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02-28-2000

FORM PTO-1594
1-31-92

101276081

OVER SHEET
LYU.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 04853.0039To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

ATTN. BOX ASSIGNMENTS

1. Name of conveying parties:

- 1) Kazuo SHISHIDO
- 2) Susumu KAJIWARA
- 3) Akira TSUKAMOTO

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name

Other

☐

Execution Date: February 7, 2000

2. Name and address of receiving party:

Name: Oji Paper Co., Ltd.

Internal Address:

Street Address: 7-5, Ginza 4-chome, Chuo-ku
Tokyo 104-0061, Japan

City:

State:

Zip Code:

Additional name(s) & Address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: February 7, 2000

A. Patent Application Number(s): 09503505

B. Patent Number(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mr. Ernest F. Chapman

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT
& DUNNER, L.L.P.

02/24/2000 TRADE1 00000003 09503505

02 FC:581

(40.00 UP)

Street Address: 1300 I Street, N.W.

City: Washington

State: DC Zip: 20005-3315

6. Total number of applications and registrations involved:
17. Total fee (37 CFR 3.41): \$40
☒Enclosed (Please charge deficiency to deposit account)
☐

Authorized to be charged to deposit account

8. Deposit account number: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

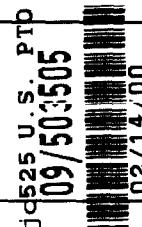
Ernest F Chapman

Signature

February 14, 2000

Date

Total number of pages including cover sheet, attachments and documents: 3



Assignment of Application

WHEREAS, I (WE) _____

Kazuo Shishido of 5-6, Susukinocho, Sagamihara-shi, Kanagawa 229-1113 Japan,

Susumu Kajiware of 985-10, Tokiwa, Kamakura-shi, Kanagawa 248-0022 Japan and

Akira Tsukamoto of 7-28-1-304, Nishikasai, Edogawa-ku, Tokyo 134-0088 Japan

_____, respectively,

have invented certain new and useful improvements in: DNA FRAGMENTS HAVING

BASIDIOMYCETE-DERIVED PROMOTER ACTIVITY AND EXPRESSION OF

FOREIGN GENES UNDER CONTROL OF THE PROMOTER ACTIVITY

for which an application for Letters Patent was executed on February 7, 2000

(Application No. _____, filed _____), and

WHEREAS, OJI PAPER CO., LTD.

(hereinafter referred to as "ASSIGNEE") having a place of business at: _____

7-5, Ginza 4-chome, Chuo-ku, Tokyo 104-0061 Japan

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

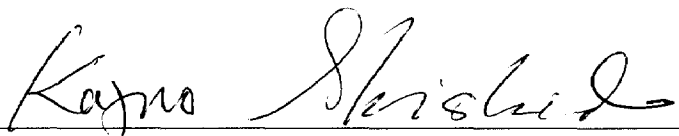
NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any official of any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

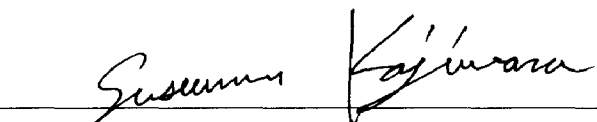
Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its legal representatives and assigns covenant and any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER L.L.P., of 1300 I Street, N.W., Washington, D.C. 20005 U.S.A. the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

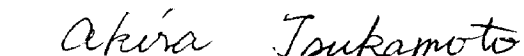
Date: February 7, 2000


(Signature of inventor) Kazuo Shishido

Date: February 7, 2000


(Signature of inventor) Susumu Kajiwara

Date: February 7, 2000


(Signature of inventor) Akira Tsukamoto

Date: _____

(Signature of inventor)