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Expires 06/30/99
OMB 0651-0027

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- ☒ New
- ☐ Resubmission (Non-Recordation)
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Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
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- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Simon Fraser University

09/30/99

Name (line 2) Burnaby, British Colombia, Canada

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Cisco Technologies, Inc.

Name (line 2) a corporation of California

Address (line 1) 170 West Tasman Drive

Address (line 2)

Address (line 3) San Jose

CA

95134

City

State/Country

Zip Code

☐ If document to be recorded
is an assignment and the
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of a domestic
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Domestic Representative Name and Address

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Name

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 010557 FRAME: 0401

Correspondent Name and Address

Area Code and Telephone Number (650) 926-7241

Name Anne VanBuskirk

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Pages

Enter the total number of pages of the attached conveyance document
including any attachments.

#

1

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

4963034

If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

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Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40

Method of Payment:
Deposit AccountEnclosed ☒Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

23-0800

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.

Anne VanBuskirk

Name of Person Signing

Signature

Date

PATENT ASSIGNMENT AGREEMENT

THIS PATENT ASSIGNMENT AGREEMENT (the "**Agreement**") is entered into as of Sept. 30, 1999, by and between **Simon Fraser University**, a corporation duly continued under the University Act of British Columbia and having an office at Burnaby, B.C. V5A 1S6, Canada ("**Simon Fraser**") and **Cisco Technology, Inc.**, a California corporation having its principal place of business located at 170 West Tasman Drive, San Jose, California 95134-1706 (hereinafter referred to as "**Cisco**").

WHEREAS, Simon Fraser has entered into an Assignment Agreement with Cisco dated September 30, 1998, pursuant to which Simon Fraser has agreed to assign to Cisco a certain patent.

NOW, THEREFORE, in consideration of the foregoing and the mutual promises herein contained, the parties agree as follows:

ARTICLE 1 SIMON FRASER PATENT

"**Simon Fraser Patent**" shall mean U.S. Patent No. 4,963,034, entitled "Low-delay Vector Backward Predictive Coding of Speech," as well as any reexaminations and reissues thereof.

ARTICLE 2 ASSIGNMENTS

Simon Fraser assigns, transfers and conveys to Cisco all of Simon Fraser's rights, title and interest throughout the world in and to the Simon Fraser Patent, the underlying inventions described therein, and all rights, claims and privileges pertaining to the Simon Fraser Patent, including the right to sue for past damages.

IN WITNESS WHEREOF, the parties have entered into this Agreement as of the date first written above.

SIMON FRASER UNIVERSITY

By: E. Jean Trask

Name: E. Jean Trask

Title: Associate Director, UIEO

CISCO TECHNOLOGY, INC.

By: Robert Barr

Name: Robert Barr

Title: WORLDWIDE PATENT COUNSEL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: N/A

Serial No: N/A

Filed: N/A

For: N/A

Docket No: N/A

Group No: N/A

Examiner: N/A

Commissioner of Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

TRANSMITTAL

Please find enclosed the following:

1. A true copy of the recordation document entitled "Patent Assignment Agreement" by and between Simon Fraser University and Cisco Technology, Inc. dated September 30, 1999;
2. Recordation Form Cover Sheet (Assignment);
3. Check number 2665 in the amount of \$40.00; and
4. Return postcard.

FEE DEFICIENCY

- ☒ In the event that additional fees are required, the Commissioner is authorized to charge these to deposit account No. 23-0800.
- ☒ As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 23-0800.
- ☒ Duplicate copies of this sheet are enclosed for accounting purposes.

CERTIFICATE OF EXPRESS MAIL

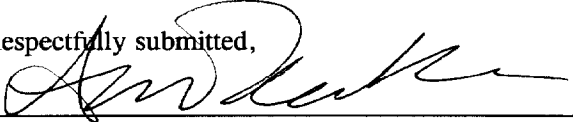
"Express Mail" mailing label number: EL013464342US Date of Deposit: 2/2/2000

I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Box Assignment, Washington, D.C. 20231.

Signature

Date

Dated: 2/1/2000

Respectfully submitted,


Anne VanBuskirk
Reg. No. 43,217

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