

02-23-2000

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027



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RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☐ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☒ Corrective Document
Reel # 9855 Frame # 0556

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Meador, Jim

03 11 1999

Name (line 2) **Second Party**

Name (line 1) Nowak, Kelly A.

Execution Date
Month Day Year
03 11 1999

Name (line 2) **Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) Brewer Science, Inc.

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) a corporation of Missouri

Address (line 1) 2401 Brewer Drive

Address (line 2)

Address (line 3) Rolla MO/USA 65401

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4)

FOR OFFICE USE ONLY

02/23/2000 TTON11 00000099 081280 09273881

01 FC:561 (40.00 CH)

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
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PATENT
REEL: 010556 FRAME: 0517

Correspondent Name and Address

Area Code and Telephone Number **314-241-4427**

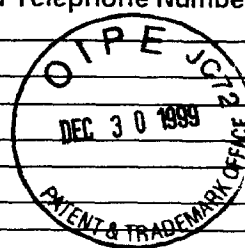
Name **Veo Peoples, Jr.**

Address (line 1) **Haverstock, Garrett & Roberts**

Address (line 2) **611 Olive Street, Suite 1610**

Address (line 3) **St. Louis, Missouri 63101**

Address (line 4)



Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09273881

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

08-1280

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Holly M. Amjad

Name of Person Signing

Signature

Date

Holly M. Amjad **12/29/99**

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Xu, Gu

Execution Date
Month Day Year

03 11 1999

Name (line 2)

Execution Date
Month Day Year

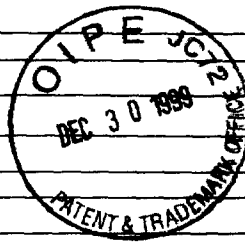
Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)



Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

04-05-1999

(Rev. 6-93)

Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

101000055

To the Honorable Commission of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jim Meador

Kelly A. Nowak

Gu Xu

Additional name(s) of conveying Party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Brewer Science, Inc.

Internal Address: _____

Street Address: 2401 Brewer DriveCity: Rolla State: MO Zip: 65401Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 11, 1999

4. Application number(s) or patent number(s):

09/273,881

If this document is being filed together with a new application, the execution date of this application is: March 11, 1999

03/11/1999 SHOWS 00000002 041200 09273881

03 FC:581

40.00 CH

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed

Name: Veo Peoples, Jr.Internal Address: Haverstock, Garrett & RobertsStreet Address: 611 Olive StreetSuite 1610City: St. Louis State: MO Zip: 631016. Total number of applications and patents involved: ☐ 1 ☒ 27. Total Fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account number:

08-1280

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Holly M. Amjad

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: ☐ 3 ☒ 4

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

PATENT

REEL: 010556 FRAME: 0520

DEC. -14' 99(TUE) 16:01

HAVERSTOCK ET AL.

TEL:314 241 3317

P. 003

ASSIGNMENT

Whereas, We, Jim D. Meador, citizen of the United States of America and resident of the City of Ballwin, County of St. Louis, and State of Missouri; ~~Douglas J. Guerrero,~~ ^{Kelly A. Nowak} ~~the United States of America,~~ ^{GDX 12/15/99} citizen of Nicaragua and resident of the City of Rolla, County of Phelps, and State of Missouri; ~~Xie Shao,~~ ^{GDX 12/15/99} citizen of China and resident of the City of Rolla, County of Phelps, and State of Missouri; ~~Vandana Krishnamurthy,~~ ^{GDX 12/15/99} citizen of India and resident of the City of Rolla, County of Phelps, and State of Missouri, have invented a new and useful improvement in

Improved Thermosetting Anti-Reflective Coatings, for which we are about to make application for Letters Patent of the United States; and whereas Brewer Science, Inc., a corporation duly organized under the laws of the State of Missouri and having its principal office and place of business at Brewer Science, Inc., is desirous of acquiring the entire interest in and to the said invention and in and to the Letters Patent to be obtained therefor.

Now, therefore, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other valuable considerations, to us in hand paid, the receipt of which is hereby acknowledged, we, the said inventors have sold, assigned and transferred, and by these presents do sell, assign and transfer, unto the said Brewer Science, Inc., the whole right, title and interest in and to the said invention as fully set forth and described in the specification executed by us on this 11th day of March, 1999, preparatory to obtaining Letters Patent of the United States therefor, including all rights to file applications and obtain patents in other countries; and we do hereby authorize and request the Hon. Commissioner of Patents and Trademarks to issue the said Letters Patent to the said Brewer Science, Inc., as the assignee of our entire right, title

MAR. -11' 99 (THU) 14:05

HAVERSTOCK ET AL.

TEL: 314 241 3317

P. 002

and interest in and to the same, for the sole use and behoof of said assignee, its successors or assigns.

Signed, this 11th day of March, 1999.

Jim Meador
(name of first inventor)

Jim D. Meador
(signature)

Date: 3/11/99

Cindy Bassett
Witness

Date: 3-11-99

Kelly A. Nowak
name of second inventor)

Kelly A. Nowak
(signature)

Date: 3-11-99

Cindy Bassett
Witness

Date: 3-11-99

Gu Xu
(name of third inventor)

Gu Xu
(signature)

Date: 3-11-99

Cindy Bassett
Witness

Date: 3-11-99

Therefore, the first paragraph of the assignment was corrected to indicate Kelly A. Nowak, a U.S. citizen and resident of the City of Rolla, County of Phelps, and State of Missouri, as an assignor and Gu Xu, a citizen of China and resident of the City of Rolla, County of Phelps, State of Missouri, as an assignor. This correction places the first paragraph of the assignment in agreement with the signature page of the assignment and the changes have been initialed by all three assignors as required by MPEP section 323.

Applicants have also enclosed Form PTO/SB/26 entitled Terminal Disclaimer to Obviate a Double Patenting Rejection Over a Prior Patent as requested by the Examiner.

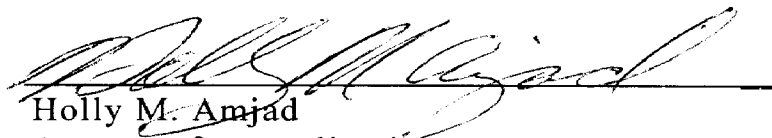
REMARKS

Applicants believe the filing of the terminal disclaimer document places claims 1 through 27 in condition for allowance as indicated by the Examiner.

Respectfully submitted,

HAVERSTOCK, GARRETT AND ROBERTS

12/30/99
Date


Holly M. Amjad
Attorney for Application
Registration No. 41, 582

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