

02-25-2000



00629.01901

Form PTO-1592 (modified)

2-22-00

To the Honorable Commissioner of Patents and Trademarks, Please record the

101276505

1. Name of Conveying Party or Parties:

(1) Masami AOKI
(2) Hirofumi INOUE

Additional Names of conveying party or parties attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: (1) January 31, 2000, (2) February 4, 2000

2. Name and address of receiving party or parties:

KABUSHIKI KAISHA TOSHIBA
72, Horikawa-cho, Saiwai-ku,
Kawasaki-shi, JAPAN

Additional Name(s) and address(es) attached? ☐ Yes ☒ No

4. Application Number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application Number(s):

09/426,754

B. Patent Number(s):

Additional Name(s) and address(es) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning the document should be mailed:

Joseph M. Potenza, Esq.
BANNER & WITCOFF, LTD.
11th Floor
1001 G Street, N.W.
Washington, D.C. 20001-4597

6. Total Number of Applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed
☐ Authorized to be charged to deposit account
☒ Please charge or credit our deposit account for any additional or refunded fees associated with recording this assignment

8. Deposit Account No.:

19-0733 (in the event additional fees are required)

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph M. Potenza, Reg. No. 28,175
Name of Person Signing

Signature

Date

Total Number of Pages including Cover Sheet: 3

ASSIGNMENT

(00629.01901)

In consideration of value received, I, having a post office address at c/o Intellectual Property Division, KABUSHIKI KAISHA TOSHIBA, 1-1 Shibaura 1-chome, Minato-ku, Tokyo 105, Japan, and a residence as stated below next to my name, the sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are listed below) of an invention described in an application for United States patent entitled: SELF-ALIGNED TRENCH CAPACITOR CAPPING PROCESS FOR HIGH DENSITY DRAM CELLS

said application having been filed on October 26, 1999 and assigned Serial No. 09/426,754;

hereby sell and assign to Kabushiki Kaisha Toshiba, a corporation organized and existing under the laws of JAPAN, and having a business address at 72, Horikawa-cho, Saiwai-ku, Kawasaki-shi, Japan

its successors, assigns or nominees, hereinafter referred to as "Assignee", my entire right, title and interest in and to said invention as disclosed, shown and described in said application for United States patent: (check one)

 executed concurrently herewith;

 X executed separately herefrom;

and in and to all applications for patent and patents for said invention, in all countries of the world, including all divisions, reissues, continuations, substitutions and extensions thereof and all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property, including rights of priority, resulting from the filing of any of said applications; and I authorize and request any official whose duty is to issue patents, to issue any patent on

PATENT**REEL: 010563 FRAME: 0249**

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I hereby grant Banner & Witcoff, Ltd., 11th Floor, 1001 G Street, N.W., Washington, D.C. 20001-4597 the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the Rules of the U.S. Patent and Trademark Office for recordation of this document.

<u>Inventor: (Signature)</u>	<u>Date</u>	<u>Residence</u>
<u>Masami Aoki</u> Masami Aoki	<u>Jan. 31, 2000</u>	<u>Fishkill, NY 12524</u>
<u>Hirofumi Inoue</u> Hirofumi Inoue	<u>2/4/2000</u>	<u>Fishkill, NY 12524</u>

Witnesses for Inventors

Note: Witnesses desirable,
but not necessary

Recording Officer

After recording, please return to:
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