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ER SHEET

To: Honorable Commissioner of Patents and Trac	ademarks:
--	-----------

	То: Н	onorable Commissioner of Patents and Trademarks:
		Please record the attached original documents or copy thereof.
	1.	Name of conveying parties: a) Oliver Design, Inc.
	2.	Name and address of receiving parties: a) Name: Lam Research Corporation b) Address: 4650 Cushing Parkway, Fremont, CA 94538-6516
	3.	Nature of conveyance Assignment
	4.	Application Number or Patent Number: 08/757,698 filed December 3, 1996
		The title of the application is: APPARATUS FOR CLEANING ROBOT END EFFECTOR
	5.	Please send all correspondence concerning these documents to:
		Albert S. Penilla MARTINE PENILLA & KIM, LLP 710 Lakeway Drive, Suite 170 Sunnyvale, CA 94086 Tel. No. (408) 749-6900 Fax No.: (408) 749-6901
	6.	Total number of applications and patents involved: 1
	7.	Total fee (37 CFR 3.41): \$40.00
		Enclosed Authorized to be charged to Deposit Account No. 50-0805 (Order No. LAM2P131C)
	8.	To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
/	Date:	January 14, 2000 Albert S. Penilla, Esq.
/ 02/16/2000]	FTON11	00000190 08757698 Registration No. 39,487
01 FC:581		40.00 00

Attorney Docket No. LAM2P131C

(Revised 01/90)

Atty. Docket No.: M-4252 US

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, I, Oliver David Jones of 152 Vista Del Pajaro, Watsonville, CA 95076, hereby sell, assign and transfer to Oliver Design, Inc., a California corporation, having a place of business at #5 Victor Square, Scotts Valley, CA ____, its successors and assigns, the entire right, title and interest throughout the world in my invention in

"WAFER SPIN DRYER AND METHOD OF DRYING A WAFER"

for which I have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; I authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from me; I agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, its successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and I request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named Executed this 15 day of July assignee, its successors and assigns.

State of California)

)

County of Santa Clara)

on 15 July 1996 before me, Dorothy L. Levin personally appeared Oliver David Jones personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies) and that he his/her/their circums(s) personally authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

> Dorothy L. Levin Comm. #974638 OTARY PUBLIC CALIFORNIA SANTA CLARA COUNTY Comm, Expires Sept. 30, 1996 🛫

WITNESS my hand and official seal.

Appendix B

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (the "Assignment") is entered into as of November 12, 1999, by Oliver Design, Inc., a California corporation having its principal place of business located at 100 Technology Circle, Scotts Valley, California ("Seller"), for the benefit of Lam Research Corporation, a Delaware corporation having its principal place of business located at 4650 Cushing Parkway, Fremont, California (the "Company").

RECITALS

WHEREAS, Seller and the Company are party to a certain Asset Purchase Agreement dated as of November 12, 1999, pursuant to which Seller has agreed to assign, among other things, certain intellectual property to the Company:

ASSIGNMENT

NOW, THEREFORE, in consideration of the foregoing and the mutual promises contained herein and other good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, the parties agree as follows:

- 1. Seller Patents. For purposes of this Assignment, "Seller Patents" shall mean each of the patents and patent applications listed on Schedule 1 hereto, and (i) any other patent rights owned or controlled by Seller in the patents and patent applications listed on Schedule 1, and the inventions covered thereby, and Foreign Counterparts (as defined below) thereof, and (ii) any additional patent rights Seller now owns, controls or has access to, or may own in the future which are now continuations, continuation prosecution applications ("CPAs"), continuations-in-part, divisionals or substitutes of the original applications upon which the aforementioned Schedule 1 patent and patent applications are based, and the inventions covered thereby, or Foreign Counterparts thereof, and upon any reexaminations, reissues, renewals or extensions thereof. "Foreign Counterparts" shall mean all foreign patent applications and issued foreign patents, utility models or invention registrations in any country throughout the world, which claim priority from, or share common priority with, an identified United States patent or patent application, or which claim and disclose substantially similar inventions that are the subject matter of such identified United States patent or patent applications.
- 2. <u>Assignment</u>. Seller hereby assigns, transfers and conveys to the Company all of Seller's rights, title and interest throughout the world in and to the Seller Patents, the underlying inventions described therein, and all rights, claims and privileges pertaining to the Seller Patents.
- 3. <u>Effective Date</u>. This Assignment shall be effective as of the date set forth above.

23135,00070:12167438.3

PATENT REEL: 010552 FRAME: 0188

Appendix c

IN WITNESS WHEREOF, the undersigned has executed this Assignment as of the date first written above.

OLIVER DESIGN, INC., a California corporation.

By: Name:

Title:

Acknowledged:

LAM RESEARCH CORPORATION, a

Delaware corporation

By: Name: Craig Garber

Title: Treasurer and VP Corporate Finance

Date: November 1999

State of California	
County of Santa Clara	······································
	preme, Davis Zimmerman
Oale	Name and Title of Officer to a Street Base Man Control
personally appeared	Oxsen Name(s) of Signer(s)
nersonally known to me - OR - Through	d to me on the basis of satisfactory evidence to be the person(e).
DORRS ZIMMERIMANN Commission # 1076132 Notary Public — Cattornia Santa Clara County My Comm. Expires Apr 28, 2000 Though the information below is not required by law	whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument? WITNESS my hand and official seal.
Description of Attached Document Title or Type of Document: Paten Document Date: 12	+ Assignment
Description of Attached Document Title or Type of Document: Palen Document Date: 12	nt Assignment 1999 Number of Pages:
Description of Attached Document Title or Type of Document: Paten Document Date: 12 Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s)	Assignment 1999 Number of Pages: 2 (s)
Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Hickarl Orser	nt Assignment 2 1999 Number of Pages: 2 s) Signer's Name:
Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Individual	nt Assignment 1999 Number of Pages: Signer's Name: Individual
Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Individual Corporate Officer	nt Assignment 1999 Number of Pages: Signer's Name: Individual Corporate Officer
Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Individual Corporate Officer Title(s):	nt Assignment I 1999 Number of Pages: Signer's Name: Individual Corporate Officer Title(s):
Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Individual Corporate Officer Title(s): Partner — Limited General	Number of Pages:
Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Individual Corporate Officer Title(s): Partner — Limited General Attorney-in-Fact	Number of Pages: Signer's Name:
Description of Attached Document Title or Type of Document: Paten Document Date: White 12 Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Hickarl Oxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx	Number of Pages: Signer's Name: Individual Corporate Officer Title(s): Partner — Limited General Attorney-in-Fact Trustee Corporation of
Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Individual Corporate Officer Title(s): Partner — Limited General Attorney-in-Fact Trustee Guardian or Conservator	Number of Pages: Signer's Name:
Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Individual Corporate Officer Title(s): Partner — Limited General Attorney-in-Fact Trustee Guardian or Conservator	Number of Pages: Signer's Name:
Description of Attached Document Title or Type of Document: Palen Document Date: White 12 Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Hickal Oxsac Individual Corporate Officer Title(s): Partner — Limited General Attorney-in-Fact Trustee Guardian or Conservator	Number of Pages: Signer's Name:
Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Individual Corporate Officer Title(s): Partner— Limited General Attorney-in-Fact Trustee Guardian or Conservator Other: Top of the	Number of Pages:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

Prod. No. 5907

Reorder: Call Toll-Free 1-800-876-6827

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:)) Group No: 1746
Oliver David Jones)
Application No. 08/757,698) Examiner: F. Stinson
Application No. 08/737,098) Docket No: LAM2P131C
Filed: December 3, 1996) Data: January 14, 2000
For: APPARATUS FOR CLEANING ROB END EFFECTOR	OT) Date: January 14, 2000
END EFFECTOR)
	CERTIFICATE OF MAILING
	I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelop addressed to: Assistant Commissioner for Patents, Washington, De 20231 on
	DATE: January 14, 2000.
	Signed: /alf/alfo
	Kay Harlow //
	ASSIGNEE OF ENTIRE INTEREST OF PRIOR POWERS)
(REVOCATION)	OF TRIOR TOWERS)
Assistant Commissioner for Patents Washington, D.C. 20231	
Sir:	
As assignee of record of the entire in	terest of the above identified
 □ application, □ patent, □	
REVOCATION OF PRICE	OR POWERS OF ATTORNEY
all powers of attorney previously given are	hereby revoked and
NEW POWE	R OF ATTORNEY
the following attorneys and/or agents are he business in the Patent and Trademark Office	ereby appointed to prosecute and transact all e connected therewith.
Peter B. Martine (Reg. No. 32,043); Albert S. (Reg. No. 39,462); Chester Martine (Reg. No. No. P45,742); Jeffrey J. Brooks (Reg. No. 35,	. 19, 711); and Anthony J. Josephson (Reg.

Attached, as part of this power of attorney, is the authorization of the abovenamed attorneys to accept and follow instructions from my representatives.

Attorney Docket No. LAM2P131C

35,521).

DIRECT TELEPHONE CALLS TO:

Albert S. Penilla

MARTINE, PENILLA & KIM, LLP 710 Lakeway Dr., Suite 170 Sunnyvale, CA 94086 (408) 749-6900

		Lam Research Corp. (per 37 CFR §	3.73(b) Filed
		herewith) (type or print identity of assignee of entire interest)	
		4650 Cushing Parkway	
		Fremont, CA 94538-6516	
			-
\boxtimes	Recorded in PTO on .	July 15, 1996	
	Reel <u>8096</u> Frame <u>0606</u>		
	Recorded herewith		
	ASS	SIGNEE STATEMENT	
Artac	ched to this power is a "	STATEMENT UNDER 37 C.F.R. 3	.73(b)."
- 4H1	1)		Date _1/11/00
- 27 W	Signature	- 	Date _1/11/00
Richard Lov	gren		
(type or print nam	e of person authorized to sign on b		
Vice Preside	nt, General Counsel ar Title	ad Corporate Secretary	
(chac	k the following item if it	forms a part of this power of attorney)	
(Chec	k the following field, if it	forms a part of this power of attorney)	
Added page —Authorization of attorneys to accept and follow instructions from representative.			
		Respectfully submitted,	
		MARTINE, PENILLA & K	IM, LLP
		X mill	
		Albert S. Penilla, Esq.	
		Reg. No. 39,487	
710 Lakeway Sunnyvale, C (408) 749-69			

Attorney Docket No. LAM2P131C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:)
••) Group No: 1746
Oliver David Jones)
) Examiner: F. Stinson
Application No. 08/757,698)
) Docket No: LAM2P131C
Filed: December 3, 1996)
) Date: <u>January</u> 14, 2000
For: APPARATUS FOR CLEANING ROBOT)
END EFFECTOR)
)

ADDED PAGE TO POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST FOR AUTHORIZATION OF ATTORNEY(S) TO ACCEPT AND FOLLOW INSTRUCTIONS FROM REPRESENTATIVE

The undersigned to this power of attorney hereby authorizes the U.S. attorneys named herein to accept and follow instructions from

Albert S. Penilla MARTINE, PENILLA & KIM, LLP 710 Lakeway Dr., Suite 170 Sunnyvale, CA 94086

As to any actions to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person from whom instructions may be taken, the U.S. attorneys will so be notified by the undersigned.

Attorney Docket No. LAM2P131C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:)
Oliver David Jones) Group No: 1746)
Application No. 08/757,698) Examiner: F. Stinson
Filed: December 3, 1996) Docket No: LAM2P131C
) Date: January 14, 2000
For: APPARATUS FOR CLEANING ROE END EFFECTOR	OT)))
	CERTIFICATE OF MAILING
	I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washing on, DC 20231 on
	DATE: January 14, 2000
	Signed: Kay Harlow Kay Harlow
	DER 37 C.F.R. § 3.73(b) (FASSIGNEE TO TAKE ACTION
Sir:	
1. The assignee of the entire right, title in this matter.	and interest hereby seek to take action in the FTO
IDENTIFIC	ATION OF ASSIGNEE
2. Lam Research Corporation Name of assignce Corporation of Delaware Type of assignce, e.g., corporation, partnership, university	ersity, government agency, etc.
PERSON AUTI	HORIZED TO SIGN
3. Richard Lovgren (type name of person authorized to sign on behalf of a Vice President, General Counsel and Title of person authorized to sign	assignee) I Corporate Secretary
I, the person signing below, state the behalf of the assignee.	at I am empowered to sign this statement on
Attorney Docket No. LAM2P131C	

Page 1 of 2

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

\boxtimes	An assignment (document) separately being submitted for recordal herewith which transfers ownership of this case from Oliver Design, Inc. to Lam
	Research Corporation.
\boxtimes	An Assignment Recordation Sheet.
\boxtimes	A check in the amount of \$40.00.

AND

B. A chain of title from the inventor to the current assignee as shown below: Appendix A: U.S.P.T.O. Notice of Recordation of Assignment.

Recorded in PTO: Reel 8096, Frame 0606.

Appendix B: Assignment from Oliver David Jones to Oliver Design, Inc., a California Corporation, and Assignment Recordation Sheet.

Appendix C: Patent Assignment identifying the present patent as assigned to Lam Research Corporation, and an accompanying Assignment Recordation Sheet and fee.

Richard Lovgren

(type or print name of authorized person)

Vice President, General Counsel and Corporate Secretary
Title of authorized person

Respectfully submitted, MARTINE, PENILLA & KIM, LLP

Albert S. Penilla, Esq.

Reg. No. 39,487

710 Lakeway Dr., Suite 170 Sunnyvale, CA 94086 (408) 749-6900

A.

1/11/00 (date)



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

OCTOBER 31, 1996

SKJERVEN MORRILL, MACPHERSON ET AL. DAVID E. STEUBE 25 METRO DRIVE, SUITE 700 SAN JOSE, CA 95110 *100260165A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

PTAS

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, E.C. 20231.

RECORDATION DATE: 07/15/1996

REEL/FRAME: 8096/0606 NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

JONES, OLIVER DAVID

DOC DATE: 07/15/1996

ASSIGNEE:

OLIVER DESIGN, INC. #5 VICTOR SQUARE SCOTTS VALLEY, CALIFORNIA 95066

SERIAL NUMBER: 08680739

PATENT NUMBER:

FILING DATE: 07/15/1996

ISSUE DATE:

MAURICE CARTER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

SATUE OF 1/15/98 FF- ON 1/15/97 FFFEMORE 4/15/97 1/11 FORS CA 1/15/91

Appendix A

0	8-26-1996) 8 / 16 8 10 23 9 (EBOCE
RECORD	Patent and Trademark Office
Tokhe Horlande Comminissioner of Patents and Trade	100260169 documents or copy thereof.
1. Name of conveying party(ies): 15365 U.S. PTO Oliver David Jones 08/23/96	2. Name and address of receiving party(ies): Name: Oliver Design, Inc Internal Address:
Additional name(s) of conveying party(ies) attached?	
☐ Yes ☑ No	
3 Nature of Conveyance:	Street Address: #5 Victor Square
⊠ Assignment ☐ Merger	
Security Agreement Change of Name	City Scotts Valley State California ZIP 95066
· 🗆 Other	Additional name(s) & address(es) attached? Yes No
Execution Date: July 15, 1996	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the	e execution date of the application is: <u>July 15, 1996</u>
A. Paten: Application No.(s)	B. Patent No.(s)
Title: "WAFER SPIN DRYER AND METHOD OF DRYING A WAFER" Additional numbers attack	ched? 🗆 Yes 🖾 No
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: One
Name: <u>David E. Steuber</u>	`
internal Address: SKJERVEN, MORRILL, MacPHERSON,	
FRANKLIN & FRIEL	7. Total fee (37 CFR 3.41):
Street Address: 25 METRO DRIVE, SUITE 700	Authorized to be charged to Deposit Account 19-2386
City SAN JOSE State CA ZIP 95110	Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid
DO NOT USE	THIS SPACE
	\$ 400
8. Statement and signature.	
To the best of my knowledge and belief, the foregoing inform of the original document.	nation is true and correct and any attached copy is a true copy
David E. Steuber (Reg. No.: 25,557) Name of Person Signing	Signature 7/15/19
Appendix A	Total number of pages comprising cover sheet: <u>Two</u>

1:\DMS\6496\M-4252_U\0179614,WP

PATENT

REEL: 010552 FRAME: 0197

SCHEDULE 1

List of Seller Patents

U.S. Patent No. 5,778,554

Title: Wafer Spin Dryer and Method of Drying

Filing Date: <u>July 15, 1996</u>
Serial Number: <u>08/680,739</u>

U.S. Patent Division of <u>08/680,739</u>

Application

Title: Cleaning Robot End-Effector, Apparatus

Filing Date: December 3, 1996

Serial Number: 08/757,698

U.S. Patent CPA of <u>08/757,698</u>

Application

Title: Cleaning Robot End-Effector, Apparatus

Filing Date: <u>June 3, 1999</u> Serial Number: <u>08/757,698</u>

U.S. Patent Division of <u>08/680,739</u>

Application

Title: Method of Drying a Wafer

Filing Date: January 23, 1998

Serial Number: <u>09/012,372</u>

U.S. Patent No. 5,878,507

Title: Wafer Cleaning Apparatus

Filing Date: <u>July 15, 1996</u>
Serial Number: <u>08/683,654</u>

U.S. Application CIP of <u>08/683,654</u>

Title: Wafer Cleaning Apparatus

Filing Date: July 9, 1998

23135.00070:12167438.3

Serial Number:	09/113,811	
U.S. Application	Division of <u>08/683,654</u>	
Title:	Wafer Cleaning Apparatus	
Filing Date:	<u>July 29, 1998</u>	
Serial Number:	<u>09/126,021</u>	

Provision Application	Pending
Serial Number:	09/112,666
Filing Date:	July 9, 1998
Title:	Brush Interflow Distributor
U.S. Application	Regular

Title:	Brush Interflow Distributor	
Filing Date:	July 9, 1998	
Serial Number:	<u>09/112,666</u>	

Self-Aligning Polishing Mandrel (4 Mandrel)

HEWM Docket No. 25356-0001	Semiconductor Wafer Polisher/Buffer for Off-Diameter
Method (Virtual Polisher)	

HEWM Docket No. 25356-0002

RECORDED: 01/19/2000

HEWM Docket No. 25356-0013	Overhead Vertical Dryer and Scrubber System (4 Mandrel)

HEWM Docket No. 25356-00019PROV 60/153748 (9/13/99)	Advanced CMP Process and
Apparatus	

HEWM Docket No. 25356-00020	Stacked Cleaning/Drying Module Appartus and Method
(9014)	

23135.00070:12167438.3