

02-16-2000

FORM PTO 1595  
Rev. 6-93U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Atty. Docket No.: 05725.0244-00000

101268787

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

ATTN. BOX ASSIGNMENTS

## 1. Name of conveying party(ies):

David W. CANNELL, Hiten MATHUR, Nghi NGUYEN, Cynthia  
ESPINO, Mick SWANBOROUGH, Mohamed KANJI, Carol ORR,  
and Lila PATELAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: L'Oréal S.A.

Internal Address: \_\_\_\_\_

Street Address: 14, rue Royale75008 Paris, France

City: \_\_\_\_\_

State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other \_\_\_\_\_

Execution Date: December 20, 1999; December 15, 1999;  
December 14, 1999; and December 16, 1999

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/445,343

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas L. IrvingInternal Address: FINNEGAN, HENDERSON,FARABOW, GARRETT & DUNNER, L.L.P.Street Address: 1300 I Street, NWCity: Washington, D.C.State: \_\_\_\_\_ ZIP: 200056. Total number of applications and patents involved 17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☐ Deposit Account8. Deposit Account Number: 06-0916

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles E. Van Horn - Reg. No. 40,266

Name of Person Signing

Signature

January 11, 2000

Date

01/12/2000 FIVE 0000124 09445343

02 FC:SM

40.00

Total number of pages including cover sheet, attachments and document: 7PATENT  
REEL: 010544 FRAME: 0632

JOINT INVENTION  
(U.S. Rights Only)

**ASSIGNMENT**

WHEREAS, We, the below named inventors, (hereinafter referred to as Assignors, have made an invention entitled:

**AQUEOUS CARRIER SYSTEMS FOR WATER-INSOLUBLE MATERIALS**

for which we executed an application for United States Letters Patent concurrently herewith or on \_\_\_\_\_ or filed an application for United States Letters Patent on December 8, 1999 (Serial No. \_\_\_\_\_); and

WHEREAS, L'OREAL S.A., a corporation of France whose post office address is 14, rue Royale, F-75008 Paris, France (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, we, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

**FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.**

**PATENT**  
**REEL: 010544 FRAME: 0633**


AND, WE HEREBY authorize and request the attorneys we have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No. \_\_\_\_\_, filed December 8, 1999) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, we have hereunto set our hands.

**First Assignor**

David W. CANNELL  
Apartment 12F  
220 East 73<sup>rd</sup> Street  
New York, New York 10021

Citizenship: United States of America

Signature:   
Date: Dec 20, 1999

**Second Assignor**

Hiten MATHUR  
23B Woodbridge Terrace  
Woodbridge, New Jersey 07095

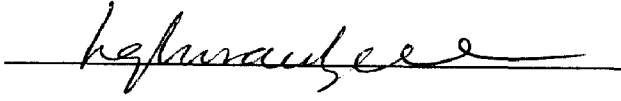
Citizenship: India

Signature: Hitencha Mathur  
Date: 12/15/99

**Third Assignor**

Nghi NGUYEN  
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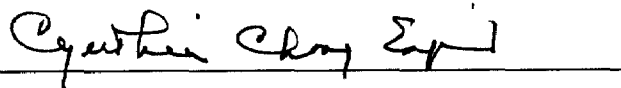
Citizenship: United States of America

Signature:   
Date: 12/15/99

**Fourth Assignor**

Cynthia ESPINO  
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Princeton, New Jersey 07033

Citizenship: United States of America

Signature:   
Date: 12/15/99

**Fifth Assignor**

Mick SWANBOROUGH  
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Citizenship: United States of America

Signature: MS Swanborough

Date: 12/14/99

**Sixth Assignor**

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Signature: Mohamed Kanji

Date: 12/14/99

**Seventh Assignor**

Carl ORR  
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Citizenship: United States of America

Signature: Carl Orr

Date: 12/14/99

**Eighth Assignor**

Lila PATEL  
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Citizenship: United States of America

Signature: L. Patel.

Date: 12/16/99

PTO/PCT Rec'd 11 JAN 2000

09/445343  
A/D

PATENT

Attorney Docket No. 05725.0244-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage of International )  
Application No. PCT/US98/10617 of: )  
David CANNELL et al. )  
Serial No.: 09/445,343 ) Group Art Unit: Unassigned  
PCT Filed: June 9, 1998 ) Examiner: Unassigned  
Filed: December 8, 1999 )  
For: AQUEOUS CARRIER SYSTEMS FOR )  
WATER-INSOLUBLE MATERIALS )

SUBMISSION OF ASSIGNMENT OF APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Attention: Box Assignments**

Sir:

Applicants hereby submit the executed Assignment in the above-identified application from DAVID W. CANNELL, HITEN MATHUR, NGHI NGUYEN, CYNTHIA ESPINO, MICK SWANBOROUGH, MOHAMED KANJI, CARL ORR, and LILA PATEL, assigning their rights in the invention to L'ORÉAL. Please record the Assignment and return to the undersigned.

The required \$40.00 recordation fee is enclosed.

Please charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

*Charles E. Van Horn*

Charles E. Van Horn  
Reg. No. 40,266

Dated: January 11, 2000

LAW OFFICES  
FINNEGAN, HENDERSON,  
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WASHINGTON, D. C. 20005  
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RECORDED: 01/11/2000

PATENT  
REEL: 010544 FRAME: 0638