

1/13/00

02-15-2000



T

Docket No: S0023/7019

To the Honorable Commissioner of

101267245

ied original documents or copy thereof.

1. Name of conveying party(ies):
Stuart MacCormack; David M. Giltner; Vincent
G. Dominic; Donald R. Scifres; Bardia
Pezeshki; Edward C. Vail; Mehrdad Ziari and
Robert G. Waarts

Additional Name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: SDL, Inc.

Internal Address:

Street Address: 80 Rose Orchard Way

City: San Jose State: CA Zip: 95134-1365

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance: Assignment

Document No.

Execution Date(s): December 15, 1999; January
10, 2000; January 10, 2000; January 11, 2000;
January 12, 2000; January 7, 2000; January 11,
2000 and December 7, 1999

4. Application Number(s) or Patent Number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):
09/430,394

B. Patent No.(s):

Additional Numbers Attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Philip L. Conrad, Esq.
Kudirka & Jobse, LLP
Two Center Plaza
Boston, MA 02108

Telephone: 617/367-4600

Customer Number: 021127

6. Total number of applications and patents involved: 1

7. Total Fee (37 C.F.R. §3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit Account

8. Deposit account number:

19-1015

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip L. Conrad, Esq. Reg. No. 34,567

Name of Person Signing

Philip L. Conrad

Signature

1/13/2000

Date

Total number of pages including cover sheet, attachments and document: 7

02/15/2000 TTON11 00000073 191015 09430394

01 FC1581 40.00 CH

PATENT
REEL: 010543 FRAME: 0762

ASSIGNMENT OF PATENT APPLICATION

Docket No. S0023/7019

Applicant: Stuart MacCormack, David M. Giltner, Vincent G. Dominic, Donald R. Scifres, Bardia Pezeshki, Edward C. Vail, Mehrdad Ziari and Robert G. Waarts
Serial No: 09/430,394
Filed: October 29, 1999
For: MULTIPLE WAVELENGTH OPTICAL SOURCES
Examiner: Not Yet Assigned
Art Unit: 2872

Whereas we, the undersigned, have made certain inventions or discoveries (or both) set forth in the above-identified application for Letters Patent of the United States of America, and

Whereas SDL, Inc., a Delaware corporation having a place of business at 80 Rose Orchard Way, San Jose, CA 95134-1365, which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

Now, therefore, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which is hereby acknowledged, we do hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, Convention and non-Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;
2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;
3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;
4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or

to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by our heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which we have executed this Assignment of Patent Application on the date indicated next to our names.

Stuart MacCormack Date: 12/15/99
Stuart MacCormack
Inventor

State of California } ss
County of Santa Clara

On this 15TH day of December, 1999, before me appeared **Stuart MacCormack**, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

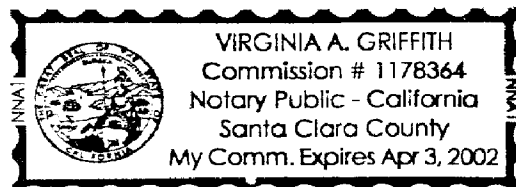
Virginia A. Griffith
Notary Public

[seal]

☒ Known to me personally

☐ Identification produced: _____

☒ Additional assignor name sheets attached.



ASSIGNMENT – SUPPLEMENTAL ASSIGNOR SHEET

Second Assignor

David M. Giltner Date: 1/10/00
David M. Giltner
Inventor

State of California }
County of Santa Clara } ss

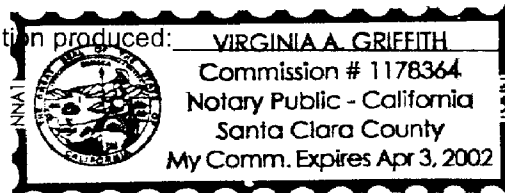
On this 10TH day of January, 192000, before me appeared **David M. Giltner**, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Virginia A. Griffith
Notary Public

[seal]

☒ Known to me personally

☐ Identification produced:



Third Assignor

Vincent G. Dominic Date: 1/10/00
Vincent G. Dominic
Inventor

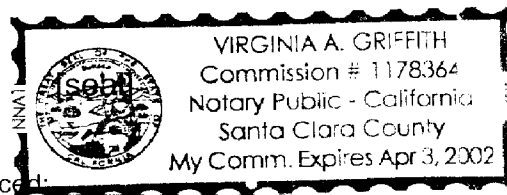
State of California }
County of Santa Clara } ss

On this 10TH day of January, 192000, before me appeared **Vincent G. Dominic**, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Virginia A. Griffith
Notary Public

☒ Known to me personally

☐ Identification produced:



Fourth Assignor

Donald R Scifres

Date: 1/11/00

Donald R. Scifres
Inventor

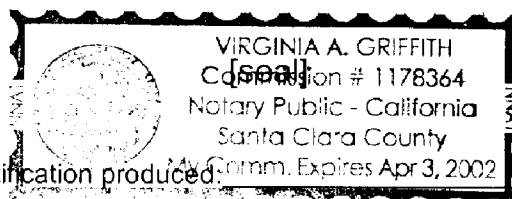
State of California }
County of Santa Clara } ss

On this 11TH day of JANUARY, 2000, before me appeared **Donald R. Scifres**, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Virginia A. Griffith
Notary Public

☒ Known to me personally

☐ Identification produced:



Fifth Assignor

Bardia Pezeshki

Date: 1/12/2000

Bardia Pezeshki
Inventor

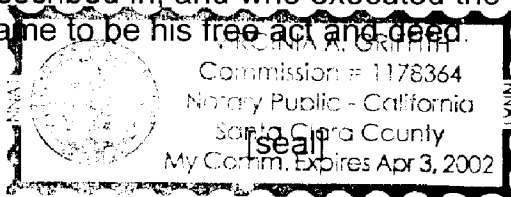
State of California }
County of Santa Clara } ss

On this 12TH day of JANUARY, 2000, before me appeared **Bardia Pezeshki**, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Virginia A. Griffith
Notary Public

☒ Known to me personally

☐ Identification produced:



Sixth Assignor

Edward C. Vail

Date: 1/7/00

Edward C. Vail
Inventor

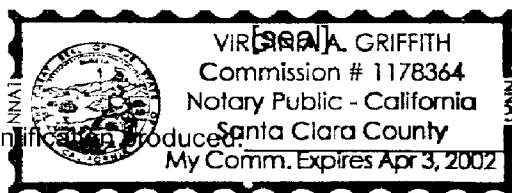
State of California }
County of Santa Clara } SS

On this 7TH day of JANUARY, 2000, before me appeared **Edward C. Vail**, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Virginia A. Griffith
Notary Public

☒ Known to me personally

☐ Identification produced: _____



Seventh Assignor

Mehrdad Ziari

Date: 1/11/00

Mehrdad Ziari
Inventor

State of California }
County of Santa Clara } SS

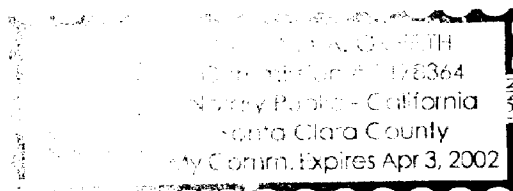
On this 11TH day of JANUARY, 2000, before me appeared **Mehrdad Ziari**, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Virginia A. Griffith
Notary Public

[seal]

☒ Known to me personally

☐ Identification produced: _____



Eighth Assignor

Robert G. Waarts

Date:

12/7/99

Robert G. Waarts
Inventor

State of

California

SS

County of

Santa Clara

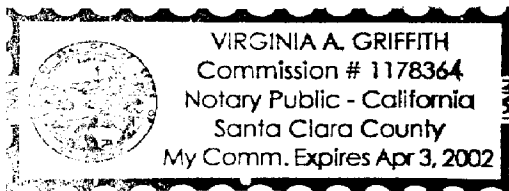
On this 27th day of December, 19 99, before me appeared **Robert G. Waarts**, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Virginia A. Griffith
Notary Public

[seal]

☒ Known to me personally

☐ Identification produced: _____



TRANSMITTAL LETTER

Docket No. S0023/7019

Applicant: Stuart MacCormack, David M. Giltner, Vincent G. Dominic, Donald R. Scifres, Bardia Pezeshki, Edward C. Vail, Mehrdad Ziari and Robert G. Waarts

Serial No: 09/430,394

Filed: October 29, 1999

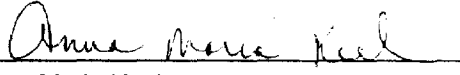
For: MULTIPLE WAVELENGTH OPTICAL SOURCES

Examiner: Not Yet Assigned

Art Unit: 2872

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on January 13, 2000


Anna Maria Keel

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: Box Assignment

Enclosures

- | | |
|------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|
| <input type="checkbox"/> Affidavit under 37 C.F.R. 1.131 | <input type="checkbox"/> Request for Certified Copies |
| <input checked="" type="checkbox"/> Assignment Papers and Assignment
Recordation Form Cover Sheet | <input type="checkbox"/> Request for Corrected Filing Receipt |
| <input type="checkbox"/> Declaration/Power of Attorney | <input type="checkbox"/> Request for Refund |
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Response to Missing Parts |
| <input type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input type="checkbox"/> PTO-1449 Form(s) | <input type="checkbox"/> Other: |



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Customer Number 021127
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Date: 1/13/2000