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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Jerry C. Chen

2. Name and address of receiving party(ies):

Name: UNITED STATES AIR FORCE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

Internal Address: ESC/JAZ

3. Nature of conveyance:

Street Address: 40 WRIGHT STREET, BLDG 1120

☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other ☐ Confirmatory License

City: HANSCOM AFB,

Execution Date(s):

State: MA

Zip: 01731-2903

22 December 1999

☒ This instrument should be recorded on the governmental register in accordance with 37 CFR 7.1(e)(1).

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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A: Patent Application No(s):

B: Patent No.(s):

09/324,253

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved:

1

Name: Catherine A. Schulz

7. Total fee (37 CFR 3.41) \$ 0

Internal Address: ESC/JAZ

☐ Enclosed ☒ Not Applicable

Street Address: 40 Wright Street Bldg 1120

The instrument to be recorded is not an instrument affecting Title (37 CFR 7.1(e)(2) and (j)).

City: Hanscom AFB

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To the best of my knowledge and belief, the foregoing information is true and correct and nay attached copy is a true copy of the original document.

CATHERINE A. SCHULZ

Catherine A. Schulz

7 February 2000

Name of person signing

Signature

Date

Total number of pages including cover sheet, attachments and document:

2

Application for: "Optical Frequency Filters"
Inventor(s): Jerry C. Chen
Serial No.: 09/324,253 Date Filed: June 2, 1999
Grant No.: F19628-95-C-0002
Contractor: MASSACHUSETTS INSTITUTE OF TECHNOLOGY

The invention identified above is a "Subject Invention" under Patent Rights clause included in Grant Number F19628-95-C-0002 with the United States Air Force.

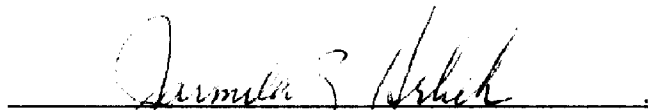
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By



Jarmila Z. Hrbek,
Patent Administrator and Office Manager
Technology Licensing Office

77 Massachusetts Avenue, Room NE25-230, Kendall Square, Cambridge, MA 02139

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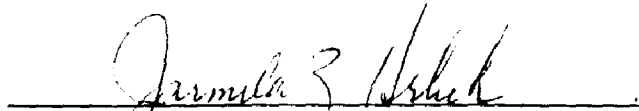
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