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**U.S. Department of Commerce** Patent and Trademark Office PATENT

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).					
Submission Type					
X New	X Assignment Security Agreement				
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Correction of PTO Error Merger Other					
Reel # U.S. Government  Corrective Document (For Use ONLY by U.S. Government Agencies)					
	ne # Departmental File Secret File				
Conveying Party(ies)  Mark if additional names of conveying parties attached Execution Date					
Name (line 1) Caterpilla	r Commercial SARL 1/6/2000				
Name (line 2)					
Second Party	Execution Date  Month Day Year				
Name (line 1)					
Name (line 2)					
Receiving Party  Mark if additional names of receiving parties attached					
Name (line 1) Caterpilla	Caterpillar S.A.R.L. If document to be recorded is an assignment and the				
	receiving party is not				
Name (line 2)	States, an appointment				
Address (line 1) 76 Route	of a domestic representative is attached.				
76, Route	76, Route de Frontenex (Designation must be a separate document from				
Address (line 2) c/o Caterp	oillar Overseas S.A. Assignment)				
Address sizes 5	Switzerland 1211				
Address (line 3) Geneva	Switzerland   1211				
Domestic Representative Name and Address  Enter for the first Receiving Party only.					
Name Caterpilla	r Inc.				
Address (line 1) Intellecti	Intellectual Property Department				
	Intellectual Troperty Department				
Address (line 2) 100 NE Ada	100 NE Adams Street				
Address (line 3) Peoria, Il					
Address (line 3) Peoria, II  Address (line 4)					
100114, 1					

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

> **PATENT REEL: 010546 FRAME: 0199**

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FORM PTO- Expires 06/30/99 OMB 0651-0027	-1619B	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Corresponde	ent Name and Address	Area Code and Telephone Numbe	r 314/353-0616
Name [	Diana L. Charlton		
Address (line 1)	Intellectual Property	Department	
Address (line 2)	Caterpillar Inc.		
Address (line 3)	100 NE Adams Street		
Address (line 4)	Peoria, Illinois 616	92-6490	
Pages	Enter the total number of paincluding any attachments	ages of the attached conveyance doc	cument # 4
	Number(s) or Patent Nu	<u> </u>	k if additional numbers attached
		Patent Number (DO NOT ENTER BOTH numb	
	ent Application Number(s)		tent Number(s)
09/092,103	09/092,804 09/	123,679	
09/092,803	09/092,767 09/	092,281	
08/961,926	09/092,243 09/	072,151	
If this document i	is being filed together with a <u>new</u> Palest named executing inventor.	tent Application, enter the date the patent appli	ication was Month Day Year
Patent Coop	peration Treaty (PCT)	PCT PCT	PCT
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	<u>r if</u> a U.S. Application Number not been assigned.	er PCT PCT	PCT
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Statement a	and Signature		
attach indica	ned copy is a true copy of the nted herein.	belief, the foregoing information is tree original document. Charges to dep	osit account are authorized, as
Diana L. Name	Charlton e of Person Signing	Signature	Date
	<b>5 5</b>	-	

PATENT REEL: 010546 FRAME: 0200

## **ASSIGNMENT**

WHEREAS, CATERPILLAR COMMERCIAL SARL., a corporation organized and existing by virtue of the laws of Switzerland and having a place of business at 76, Route de Frontenex, c/o Caterpillar Overseas S.A., Switzerland, hereinafter referred to as "ASSIGNOR", is by assignments, the sole owner of the entire right, title and interest in the United States Patent Applications and the inventive subject matter therein contained, hereinafter collectively referred to as "Patent Rights", as listed below:

Patent Application Serial No. 09/092,103 filed 6/5/98 for COOLING SYSTEM FOR A SKID S TEER LOADER

Patent Application Serial No. 09/092,803 filed 6/5/98 for METHOD OF ASSEMBLING A WORK MACHINE

Patent Application Serial No. 08/961,926 filed 10/31/97 for BUMPER CORNER FOR A WHEEL LOADER ENGINE END FRAME

Patent Application Serial No. 09/092,804 filed 6/5/98 for ENGINE MOUNTING AND STABILIZING ARRANGEMENT

Patent Application Serial No. 09/092,767 filed 6/5/98 for LOWER FRAME ASSEMBLY

Patent Application Serial No. 09/092,243 filed 6/5/98 for UPPER FRAME ASSEMBLY

Patent Application Serial No. 09/123,679 filed 7/28/98 for QUICK COUPLER ASSEMBLY

Patent Application Serial No. 09/092,281 filed 6/5/98 for MAIN FRAME ASSEMBLY

Patent Application Serial No. 09/072,151 filed 5/4/98 for FRAME ASSEMBLY FOR A CONSTRUCTION MACHINE AND AN ASSOCIATED METHOD OF MANUFACTURING THE FRAME ASSEMBLY

WHEREAS, CATERPILLAR S.A.R.L., a corporation duly organized and existing under the laws of Switzerland, domiciled at 76, Route de Frontenex, c/o Caterpillar Overseas S.A., Switzerland, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in, to and under said PATENT RIGHTS.

PATENT REEL: 010546 FRAME: 0201 NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid by said ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, said ASSIGNOR does hereby assign, sell, transfer and convey to ASSIGNEE, its entire right, title and interest in and to said PATENT RIGHTS as set forth above and as defined hereinabove, to be held and enjoyed by the ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this Assignment and sale not been made; together with all claims for profits and damages by reason of past infringement of said PATENT RIGHTS by any party or parties, with the right to sue for and collect the same for its own use and behoof and for the use and behoof of its successors, assigns or other legal representatives.

IN WITNESS WHEREOF, ASSIGNOR has caused this Assignment to be signed by its duly authorized officer and its corporate seal to be hereto affixed and attested this 6th day of January \_\_\_\_\_\_, 2000 .

CATERPILLAR COMMERICAL SARL (Assignor)

Ben E. Darrow

**RECORDED: 01/19/2000** 

Its: Managing Director

REEL: 010546 FRAME: 0202