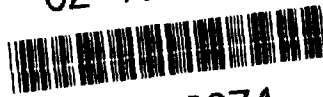


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3 SHEET



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DZ

To the Assistant Commissioner

documents or copy thereof.

1. Name of conveying party(ies):

ANTONIO HINES, THEODORE J. GAUSMAN,
WILLIAM H. GLIME, STEPHEN H. HILL
and BRUCE S. RIGSBY

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: December 1, 1999.

2. Name and address of receiving party(ies):

Swagelock Company
29500 Solon Road
Solon, OH 44139

An Ohio Corporation

Street Address:
29500 Solon Road
Solon, OH 44139

Additional name(s) & address(es) attached? ☐ yes ☒ no



4. Application number(s) or patent number(s):

Title: SHAPE MEMORY ALLOY ACTUATED FLUID CONTROL VALVE

A. Patent Application Not(s):

09/431, 694

B. Patent Not(s):

Additional numbers attached? ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

William E. Zitelli (Reg. No. 28,551)
Calfee, Halter & Griswold LLP
1400 McDonald Investment Center
800 Superior Avenue
Cleveland, Ohio 44114-2688

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):

\$40.00

☒ Enclosed
☒ Authorized to be charged to deposit account
☐ Total Fee Due
☒ Any deficiencies in the enclosed fees

8. Deposit account number:

03-0172

(Attach duplicate copy of this page if paying by deposit account)

CERTIFICATE OF MAILING

I hereby certify that this Recordation Form Cover Sheet is being deposited with the U.S. Postal Service, with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 18th day of January, 2000.

Signed:

Caroline A. Schweyer

02/15/2000 JSHABAZZ 00000064 09431694

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40.00 DP

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William E. Zitelli

Name of Person Signing

Reg. No.: 28,551

Tel. No.: 216 622-8229

Signature

1-17-2000

Date

Total number of pages comprising transmittal: 1

ASSIGNMENT

For good and valuable consideration received of the hereinafter named assignee, receipt of which is hereby acknowledged, we, the undersigned, Antonio Hines, 12062 Stone Crossing Circle, Tampa, Florida 33635-6227, Theodore J. Gausman, 10405 Barchester Drive, Concord, Ohio 44077, William H. Glime, 1296 Bowden Avenue, Painesville, Ohio 44077, Steven H. Hill, 860 Malaspina Crescent, Nanaimo, British Columbia, Canada V9S 2Z7, and Bruce S. Rigsby, 6529 Kranz Corner, Charlestown, Indiana 47111, hereby assign all right, title and interest to Swagelok Company, an Ohio corporation, having a place of business at 29500 Solon Road, Solon, Ohio 44139, its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries, in and to the inventions in

SHAPE MEMORY ALLOY ACTUATED FLUID CONTROL VALVE

set forth in the application for United States Letters Patent executed on even date herewith (Attorney Docket 22188/05830 and Express Mail Label No. EL085318485US, Serial No. 09/431,694 filed on November 1, 1999,) any and all other applications for Letters Patent on said inventions in the United States and in all countries foreign to the United States, including but not limited to all divisional, renewal, substitute, continuation, PCT and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patents and reissues and extension of Letters Patent granted for said inventions or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said applications and said Letters Patent; said assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said assignee or otherwise as said assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents of the United States of America and the empowered officials of all other governments being hereby authorized to issue or transfer all said Letters Patent to said assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right heretofore given, and that the undersigned will do all acts reasonably serving to assure that

We hereby instruct our attorneys to enter the corresponding serial number of the referenced patent application prior to recording this assignment, to have the same effect as if entered prior to our signature.


Antonio Hines


State of ~~Florida~~ Ohio)
 9513)
 County of LAKE)

SS:

Date: 12/1/99

Beverly Sue Partington
Notary Public

2


Theodore J. Gausman

On this 1st day of December, 1999, personally appeared before me Theodore J. Gausman to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.

Sherry Sue Pettibone
Notary Public

SUE BARTLEBAUGH
Notary Public, State of Ohio
My Commission Expires April 27, 2004
(Recorded in Lake County)

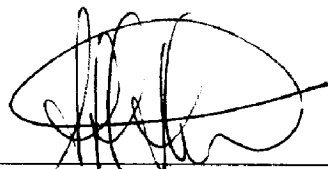

William H. Glime

On this 1st day of December, 1999, personally appeared before me William H. Glime to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.

Beverly Ann Bullock
Notary Public

SUE BARTLEBAUGH
Notary Public, State of Ohio
My Commission Expires April 27, 2004
(Recorded in Lake County)

Date: Dec. 9, 1999



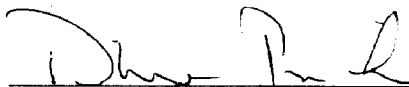
Steven H. Hill

State of BRENTWOOD BAY)
County of PROVINCE OF BC)

SS:

On this 9th day of December, 1999, personally appeared before me Steven H. Hill to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.

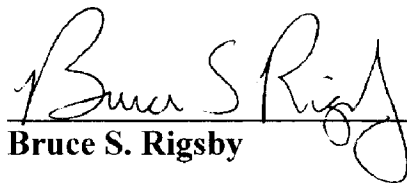
Date: Dec 9, 1999



Notary Public

DARLENE S. PINCH
NOTARY PUBLIC
7159B WEST SAANICH ROAD
BRENTWOOD BAY, BC, V8M 1P1
PH 652-4321 FAX 652-0321
Permanent Commission

Date: 12/01/99



Bruce S. Rigsby

State of ~~Indiana~~ Ohio)
County of Lake)

SS:

On this 1st day of December, 1999, personally appeared before me Bruce S. Rigsby to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.

Date: 12/1/99



Notary Public

SUE BARTLEBAUGH
Notary Public, State of Ohio
My Commission Expires April 27, 2004
(Recorded in Lake County)