

Atty Docket No.: 0524-3115-0 PC

FORM PTO-159
1-31-92

02-18-2000



101270303



01-27-2000

U.S. Patent & TMO/TM Mail Rpt Dt. #31

F COMMERCIAL
Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Clemens GRUND; Helmut REICHEL; Andreas Johann
SCHMIDT; Frank WEURTHNER; Reudiger SENS and Stefan
BECKMANN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: JANUARY 12, 1997

2. Name and address of receiving party(ies):

Name: BASF Aktiengesellschaft

Address: 67056 Ludwigshafen
Federal Republic of Germany

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/308,220

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

OBLON, SPIVAK, McCLELLAND, MAIER &
NEUSTADT, P.C.
Attorneys at Law
Fourth Floor
1755 Jefferson Davis Highway
Arlington, Virginia 22202

6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 15-0030

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy
of the original document.*

Milton Serman

Name of Person Signing

Signature

Date

Registration Number: 27,499

Total number of pages including this cover sheet: 2

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

02/18/2000 DNGUYEN 00000090 09308220

01 FC:581

(40.00 DP)

PATENT
REEL: 010551 FRAME: 0831

Assignment of Application

O. Z. 0050/47507/47584

WHEREAS, I (WE)

Clemens Grund, Lassallestr.2, 68199 Mannheim
 Helmut Reichelt, Johann-Gottlieb-Fichte-Str.56, 67435 Neustadt
 Andreas Johann Schmidt, Franz-Liszt-Str.20c, 67251 Freinsheim
 Frank Würthner, Hans-Acker-Weg 18, 89081 Ulm
 Rüdiger Sens, Medicusstr.12, 68165 Mannheim
 Stefan Beckmann, Seebacher Str. 8, 67098 Bad Dürkheim
 Federal Republic of Germany
 citizen(s) of the Federal Republic of Germany

respectively, have invented certain new and useful improvements in

Indoleninemethine dyes based on trifluoromethylpyridones

for which an application for Letters Patent was executed on

(Application No. 09/308,220, filed January 12, 1997), and

WHEREAS, *BASF Aktiengesellschaft* (hereinafter referred to as "ASSIGNEE"), having a place of business at 67056 Ludwigshafen, Federal Republic of Germany, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

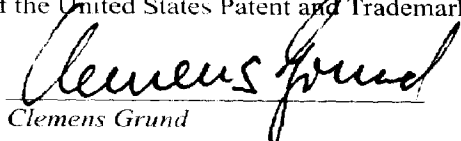
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

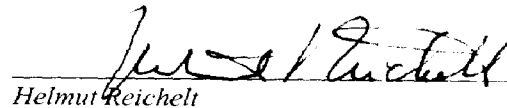
FURTHER, I (WE) agree that I (WE) will communicate to said ASSIGNEE, or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of **Oblon, Spivak, Mc.Clelland, Maier & Neustadt, P.C.** of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

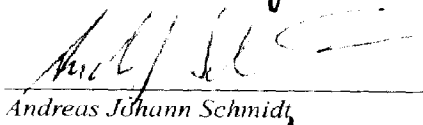
Date:
01/12/97


Clemens Grund

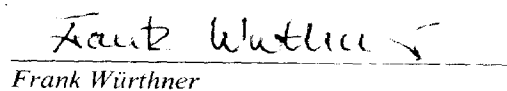
Date:
01/12/97


Helmut Reichelt

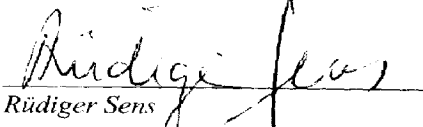
Date:
01/12/97


Andreas Johann Schmidt

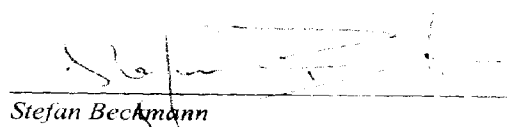
Date:
01/12/97


Frank Würthner

Date:
01/12/97


Rüdiger Sens

Date:
01/12/97


Stefan Beckmann

U.S. Assignment Form 03-0995

RECORDED: 01/27/2000

PATENT
REEL: 010551 FRAME: 0832