r, sign all lawful perfect the title lications, make enforce proper
IN TESTIMONY WHEREOF, We ha	ave hereunto set ou	ır hands.	
County of) State of)	SS.	Todd A. Hall 1111 Crestview Way, Goshen, KY 4002	26
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	
State of lintuity	SS.	Greg R. Furnish 2614 Top Hill Road, Louisville, KY 4020 Signature	6
	utto Davis	5.9	Date
Systemed and sworn to before me this 1	T' day of Ut Com	. 19 <u>*7</u>	

My commission expires June 27, 2001 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. · WASHING ON, D.C.

> PATENT REEL: 010538 FRAME: 0910

SOLE/JOINT INVENTION (Worldwide Rights)

County of	00	Simon M. Furnish	
State of)	SS.	289 Haldeman Avenue, Louisville, KY 40206	•
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of) State of)	SS.	Scott J. Wolf 2501 Irving Avenue South, Minneapolis, MN	55405
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of) State of)	SS.	Peter J. Wilk 185 West End Avenue, New York, NY 10023	i,
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of) State of)	ss.	David Y. Phelps 904 Shadylane, Louisville, KY 40223	
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of) State of)	ss.	Vincent Pompili 84 Bishop Drive, Chagrin Falls, OH 44022	
		Signature	Date
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NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, We, as assignors, have sold assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, provisional application Serial No, filed (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United
States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;
AND WE HEREBY accompany that We have the full right to convey the interest applied by this Assignment, and We have

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful paths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

	•			
County of)	SS.	Todd A. Hall 1111 Crestview Way, Goshen, KY 40026	
State of)		Signature	Date
Subscr bed and sworn to	before me this . Notary Public	_ day of	, 19	
County of))	SS.	Greg R. Furnish 2614 Top Hill Road, Louisville, KY 40206	
			Signature	Date
Subscribed and sworn to	before me this Notary Public	_ day of	, 19	

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. • WASHINGTON, D.C.

County of Jeffellon	SS.	Simon M. Furnish 289 Haldeman Avenue, Louisville, KY 40206	
State of Controlly		Signature 12/15	<u>/ 9</u> 9 Date
Subscribed and sworn to before me this J	15th day of Decem My com	ber, 1999 mission expires Jure 27, 2001.	
County of)	SS.	Scott J. Wolf 2501 Irving Avenue South, Minneapolis, MN 554	05
State of	33.	2001 ITVING AVEILUE GOULT, WITH 1504	00
		Signature [3	ate
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of)		Peter J. Wilk	
State of)	SS.	185 West End Avenue, New York, NY 10023	
		Signature [1	ate
Subscribed and sworn to before me this, Notary Public	day of	, 19	
County of)	SS.	David Y. Phelps 904 Shadylane, Louisville, KY 40223	
State of)		Signature D	ate
Subscribed and sworn to before me this, Notary Public	day of	•	
County of)	SS.	Vincent Pompili 84 Bishop Drive, Chagrin Falls, OH 44022	
State of)		Signature D	 ate
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good and valuable consideration the rassigned, transferred, and set over, arand assigns, our entire right, title, and (if any), and this ap States which may be granted thereon, a application (if any), as well as all rights to may hereafter be filed for this invention any foreign country, and all extensions, of Patents and Trademarks of the Un	receipt of which from assign, to do hereby sell, assign, to dinterest in and to this in plication, and all divisions, and all reissues thereof, and o claim priority on the basism in any foreign country ar renewals, and reissues the ited States and any officials sue all Letters Patent for	ration of the sum of One Dollar (\$1.00) in hand paignee is hereby acknowledged, We, as assignors transfer, and set over unto the assignee, its lawful evention, provisional application Serial No. and continuations thereof, and all Letters Patent of all rights to claim priority on the basis of the above of this application, and all applications for Letters and all Letters Patent which may be granted on this ereof; and I/We hereby authorize and request the Collair of any foreign country whose duty it is to issue or this invention to assignee, its successors and	have sold, successors, filed of the United provisional patent which invention in ommissioner patents on
AND: WE HEREBY covenant t	hat We have the full right to	convey the interest assigned by this Assignment, a	ind We have
not executed and will not execute any			
its successors and assigns, any facts papers when called upon to do so, exe to this invention in said assignee, its sual rightful caths and generally do every	known to us respecting the cute and deliver any and a accessors or assigns, executhing possible to aid assigne United States and any fee borne by the assignee,	ū	ign all lawful rfect the title ations, make force proper
County of	90	Todd A. Hall	
State of)	SS.	1111 Crestview Way, Goshen, KY 40026	
		Signature	Date
Subscribed and sworn to before me t	his day of ublic	, 19	
County of) State of)	SS.	Greg R. Furnish 2614 Top Hill Road, Louisville, KY 40206	
/		Signatura	Date
		Signature	Date

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. • WASHINGTON, D.C.

Subscribed and sworn to before me this _____ day of ______, 19__.

______Notary Public

County of <u>Hernaph</u> State of <u>Minnesota</u>	PENNY L. KING NOTARY PUBLIC - MINNESOTA HENNEPIN COUNTY My Comm. Expires Jan. 31, 2000	Simon M. Furnish 289 Haldeman Avenue, Louisville, KY 40206	3
	•	Signature	Date
Subscribed and sworn to before n		19 <u>99</u>	
County of Vennean State of Minesota	PENNY L. KING NOTARY PUBLIC - MINNESO HENNEPIN COUNT My Comm. Expires Jan. 31, 2		55405 30/95
		Signature	Date
Subscribed and sworn to before n		19 <u>0</u> 9	
County of)		Peter J. Wilk	
) State of)	SS.	185 West End Avenue, New York, NY 10023	3
		Signature	Date
Subscribed and sworn to before m, Notary		9	
County of)	SS.	David Y. Phelps 904 Shadylane, Louisville, KY 40223	
State of)			
		Signature	Date
Subscribed and sworn to before m		9	
County of)	SS.	Vincent Pompili 84 Bishop Drive, Chagrin Falls, OH 44022	
State of)	33 .	OH DISHOP DING, GRASHII FAIIS, GIT 44022	
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IN TESTIMONY WHEREOF, We have hereunto set our hands.

County of)	SS.	Todd A. Hall 1111 Crestview Way, Goshen, KY 40026	
State of		.	Trir Greatien Play, Goothern, IXI 10020	
			Signature	Date
Subscribed and sworn to bef	ore me this day lotary Public	of	, 19	
County of)	SS.	Greg R. Furnish 2614 Top Hill Road, Louisville, KY 40206	
State of)			
			Signature	Date
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FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. • WASHINGTON, D.C.

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Subscribed and sworn to before me this, Notary Public		, 19	
County of)	SS.	Scott J. Wolf 2501 Irving Avenue South, Minneapolis, MN 5	5405
State of)			
	nor. Nor	Signature GA	Date
Subscribed and sworn to before me this, Notary Public	Moran Dwake	_, 19_1*	
County of NIN (2) Notary	GREGG DOUGHERTY Public, State of New Yor No. 01005014964 fied in New York County slon Expires July 12100		
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of	_, 19	
County of) State of)	ss.	David Y. Phelps 904 Shadylane, Louisville, KY 40223	
otate or		Signature	Date
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AND, WE HEREBY covenant to not executed and will not execute any		convey the interest assigned by this Assignment, and this Assignment;	nd We have
its successors and assigns, any facts papers when called upon to do so, exe to this invention in said assignee, its suall rightful paths and generally do even	known to us respecting the cute and deliver any and a uccessors or assigns, exempthing possible to aid assigne United States and any be borne by the assignee,		gn all lawfu fect the title tions, make orce proper
County of		Todd A. Hall	
State of	SS ,	1111 Crestview Way, Goshen, KY 40026	
		Signature	Date
Subscribed and sworn to before me	this day of ublic	, 19	
County of		Greg R. Furnish	
State of)	\$8 .	2614 Top Hill Road, Louisville, KY 40206	
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State of			
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County of	\$\$.	Peter J. Wilk 185 West End Avenue, New York, NY	10023
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County of Jefferson State of Wattrung	SS	David Y. Phelps 904 Shadylane, Louisville, KY 40223	
State of per 10 close		Signature //2000	Date
Subscribed and sworn to before me this Houseld, Notary Pub	is 20th day of Armu olic my commo	orm expres June 27, 2	tr'I
County of	SS.	Vincent Pompili 84 Bishop Drive, Chagrin Falls, OH 44	
State of	33.	2. 2.0.00p 23, 010gm., 000, 011	· V==
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AND, WE HERE not executed and will no			to convey the interest assigned by this Assignment, and this Assignment;	d We have
its successors and assig papers when called upor to this invention in said a all rightful oaths and gen patent protection for this to the execution of such	ns, any facts known to to do so, execute and ssignee, its successors erally do everything po invention in the United	o us respecting to deliver any and so or assigns, exemples to aid assigns and any by the assignee	e will, without further consideration, communicate with this invention, and testify in any legal proceeding, sig all papers that may be necessary or desirable to perfectute all divisional, continuation, and reissue applicating the successors and assigns, to obtain and enfort foreign country, it being understood that any expense, its successors and assigns.	n all lawful ect the title ons, make rce proper
County of			Todd A. Hall	
State of)	SS.	1111 Crestview Way, Goshen, KY 40026	
			Signature	Date
Subscribed and sworn	to before me this , Notary Public	day of	, 19	
County of)		Greg R. Furnish	
State of)	SS.	2614 Top Hill Road, Louisville, KY 40206	
			Signature	Date
Subscribed and sworn	to before me this , Notary Public	day of	, 19	

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. • WASHINGTON, D.C.

County of)		Simon M. Furnish	
State of)	SS.	289 Haldeman Avenue, Louisville, KY 40	206
		Signature	Date
Subscribed and sworn to before me this, Notary Publi		, 19	
County of) State of)	ss.	Scott J. Wolf 2501 Irving Avenue South, Minneapolis, I	MN 55405
		Signature	Date
Subscribed and sworn to before me this, Notary Publi		, 19	
County of) State of)	SS.	Peter J. Wilk 185 West End Avenue, New York, NY 10	023
Subscribed and sworn to before me this, Notary Publi		Signature , 19	Date
County of) State of)	SS.	David Y. Phelps 904 Shadylane, Louisville, KY 40223	
		Signature	Date
Subscribed and sworn to before me this, Notary Public	day of c	, 19	
County of (usphoga) State of (kes)	SS.	Vincent Pompili 84 Bishop Drive, Chagrin Falls, OH 4402 Signature	22 \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
Subscribed and sworn to before me this Notary Public Williamsh	c day of while	ry, Rocc	

DOROTHY J. DWOSH
Notary Public, State of Ohio
Recorded in Cuyahoga County
My Comm. Expires Apr. 8, 2001

RECORDED: 01/24/2000